

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/3/2016 through 7/9/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMG FUNDS AMG SOUTHERNSUN GLOBAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	7/8/2016	00774213-03	Registered
AMG FUNDS AMG SOUTHERNSUN GLOBAL OPPORTUNITIES FUND INVESTOR CLASS	MILWAUKEE, WI	7/8/2016	00774214-03	Registered
BLACKROCK FUNDS BLACKROCK MIN VOL EAFE INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	7/8/2016	00774109-03	Registered
BLACKROCK FUNDS BLACKROCK MIN VOL EAFE INDEX FUND CLASS K SHARES	WILMINGTON, DE	7/8/2016	00774111-03	Registered
BLACKROCK FUNDS BLACKROCK MIN VOL EAFE INDEX FUND INVESTOR A SHARES	WILMINGTON, DE	7/8/2016	00774105-03	Registered
BLACKROCK FUNDS BLACKROCK MIN VOL EAFE INDEX FUND INVESTOR C SHARES	WILMINGTON, DE	7/8/2016	00774107-03	Registered

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BLACKROCK FUNDS BLACKROCK MIN VOL USA INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	7/8/2016	00774101-03	Registered
BLACKROCK FUNDS BLACKROCK MIN VOL USA INDEX FUND CLASS K SHARES	WILMINGTON, DE	7/8/2016	00774103-03	Registered
BLACKROCK FUNDS BLACKROCK MIN VOL USA INDEX FUND INVESTOR A SHARES	WILMINGTON, DE	7/8/2016	00774108-03	Registered
BLACKROCK FUNDS BLACKROCK MIN VOL USA INDEX FUND INVESTOR C SHARES	WILMINGTON, DE	7/8/2016	00774106-03	Registered
BLACKROCK FUNDS BLACKROCK MULTIFACTOR INTERNATIONAL INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	7/8/2016	00774110-03	Registered
BLACKROCK FUNDS BLACKROCK MULTIFACTOR INTERNATIONAL INDEX FUND INVESTOR C SHARES	WILMINGTON, DE	7/8/2016	00774102-03	Registered
BLACKROCK FUNDS BLACKROCK MULTIFACTOR INTERNATIONAL INDEX FUND INVESTOR A SHARES	WILMINGTON, DE	7/8/2016	00774100-03	Registered
BLACKROCK FUNDS BLACKROCK MULTIFACTOR INTERNATIONAL INDEX FUND CLASS K SHARES	WILMINGTON, DE	7/8/2016	00774104-03	Registered
BLACKROCK FUNDS BLACKROCK MULTIFACTOR USA INDEX FUND INVESTOR A SHARES	WILMINGTON, DE	7/8/2016	00774096-03	Registered
BLACKROCK FUNDS BLACKROCK MULTIFACTOR USA INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	7/8/2016	00774098-03	Registered

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BLACKROCK FUNDS BLACKROCK MULTIFACTOR USA INDEX FUND INVESTOR C SHARES	WILMINGTON, DE	7/8/2016	00774097-03	Registered
BLACKROCK FUNDS BLACKROCK MULTIFACTOR USA INDEX FUND CLASS K SHARES	WILMINGTON, DE	7/8/2016	00774099-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1482 NATIONAL MUNICIPAL PORTFOLIO OF CEFS SERIES 31	LISLE, IL	7/8/2016	00774215-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1482 STRATEGIC INCOME PORTFOLIO SERIES 94	LISLE, IL	7/8/2016	00774217-05	Registered
NUVEEN INVESTMENT TR NUVEEN NWQ GLOBAL EQUITY INCOME FUND CLASS R3	CHIGACO, IL	7/8/2016	00774095-03	Registered
NUVEEN UNIT INVESTMENT TR SERIES 146 NUVEEN 2016 MID YEAR EQUITY OUTLOOK PORTFOLIO	CHICAGO, IL	7/8/2016	00774216-05	Registered
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS HEDGED US EQUITY OPPORTUNITIES FUND INSTITUTIONAL CLASS	NEW YORK, NY	7/7/2016	00774173-03	Pending-Cleared
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS HEDGED US EQUITY OPPORTUNITIES FUND ADVISOR CLASS	NEW YORK, NY	7/7/2016	00774175-03	Pending-Cleared
