

**WI Securities Law and Franchise Law Filings
for 7/17/2016 through 7/23/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CONCORDE FUNDS INC CONCORDE WEALTH MANAGEMENT FUND	MILWAUKEE, WI	7/22/2016	00776373-03	Registered
1ST AMERICAN FUNDS INC RETAIL PRIME OBLIGATIONS FUND CLASS A SHARES	MILWAUKEE, WI	7/18/2016	00774818-03	Registered
1ST AMERICAN FUNDS INC RETAIL PRIME OBLIGATIONS FUND CLASS V SHARES	MILWAUKEE, WI	7/18/2016	00774819-03	Registered
1ST AMERICAN FUNDS INC RETAIL PRIME OBLIGATIONS FUND CLASS Y SHARES	MILWAUKEE, WI	7/18/2016	00774820-03	Registered
1ST AMERICAN FUNDS INC RETAIL PRIME OBLIGATIONS FUND CLASS Z SHARES	MILWAUKEE, WI	7/18/2016	00774821-03	Registered
Number of Investment Company Filings: 5				

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MLG PF OASIS CO INVESTOR LLC	BROOKFIELD, WI	7/22/2016	00774847-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	7/19/2016	613859	Registered
Number of Franchise Filings: 1				