

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/24/2016 through 7/30/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STRATEGIC STORAGE TR 4 INC	LADERA RANCH, CA	7/29/2016	00776286-01	Pending
NEXPOINT REAL ESTATE STRATEGIES FUND	DALLAS, TX	7/25/2016	00776181-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRISTIAN LIFE CENTER OF MERCED	MERCED, CA	7/29/2016	00776552-10	Pending
GLASGOW REFORMED PRESBYTERIAN CHURCH OF DE INC	BEAR, DE	7/29/2016	00776553-10	Pending
HOPE COMMUNITY CHURCH OF NC INC	RALEIGH, NC	7/29/2016	00776554-10	Pending
PHOTAVIA LLC	MILWAUKEE, WI	7/27/2016	00776305-26	Pending
LUMOSWIFI LLC	MILWAUKEE, WI	7/26/2016	00776306-26	Pending
Number of Exemption Filings: 5				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 532 TX EX MUNICIPAL INCOME TR 10 20 YEAR SERIES 26	WHEATON, IL	7/29/2016	00776283-05	Pending-Cleared
ALGER INSTITUTIONAL FUNDS ALGER SMALL CAP GROWTH INSTITUTIONAL FUND CLASS Z 2	NEW YORK, NY	7/29/2016	00776236-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DREYFUS INVESTMENT FUNDS DREYFUS BOSTON CO SMALL CAP VALUE FUND CLASS C	NEW YORK, NY	7/29/2016	00776233-03	Registered
DREYFUS INVESTMENT FUNDS DREYFUS BOSTON CO SMALL CAP VALUE FUND CLASS Y	NEW YORK, NY	7/29/2016	00776235-03	Registered
DREYFUS INVESTMENT FUNDS DREYFUS BOSTON CO SMALL CAP VALUE FUND CLASS A	NEW YORK, NY	7/29/2016	00776234-03	Registered
FT 6135 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 30	WHEATON, IL	7/29/2016	00776278-05	Pending-Cleared
FT 6135 SMID CAPITAL STRENGTH PORTFOLIO SERIES 30	WHEATON, IL	7/29/2016	00776277-05	Pending-Cleared
FT 6149 STONEBRIDGE PREFERRED INCOME PORTFOLIO SERIES 5	WHEATON, IL	7/29/2016	00776284-05	Pending-Cleared
FT 6151 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 41	WHEATON, IL	7/29/2016	00776281-05	Pending-Cleared
FT 6161 INCOME DIVIDEND EQUITY ALLOCATION IDEA PORTFOLIO SERIES 10	WHEATON, IL	7/29/2016	00776279-05	Pending-Cleared
FT 6167 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 28	WHEATON, IL	7/29/2016	00776282-05	Pending-Cleared
FT 6172 ENERGY SELECT PORTFOLIO SERIES 60	WHEATON, IL	7/29/2016	00776285-05	Pending-Cleared
FT 6173 INTEREST RATE HEDGE & ETF PORTFOLIO SERIES 13	WHEATON, IL	7/29/2016	00776280-05	Pending-Cleared
INTEGRITY FUNDS INTEGRITY DIVIDEND HARVEST FUND	MINOT, ND	7/29/2016	00776289-03	Registered

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Firm Name	Location	Date Received	File Number	Status
INTEGRITY FUNDS INTEGRITY HIGH INCOME FUND	MINOT, ND	7/29/2016	00776288-03	Registered
INTEGRITY FUNDS WILLISTON BASIN MID NORTH AMERICA STOCK FUND	MINOT, ND	7/29/2016	00776287-03	Registered
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET INSTITUTIONAL TREASURY PLUS MONEY MARKET FUND TR CLASS	BOSTON, MA	7/29/2016	00776229-03	Pending-Cleared
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET INSTITUTIONAL LIQUID RESERVES FUND TR CLASS	BOSTON, MA	7/29/2016	00776228-03	Pending-Cleared
WORLD FUNDS TR E VALUATOR AGGRESSIVE GROWTH RMS FUND INVESTOR CLASS SHARES	RICHMOND, VA	7/29/2016	00776029-03	Registered
WORLD FUNDS TR E VALUATOR CONSERVATIVE RMS FUND INVESTOR CLASS SHARES	RICHMOND, VA	7/29/2016	00776030-03	Registered
WORLD FUNDS TR E VALUATOR GROWTH RMS FUND INVESTOR CLASS SHARES	RICHMOND, VA	7/29/2016	00776025-03	Registered
WORLD FUNDS TR E VALUATOR MODERATE RMS FUND INVESTOR CLASS SHARES	RICHMOND, VA	7/29/2016	00776026-03	Registered