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS HEDGED US EQUITY OPPORTUNITIES FUND CLASS A	NEW YORK, NY	7/7/2016	00774174-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 528 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 25	WHEATON, IL	7/7/2016	00774200-05	Registered
FT 6131 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 58	WHEATON, IL	7/7/2016	00774203-05	Registered
FT 6143 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 78	WHEATON, IL	7/7/2016	00774204-05	Registered
FT 6158 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 49	WHEATON, IL	7/7/2016	00774202-05	Registered
FT 6203 CYCLICAL STRENGTH PORTFOLIO SERIES 14	WHEATON, IL	7/7/2016	00774201-05	Registered
FT 6223 60 40 STRATEGIC ALLOCATION PORT 3Q 16 TERM 10 17 17	WHEATON, IL	7/7/2016	00774177-05	Registered
FT 6223 75 25 STRATEGIC ALLOCATION PORT 3Q 16 TERM 10 17 17	WHEATON, IL	7/7/2016	00774176-05	Registered
FT 6224 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 27	WHEATON, IL	7/7/2016	00774195-05	Registered
FT 6225 1ST TR ETF ALLOCATION PORTFOLIO SERIES 17	WHEATON, IL	7/7/2016	00774205-05	Registered
FT 6226 EUROPEAN TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 10	WHEATON, IL	7/7/2016	00774180-05	Registered
FT 6226 TARGET DIVIDEND BLEND PORTFOLIO SERIES 10	WHEATON, IL	7/7/2016	00774178-05	Registered
FT 6226 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 15	WHEATON, IL	7/7/2016	00774179-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6227 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 3Q 16 TERM 10 13 17	WHEATON, IL	7/7/2016	00774206-05	Registered
FT 6228 INCOME ALLOCATION CLOSED END 3Q 16	WHEATON, IL	7/7/2016	00774207-05	Registered
FT 6230 DOW TARGET 5 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774194-05	Registered
FT 6230 DOW TARGET DVD 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774192-05	Registered
FT 6230 GLOBAL TARGET 15 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774191-05	Registered
FT 6230 S&P TARGET 24 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774193-05	Registered
FT 6230 S&P TARGET SMID 60 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774187-05	Registered
FT 6230 TARGET DBL PLAY 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774189-05	Registered
FT 6230 TARGET DIVSD DVD 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774186-05	Registered
FT 6230 TARGET DVD MULTI STRAT 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774188-05	Registered
FT 6230 TARGET FOCUS 4 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774190-05	Registered
FT 6230 TARGET GLOBAL DVD LEADERS 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774181-05	Registered
FT 6230 TARGET GROWTH 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774182-05	Registered
FT 6230 TARGET TRIAD 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774183-05	Registered
FT 6230 TARGET VIP 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774184-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 6230 VALUE LINE TARGET 25 3Q 16 TERM 10 6 17	WHEATON, IL	7/7/2016	00774185-05	Registered
FT 6231 40 60 STRATEGIC ALLOCATION PORT 3Q 16 TERM 10 19 17	WHEATON, IL	7/7/2016	00774199-05	Registered
SMART TR 251 SMART TR ADELANTE REIT GROWTH & INCOME TR SERIES 9	PARSIPPANY, NJ	7/7/2016	00774196-05	Registered
SMART TR 252 SMART TR DORSEY WRIGHT INTERNATIONAL MOMENTUM TR SERIES 4	PARSIPPANY, NJ	7/7/2016	00774197-05	Registered
SMART TR 253 SMART TR CEFA SELECT BDC TR SERIES 7	PARSIPPANY, NJ	7/7/2016	00774198-05	Registered
