

**WI Securities Law and Franchise Law Filings  
for 6/12/2016 through 6/18/2016**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR OAK RIDGE DISCIPLINED GROWTH FUND CLASS I	MILWAUKEE, WI	6/16/2016	00773718-03	Pending-Cleared
<b>Number of Investment Company Filings: 1</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NEOPHARM PARMACANN INVESTORS LLC	DE PERE, WI	6/17/2016	00772992-07	Filed
FRED 22 SLATE LLC	MILWAUKEE, WI	6/13/2016	00772968-07	Filed
<b>Number of Regulation D Notice Filings: 2</b>				