WORLD FUNDS TR E VALUATOR TACTICALLY MANAGED RMS FUND INVESTOR CLASS SHARES	RICHMOND, VA	7/29/2016	00776027-03	Registered
WORLD FUNDS TR E VALUATOR VERY CONSERVATIVE RMS FUND INVESTOR CLASS SHARES	RICHMOND, VA	7/29/2016	00776028-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RIDGEWORTH FUNDS SEIX HIGH YIELD FUND IS SHARES	ATLANTA, GA	7/28/2016	00776031-03	Registered
RIDGEWORTH FUNDS SEIX US GOVERNMENT SECURITIES ULTRA SHORT BOND FUND IS SHARES	ATLANTA, GA	7/28/2016	00776032-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE SUSTAINABILITY & IMPACT EQUITY FUND INSTITUTIONAL CLASS	CINCINNATI, OH	7/28/2016	00776148-03	Registered
1ST TR COMBINED SERIES 530 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO INTERMEDIATE SERIES 19	WHEATON, IL	7/27/2016	00776174-05	Pending-Cleared
AMERICAN FUNDS CORPORATE BOND FUND CLASS A	IRVINE, CA	7/27/2016	00776001-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS B	IRVINE, CA	7/27/2016	00776002-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS C	IRVINE, CA	7/27/2016	00776003-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS F 1	IRVINE, CA	7/27/2016	00776012-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS F 2	IRVINE, CA	7/27/2016	00776006-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS R 1	IRVINE, CA	7/27/2016	00776010-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS R 2	IRVINE, CA	7/27/2016	00776008-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN FUNDS CORPORATE BOND FUND CLASS R 2E	IRVINE, CA	7/27/2016	00776004-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS R 3	IRVINE, CA	7/27/2016	00776005-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS R 4	IRVINE, CA	7/27/2016	00776007-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS R 5	IRVINE, CA	7/27/2016	00776009-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS R 5E	IRVINE, CA	7/27/2016	00776011-03	Registered
AMERICAN FUNDS CORPORATE BOND FUND CLASS R 6	IRVINE, CA	7/27/2016	00776013-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS A	IRVINE, CA	7/27/2016	00775986-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS B	IRVINE, CA	7/27/2016	00775987-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS C	IRVINE, CA	7/27/2016	00775988-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS F 1	IRVINE, CA	7/27/2016	00775997-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS F 2	IRVINE, CA	7/27/2016	00775991-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS R 1	IRVINE, CA	7/27/2016	00775995-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS R 2	IRVINE, CA	7/27/2016	00775993-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS R 2E	IRVINE, CA	7/27/2016	00775989-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS R 3	IRVINE, CA	7/27/2016	00775990-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS R 4	IRVINE, CA	7/27/2016	00775992-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS R 5	IRVINE, CA	7/27/2016	00775994-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS R 5E	IRVINE, CA	7/27/2016	00775996-03	Registered
AMERICAN FUNDS EMERGING MARKETS BOND FUND CLASS R 6	IRVINE, CA	7/27/2016	00775998-03	Registered
BLACKROCK FUNDS 2 BLACKROCK CREDIT STRATEGIES INCOME FUND CLASS K SHARES	WILMINGTON, DE	7/27/2016	00776000-03	Registered
BLACKROCK FUNDS BLACKROCK TACTICAL OPPORTUNITIES FUND CLASS K SHARES	WILMINGTON, DE	7/27/2016	00775999-03	Registered