SMART TR 254 SMART TR DEFENSIVE 50 EQUITIES TR SERIES 8	PARSIPPANY, NJ	7/7/2016	00774212-05	Registered
DOMINI SOCIAL INVESTMENT TR DOMINI SOCIAL BOND FUND INSTITUTIONAL SHARES	NEW YORK, NY	7/6/2016	00774020-03	Registered
ADVISORS DISCIPLINED TR 1684 EQUITY DIVIDEND & INCOME CLOSED END PORTFOLIO SERIES 2016 3	MONUMENT, CO	7/5/2016	00774037-05	Registered
ADVISORS DISCIPLINED TR 1685 BALANCED PORTFOLIO SERIES 2016 2	MONUMENT, CO	7/5/2016	00774036-05	Registered
ADVISORS DISCIPLINED TR 1685 GLOBAL CLOSED END PORTFOLIO SERIES 2016 3	MONUMENT, CO	7/5/2016	00774035-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1479 CHAPIN DAVIS SELECT 25 SERIES 1	LISLE, IL	7/5/2016	00774039-05	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1481 ENHANCED QUALITY 16 STRATEGY PORTFOLIO SERIES 4	LISLE, IL	7/5/2016	00774038-05	Registered
PROFESSIONALLY MANAGED PORTFOLIOS MUZINICH LOW DURATION FUND SUPRA INSTITUTIONAL SHARES	MILWAUKEE, WI	7/5/2016	00774019-03	Registered
Number of Investment Company Filings: 65				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ANCERA INC	BRANFORD, CT	7/8/2016	00774218-07	Filed
COLDPOINT LOGISTICS LLC	KANSAS CITY, MO	7/8/2016	00774220-07	Filed
ENCOUNTER BROADWAY LTD LIABILITY CO	NEW YORK, NY	7/8/2016	00774223-07	Filed
SUN BIOPHARMA INC	SAVAGE, MN	7/8/2016	00774222-07	Filed
WI REINSURANCE CORP	MADISON, WI	7/8/2016	00774224-07	Filed
ALTAIR SENIOR HOUSING FUND 2 AEW	CENTURY CITY, CA	7/7/2016	00774209-07	Filed
SWINGLINEZ FUND 2 LLC	GLENDALE, WI	7/7/2016	00774208-07	Filed
CD&R CORDEVIGO EQUITY HOLDINGS LP	NEW YORK, NY	7/6/2016	00774021-07	Filed
GEM REALTY FUND 6 LP	CHICAGO, IL	7/6/2016	00774023-07	Filed
LARSON CAPITAL FUND 4 LLC	SAINT LOUIS, MO	7/6/2016	00774024-07	Filed
TTCP EXECUTIVE FUND LM LLC	BLOOMINGTON, MN	7/6/2016	00774022-07	Filed
HEALTHEDGE INVESTMENT FUND 3 LP	TAMPA, FL	7/5/2016	00774012-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HEW TEX VANCE JOINT VENTURE	HOUSTON, TX	7/5/2016	00774025-07	Filed
TX HEALTHCARE PORTFOLIO DST	OAK BROOK, IL	7/5/2016	00774014-07	Filed
Number of Regulation D Notice Filings: 14				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Gigi's Cupcakes, LLC / Gigi's Cupcakes	Fort Worth, TX	7/8/2016	613838	Registered
Sarillian Management, LLC / Golden Heart Senior Care	Frisco, TX	7/8/2016	613837	Registered
Critter Control, Inc. / Critter Control	Traverse City, MI	7/8/2016	613835	Registered
TMC FRANCHISE CORP / Circle K	TEMPE, AZ	7/7/2016	613834	Registered
Realty Executives Intl. SVCS. LLC / Realty Executives	Scottsdale, AZ	7/7/2016	613830	Registered
Interstate Battery Franchising & Development, Inc. / Interstate All Battery Center	Urbandale, IA	7/7/2016	613829	Registered
BIMBO FOODS BAKERIES DISTRIBUTION, LLC / Bimbo Foods Bakeries Distribution or BFBD	HORSHAM, PA	7/6/2016	613826	Registered
Earthgrains Distribution, LLC / Earthgrains	Horsham, PA	7/6/2016	613825	Registered
Color Me Mine Enterprises, Inc. / Color Me Mine	Glendale, CA	7/6/2016	613824	Registered
Virgola USA, LLC / Virgola	New York, NY	7/6/2016	613823	Registered
CoreLife Eatery Franchisor, LLC / CoreLife Eatery	Endicott, NY	7/5/2016	613821	Registered
Restoration Specialties Franchise Group, LLC / ART	Livonia, MI	7/5/2016	613820	Registered
Number of Franchise Filings: 12				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	