

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/17/2016 through 7/23/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST SENTRY BANCSHARES INC	HUNTINGTON, WV	7/21/2016	00776307-02	Pending
MORGAN CREEK GLOBAL EQUITY LONG SHORT INSTITUTIONAL FUND CLASS I SHARES	CHAPEL HILL, NC	7/18/2016	00774790-01	Registered
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BOSTON TR & WALDEN FUNDS BOSTON TR EQUITY FUND	COLUMBUS, OH	7/22/2016	00774864-03	Registered
BOSTON TR & WALDEN FUNDS BOSTON TR MIDCAP FUND	COLUMBUS, OH	7/22/2016	00774865-03	Registered
BOSTON TR & WALDEN FUNDS BOSTON TR SMID CAP FUND	COLUMBUS, OH	7/22/2016	00774866-03	Registered
CONCORDE FUNDS INC CONCORDE WEALTH MANAGEMENT FUND	MILWAUKEE, WI	7/22/2016	00776373-03	Registered
1ST TR COMBINED SERIES 531 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 29	WHEATON, IL	7/21/2016	00775742-05	Registered
FT 6137 EQUITY CLOSED END PORTFOLIO SERIES 41	WHEATON, IL	7/21/2016	00775745-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SMART TR 257 SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 14	PARISIPPANY, NJ	7/21/2016	00775744-05	Pending-Cleared
SMART TR 258 SMART TR SMART 10 TR SERIES 5	PARISIPPANY, NJ	7/21/2016	00775743-05	Pending-Cleared
VICTORY PORTFOLIOS 2 VICTORY CEMP INTERNATIONAL VOLATILITY WTD INDEX FUND CLASS I	BROOKLYN, OH	7/21/2016	00774860-03	Registered
VICTORY PORTFOLIOS 2 VICTORY CEMP US 500 VOLATILITY WTD INDEX FUND CLASS R6	BROOKLYN, OH	7/21/2016	00774859-03	Registered
ADVISORS DISCIPLINED TR 1694 FINANCIAL OPPORTUNITIES PORTFOLIO SERIES 2016 3	MONUMENT, CO	7/20/2016	00775739-05	Registered
ADVISORS DISCIPLINED TR 1695 UBIQUITOUS OPPORTUNITIES PORTFOLIO SERIES 2106 2	MONUMENT, CO	7/20/2016	00775741-05	Registered
ADVISORS DISCIPLINED TR 1696 UBIQUITOUS STRATEGY PORTFOLIO SERIES 2016 2	MONUMENT, CO	7/20/2016	00775740-05	Registered
ADVISORS DISCIPLINED TR 1723 TX EX SECURITIES TR INVESTMENT GRADE 8 12 YEAR SERIES 3 HIMCO PORTFOLIO	MONUMENT, CO	7/20/2016	00775738-05	Registered
CAPITOL SERIES TR CANTERBURY PORTFOLIO THERMOSTAT FUND INSTITUTIONAL SHARES	INDIANAPOLIS, IN	7/20/2016	00774867-03	Registered
FT 6132 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 36	WHEATON, IL	7/20/2016	00774845-05	Registered
FT 6133 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 30	WHEATON, IL	7/20/2016	00774844-05	Registered