FT 6138 ALTERNATIVE INCOME PORTFOLIO SERIES 18	WHEATON, IL	7/27/2016	00776178-05	Pending-Cleared
FT 6142 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 8	WHEATON, IL	7/27/2016	00776177-05	Registered
FT 6146 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 56	WHEATON, IL	7/27/2016	00776175-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 6148 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 39	WHEATON, IL	7/27/2016	00776179-05	Registered
FT 6150 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 107	WHEATON, IL	7/27/2016	00776176-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1491 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 16	LISLE, IL	7/27/2016	00776238-05	Pending-Cleared
MUTUAL FUND SERIES TR CATALYST MLP & INFRASTRUCTURE FUND CLASS I	HAUPPAUGE, NY	7/27/2016	00776237-03	Registered
SMART TR 258 SMART TR ZACKS GARP COMPOSITE 35 TR SERIES 10	PARSIPPANY, NJ	7/27/2016	00776173-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1727 ADVISORS CORPORATE TR INVESTMENT GRADE 3 7 YEAR SERIES 4 HIMCO PORTFOLIO	MONUMENT, CO	7/26/2016	00776171-05	Registered
EATON VANCE UNIT TR SERIES 6 EATON VANCE DIVIDEND FORTITUDE PORTFOLIO SERIES 4	BOSTON, MA	7/26/2016	00776156-05	Pending-Cleared
EATON VANCE UNIT TR SERIES 6 EATON VANCE MEGATRENDS PORTFOLIO SERIES 4	BOSTON, MA	7/26/2016	00776158-05	Pending-Cleared
EATON VANCE UNIT TR SERIES 6 EATON VANCE MERGER & ACQUISITION TARGETS PORTFOLIO SERIES 4	BOSTON, MA	7/26/2016	00776157-05	Pending-Cleared
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2020 FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	7/26/2016	00775933-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2020 FUND CLASS L	GREENWOOD VILLAGE, CO	7/26/2016	00775927-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2020 FUND CLASS T	GREENWOOD VILLAGE, CO	7/26/2016	00775928-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2020 FUND CLASS T1	GREENWOOD VILLAGE, CO	7/26/2016	00775930-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2030 FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	7/26/2016	00775952-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2030 FUND CLASS L	GREENWOOD VILLAGE, CO	7/26/2016	00775938-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2030 FUND CLASS T	GREENWOOD VILLAGE, CO	7/26/2016	00775946-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2030 FUND CLASS T1	GREENWOOD VILLAGE, CO	7/26/2016	00775940-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2040 FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	7/26/2016	00775944-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2040 FUND CLASS L	GREENWOOD VILLAGE, CO	7/26/2016	00775936-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2040 FUND CLASS T	GREENWOOD VILLAGE, CO	7/26/2016	00775937-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2040 FUND CLASS T1	GREENWOOD VILLAGE, CO	7/26/2016	00775939-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2050 FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	7/26/2016	00775947-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2050 FUND CLASS L	GREENWOOD VILLAGE, CO	7/26/2016	00775949-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2050 FUND CLASS T	GREENWOOD VILLAGE, CO	7/26/2016	00775951-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME 2050 FUND CLASS T1	GREENWOOD VILLAGE, CO	7/26/2016	00775953-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2020 FUND CLASS T	GREENWOOD VILLAGE, CO	7/26/2016	00775931-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2020 FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	7/26/2016	00775926-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2020 FUND CLASS T1	GREENWOOD VILLAGE, CO	7/26/2016	00775929-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2030 FUND CLASS T	GREENWOOD VILLAGE, CO	7/26/2016	00775934-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2030 FUND CLASS T1	GREENWOOD VILLAGE, CO	7/26/2016	00775935-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2030 FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	7/26/2016	00775932-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2040 FUND CLASS T1	GREENWOOD VILLAGE, CO	7/26/2016	00775948-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2040 FUND CLASS T	GREENWOOD VILLAGE, CO	7/26/2016	00775942-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2040 FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	7/26/2016	00775950-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2050 FUND CLASS T	GREENWOOD VILLAGE, CO	7/26/2016	00775943-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2050 FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	7/26/2016	00775941-03	Registered
GREAT WEST FUNDS INC GREAT WEST LIFETIME CONSERVATIVE 2050 FUND CLASS T1	GREENWOOD VILLAGE, CO	7/26/2016	00775945-03	Registered
INVESCO UNIT TR SERIES 1679 INFLATION HEDGE PORTFOLIO 2016 3	DOWNERS GROVE, IL	7/26/2016	00776159-05	Pending-Cleared
INVESCO UNIT TR SERIES 1689 HIGH INCOME ALLOCATION PORTFOLIO 2016 3	DOWNERS GROVE, IL	7/26/2016	00776160-05	Pending-Cleared
INVESCO UNIT TR SERIES 1689 MLP & INCOME PORTFOLIO 2016 3	DOWNERS GROVE, IL	7/26/2016	00776161-05	Pending-Cleared
INVESCO UNIT TR SERIES 1690 PREFERRED OPPORTUNITY PORTFOLIO 2016 3	DOWNERS GROVE, IL	7/26/2016	00776163-05	Pending-Cleared
INVESCO UNIT TR SERIES 1691 GLOBAL HIGH DIVIDEND PORTFOLIO 2016 3	DOWNERS GROVE, IL	7/26/2016	00776162-05	Pending-Cleared
MONEY MARKET OBLIGATIONS TR FEDERATED INSTITUTIONAL PRIME 60 DAY FUND INSTITUTIONAL SHARES	WARRENDALE, PA	7/26/2016	00776020-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MONEY MARKET OBLIGATIONS TR FEDERATED INSTITUTIONAL PRIME 60 DAY FUND SERVICE SHARES	WARRENDALE, PA	7/26/2016	00776021-03	Registered
1ST TR COMBINED SERIES 529 TX EX MUNICIPAL INCOME TR SERIES 294	WHEATON, IL	7/25/2016	00776223-05	Pending-Cleared
ELKHORN UNIT TR SERIES 7 ELKHORN QUALITY PREFERRED SELECT PORTFOLIO	WHEATON, IL	7/25/2016	00776224-05	Pending-Cleared
FT 6140 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 16	WHEATON, IL	7/25/2016	00776221-05	Registered
FT 6147 BALANCED INCOME SELECT PORTFOLIO SERIES 61	WHEATON, IL	7/25/2016	00776222-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1488 GLOBAL BALANCED INCOME BUILDER PORTFOLIO SERIES 8	LISLE, IL	7/25/2016	00776196-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1489 HEALTH CARE PORTFOLIO SERIES 21	LISLE, IL	7/25/2016	00776197-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1489 UTILITIES PORTFOLIO SERIES 24	LISLE, IL	7/25/2016	00776198-05	Registered
NORTHERN LIGHTS FUND TR 3 PINNACLE SHERMAN MULTI STRATEGY CORE FUND CLASS A SHARES	HAUPPAUGE, NY	7/25/2016	00776203-03	Registered