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FT 6134 VALUE EQUITY & FIXED INCOME ETF PORTFOLIO SERIES	WHEATON, IL	7/20/2016	00774842-05	Registered
FT 6136 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 8	WHEATON, IL	7/20/2016	00774839-05	Registered
FT 6145 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 74	WHEATON, IL	7/20/2016	00774840-05	Registered
FT 6207 SABRIENT SMALL CAP GROWTH PORTFOLIO SERIES 11	WHEATON, IL	7/20/2016	00774843-05	Registered
FT 6236 US ENERGY INDEPENDENCE PORTFOLIO SERIES 12	WHEATON, IL	7/20/2016	00774841-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1487 S&P DIVIDEND ARISTOCRATS SELECT 25 STRATEGY PORTFOLIO SERIES 4	LISLE, IL	7/20/2016	00775737-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1487 US 50 DIVIDEND STRATEGY PORTFOLIO SERIES 9	LISLE, IL	7/20/2016	00775736-05	Registered
1ST AMERICAN FUNDS INC RETAIL PRIME OBLIGATIONS FUND CLASS A SHARES	MILWAUKEE, WI	7/18/2016	00774818-03	Registered
1ST AMERICAN FUNDS INC RETAIL PRIME OBLIGATIONS FUND CLASS V SHARES	MILWAUKEE, WI	7/18/2016	00774819-03	Registered
1ST AMERICAN FUNDS INC RETAIL PRIME OBLIGATIONS FUND CLASS Y SHARES	MILWAUKEE, WI	7/18/2016	00774820-03	Registered
1ST AMERICAN FUNDS INC RETAIL PRIME OBLIGATIONS FUND CLASS Z SHARES	MILWAUKEE, WI	7/18/2016	00774821-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CRM MUTUAL FUND TR CRM LONG SHORT OPPORTUNITIES FUND INSTITUTIONAL CLASS	WILMINGTON, DE	7/18/2016	00774801-03	Registered
ELKHORN UNIT TR SERIES 11 ELKHORN HIGH YIELD DIVIDEND ARISTOCRATS SELECT PORTFOLIO SERIES 3	WHEATON, IL	7/18/2016	00774822-05	Registered
HIGHLAND FUNDS 1 HIGHLAND MERGER ARBITRAGE FUND CLASS A	DALLAS, TX	7/18/2016	00774796-03	Pending-Cleared
HIGHLAND FUNDS 1 HIGHLAND MERGER ARBITRAGE FUND CLASS C	DALLAS, TX	7/18/2016	00774797-03	Pending-Cleared
HIGHLAND FUNDS 1 HIGHLAND MERGER ARBITRAGE FUND CLASS Z	DALLAS, TX	7/18/2016	00774792-03	Pending-Cleared
SANFORD C BERNSTEIN FUND INC AB INTERMEDIATE NY MUNICIPAL PORTFOLIO ADVISOR CLASS	NEW YORK, NY	7/18/2016	00774791-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA INFLATION OPPORTUNITIES CLASS R6	ST. PETERSBURG, FL	7/18/2016	00774794-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA MID CAP VALUE OPPORTUNITIES CLASS R6	ST. PETERSBURG, FL	7/18/2016	00774795-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP GROWTH CLASS R6	ST. PETERSBURG, FL	7/18/2016	00774793-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA SMALL CAP VALUE CLASS R6	ST. PETERSBURG, FL	7/18/2016	00774798-03	Registered
Number of Investment Company Filings: 38				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVANTAGE ADVISERS TX EX HIGH INCOME FUND 2 LLC	NEW YORK, NY	7/22/2016	00774850-07	Filed
ANTRIABIO INC	LOUISVILLE, CO	7/22/2016	00774855-07	Filed
AZURE MINERALS LTD	WEST PERTH, 6005, AA	7/22/2016	00774857-07	Filed
CROSSTX INC	BOZEMAN, MT	7/22/2016	00774851-07	Filed
HEDGEPREMIER BALYASNY FUND 2 LP	NEW YORK, NY	7/22/2016	00774852-07	Filed
IMMUNOME INC	PHILADELPHIA, PA	7/22/2016	00774858-07	Filed
MIRAMAR LABS INC	SANTA CLARA, CA	7/22/2016	00774854-07	Filed
MLG PF OASIS CO INVESTOR LLC	BROOKFIELD, WI	7/22/2016	00774847-07	Filed
ROCKBRIDGE HOSPITALITY FUND 7 LP	COLUMBUS, CA	7/22/2016	00774846-07	Filed
STRATEGOS PARTNERS LLC	SEATTLE, WA	7/22/2016	00774849-07	Filed
VERIFLOW SYSTEMS INC	CHAMPAIGN, IL	7/22/2016	00774848-07	Filed
ARBOR DEBT OPPORTUNITIES FUND 1 LP	CHICAGO, IL	7/21/2016	00775746-07	Filed
TELCENTRIS INC	SAN DIEGO, CA	7/21/2016	00775747-07	Filed
ARBOR INVESTMENTS 4 LP	CHICAGO, IL	7/20/2016	00774838-07	Filed
EES VENTURES 1 LP	HOUSTON, TX	7/20/2016	00774837-07	Filed
CONNECT FINANCIAL SOFTWARE SOLUTIONS LLC	MURRAY, UT	7/19/2016	00774832-07	Filed
ECLIPSE FUND 2 LP	PALO ALTO, CA	7/19/2016	00774835-07	Filed
NEUROVANCE INC	CAMBRIDGE, MA	7/19/2016	00774836-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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THIELE MARKETPLACE INCOME FUND LP	MINNEAPOLIS, MN	7/19/2016	00774833-07	Filed
ACADIAN GLOBAL MANAGED VOLATILITY EQUITY FUND LLC	BOSTON, MA	7/18/2016	00774815-07	Filed
AMAZING THEATRICALS LTD LIABILITY CO	NEW YORK, NY	7/18/2016	00774817-07	Filed
PARAMETRIC DEFENSIVE EQUITY FUND LLC	MINNEAPOLIS, MN	7/18/2016	00774816-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Nathan's Famous Systems, Inc. - Branded Menu Program	Jericho, NY	7/22/2016	613882	Registered
JS Subs, LLC / Jon Smith Subs	West Palm Beach, FL	7/22/2016	613881	Registered
NATHANS FAMOUS SYSTEMS INC / Nathan's Famous Systems, Inc.	Jericho, NY	7/22/2016	613880	Registered
Vantage Franchising, Inc. / Lexington by Vantage (Lexington Inn or Lexington Hotel)	Coral Springs, FL	7/21/2016	613873	Registered
Vantage Franchising, Inc. / Signature Inn	Coral Springs, FL	7/21/2016	613872	Registered
Vantage Franchising, Inc. / Jameson Inn or Jameson Inn & Suites	Coral Springs, FL	7/21/2016	613871	Registered
Vantage Franchising, Inc. / Country Hearth Inns, Country Hearth Suites or Country Hearth Inn & Suites	Coral Springs, FL	7/21/2016	613870	Registered
Vantage Franchising, Inc. / America's Best Value Inn or Americas Best Value Inn & Suites	Coral Springs, FL	7/21/2016	613869	Registered
Venture X Franchising, LLC / Venture X	West Palm Beach, FL	7/21/2016	613868	Registered
Orion Food Systems, L.L.C. / Hot Stuff Pizza	Sioux Falls, SD	7/20/2016	613862	Registered

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Blo Blow Dry Bar Inc. / Blo Blow Dry Bar	Toronto, ON	7/19/2016	613861	Registered
Cafe and Bakery Group, LLC / Apple Spice	Salt Lake City, UT	7/19/2016	613860	Registered
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	7/19/2016	613859	Registered
AlignLife Systems, LLC / AlignLife	Peoria, IL	7/18/2016	613885	Registered
Number of Franchise Filings: 14				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	