NORTHERN LIGHTS FUND TR 3 PINNACLE SHERMAN MULTI STRATEGY CORE FUND CLASS I SHARES	HAUPPAUGE, NY	7/25/2016	00776202-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NORTHERN LIGHTS FUND TR 3 PINNACLE SHERMAN TACTICAL ALLOCATION FUND CLASS A SHARES	HAUPPAUGE, NY	7/25/2016	00776201-03	Registered
NORTHERN LIGHTS FUND TR 3 PINNACLE SHERMAN TACTICAL ALLOCATION FUND CLASS I SHARES	HAUPPAUGE, NY	7/25/2016	00776199-03	Registered
NORTHERN LIGHTS FUND TR 3 PINNACLE SHERMAN TACTICAL ALLOCATION FUND CLASS C SHARES	HAUPPAUGE, NY	7/25/2016	00776200-03	Registered
Number of Investment Company Filings: 115				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ENDOTRONIX INC	WOODRIDGE, IL	7/29/2016	00776540-07	Filed
EPOCH PROPERTIES MULTI FAMILY OPPORTUNITY FUND 4 LTD	WINTER PARK, FL	7/29/2016	00776546-07	Filed
GLOBAL FACTORS LLC	WALL STREET, NY	7/29/2016	00776544-07	Filed
HGI AIF SELECT 1 LLC	NORFOLK, VA	7/29/2016	00776537-07	Filed
ICONIQ STRATEGIC PARTNERS 3 LP	SAN FRANCISCO, CA	7/29/2016	00776538-07	Filed
INVENRA INC	FITCHBURG, WI	7/29/2016	00776545-07	Filed
MAC SAHARA LV LLC	DALLAS, TX	7/29/2016	00776270-07	Filed
REALTYSHARES 233 LLC	LEWES, DE	7/29/2016	00776539-07	Filed
ROC MULTIFAMILY & COMMERCIAL OFFICE FUND 3 INTERNATIONAL A LP	SALT LAKE CITY, UT	7/29/2016	00776542-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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ROC MULTIFAMILY & COMMERCIAL OFFICE FUND 3 LP	MURRAY, UT	7/29/2016	00776541-07	Filed
TERABAT INC	NIWOT, CO	7/29/2016	00776547-07	Filed
TFG INDEPENDENCE CENTER 1 REIT LLC	BOSTON, MA	7/29/2016	00776543-07	Filed
TRUE VENTURES SELECT 2 LP	PALO ALTO, CA	7/29/2016	00776290-07	Filed
ZELLER WOODFIELD INVESTORS LLC	CHICAGO, IL	7/29/2016	00776291-07	Filed
HARRINGTON REINSURANCE HOLDINGS LTD	HAMILTON, AA	7/28/2016	00776240-07	Filed
MEDIA VENTURES FUND 1 LLC	NEW YORK, NY	7/28/2016	00776239-07	Filed
CUNEXUS SOLUTIONS INC	SANTA ROSA, CA	7/27/2016	00776172-07	Filed
ARLINGTON CAPITAL PARTNERS 4 LP	CHEVY CHASE, MD	7/25/2016	00776180-07	Filed
GEARBOX EXPRESS LLC	MUKWONAGO, WI	7/25/2016	00776155-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
H&R Block Tax Services LLC / H&R Block	Kansas City, MO	7/29/2016	613914	Registered
CAR-X, LLC / CAR-X	Schaumburg, IL	7/29/2016	613912	Registered
F45 Training Incorporated / F45 Training	Los Angeles, CA	7/29/2016	613918	Registered
FLOWERAMA OF AMERICA INC / FLOWERAMA	Cedar Falls, IA	7/29/2016	613919	Registered
FLOWERAMA OF AMERICA INC / FLOWERAMA - Co-brand	Cedar Falls, IA	7/29/2016	613920	Registered
UG Franchise Operations, LLC / UFC Gym	Santa Ana, CA	7/28/2016	613906	Registered

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TUTOR DOCTOR SYSTEMS INC / Tutor Doctor	WILMINGTON, DE	7/28/2016	613903	Registered
KOKO FITCLUB LLC / Koko FitClub	Rockland, MA	7/28/2016	613902	Registered
Bishops Franchising, LLC / Bishops	Portland, OR	7/28/2016	613908	Registered
Kiosk Concepts, Inc. / The Original Soup Man	Staten Island, NY	7/27/2016	613900	Registered
Ultimate Franchises, Inc. / 18/8 or 18/8 Fine Men's Salon	Laguna Niguel, CA	7/27/2016	613899	Registered
U Got Stink Franchising, LLC / U Got Stink?	Battle Ground, WA	7/27/2016	613898	Registered
Rocky Mountain Chocolate Factory, Inc. / Rocky Mountain Chocolate Factory	Durango, CO	7/26/2016	613907	Registered
ROMA FRANCHISE CORP / Tony Roma's	Orlando, FL	7/25/2016	613889	Registered
Number of Franchise Filings: 14				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	