

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 6/12/2016 through 6/18/2016**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CAREY CREDIT INCOME FUND 2016 T	NEW YORK, NY	6/16/2016	00773716-01	Registered
REFUGE WAY OF THE CROSS CHURCH OF CHRIST INC	GOVANS, MD	6/13/2016	00772975-02	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1674 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 110	MONUMENT, CO	6/17/2016	00773722-05	Registered
ADVISORS DISCIPLINED TR 1698 MATURING BOND PORTFOLIO CORPORATE INVESTMENT GRADE 3 5 YEAR SERIES 4	MONUMENT, CO	6/17/2016	00773725-05	Registered
ADVISORS DISCIPLINED TR 1712 BAHL & GAYNOR SMIG SMALL MID CAP INCOME GROWTH PORTFOLIO SERIES 2016 2Q	MONUMENT, CO	6/17/2016	00773724-05	Registered
FEDERATED EQUITY FUNDS FEDERATED STRATEGIC VALUE DIVIDEND FUND CLASS R6 SHARES	WARRENDALE, PA	6/17/2016	00773027-03	Pending-Cleared
FEDERATED GLOBAL ALLOCATION FUND CLASS R6 SHARES	WARRENDALE, PA	6/17/2016	00773028-03	Pending-Cleared

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FEDERATED INSTITUTIONAL TR FEDERATED INSTITUTIONAL HIGH YIELD BOND FUND CLASS R6 SHARES	WARRENDALE, PA	6/17/2016	00773026-03	Pending-Cleared
FEDERATED MDT SERIES FEDERATED MDT SMALL CAP CORE FUND CLASS R6 SHARES	WARRENDALE, PA	6/17/2016	00773024-03	Pending-Cleared
FEDERATED MDT SERIES FEDERATED MDT SMALL CAP GROWTH FUND CLASS R6 SHARES	WARRENDALE, PA	6/17/2016	00773029-03	Pending-Cleared
FEDERATED MDT STOCK TR CLASS R6 SHARES	WARRENDALE, PA	6/17/2016	00773023-03	Pending-Cleared
FEDERATED TOTAL RETURN GOVERNMENT BOND FUND CLASS R6 SHARES	WARRENDALE, PA	6/17/2016	00773025-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1475 MLP & ENERGY FUNDS PORTFOLIO SERIES 14	LISLE, IL	6/17/2016	00773723-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 144 NUVEEN ARK GENOMIC HEALTHCARE & BIOTECH PORTFOLIO 2Q 2016	CHICAGO, IL	6/17/2016	00773726-05	Registered
INVESTMENT MANAGERS SERIES TR OAK RIDGE DISCIPLINED GROWTH FUND CLASS I	MILWAUKEE, WI	6/16/2016	00773718-03	Pending-Cleared
PRICE T ROWE INSTITUTIONAL INCOME FUNDS INC PRICE T ROWE INSTITUTIONAL CASH RESERVES FUND	BALTIMORE, MA	6/16/2016	00773001-03	Pending-Cleared
1ST TR COMBINED SERIES 526 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 28	WHEATON, IL	6/15/2016	00772902-05	Registered

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1ST TR COMBINED SERIES 527 TX EX MUNICIPAL INCOME TR 10 20 YEAR SERIES 25	WHEATON, IL	6/15/2016	00772901-05	Registered
ADVISORS DISCIPLINED TR 1673 Q GARP PORTFOLIO SERIES 2016 2Q	MONUMENT, CO	6/15/2016	00772893-05	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP CONSERVATIVE FUND ADVISOR CLASS	SAN MATEO, CA	6/15/2016	00772795-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP GROWTH FUND ADVISOR CLASS	SAN MATEO, CA	6/15/2016	00772796-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP MODERATE FUND ADVISOR CLASS	SAN MATEO, CA	6/15/2016	00772797-03	Registered
FT 6081 SABRIENT DEFENSIVE EQUITY PORTFOLIO SERIES 8	WHEATON, IL	6/15/2016	00772914-05	Registered
FT 6082 TECHNOLOGY SELECT PORTFOLIO SERIES 61	WHEATON, IL	6/15/2016	00772904-05	Registered
FT 6083 DEFENSIVE EQUITY PORTFOLIO SERIES 37	WHEATON, IL	6/15/2016	00772911-05	Registered
FT 6084 CLOUD COMPUTING PORTFOLIO SERIES 15	WHEATON, IL	6/15/2016	00772908-05	Registered
FT 6092 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES EUROPE OPPORTUNITIES PORTFOLIO 2016 1	WHEATON, IL	6/15/2016	00772910-05	Registered
FT 6105 GLOBAL AGRICULTURE PORTFOLIO SERIES 34	WHEATON, IL	6/15/2016	00772912-05	Registered
FT 6106 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 73	WHEATON, IL	6/15/2016	00772903-05	Registered

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FT 6108 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 27	WHEATON, IL	6/15/2016	00772909-05	Registered
FT 6109 BANKING OPPORTUNITY PORTFOLIO SERIES 22	WHEATON, IL	6/15/2016	00772905-05	Registered
FT 6110 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 24	WHEATON, IL	6/15/2016	00772900-05	Registered
FT 6111 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 13	WHEATON, IL	6/15/2016	00772907-05	Pending-Cleared
FT 6119 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 65	WHEATON, IL	6/15/2016	00772913-05	Registered
FT 6120 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 55	WHEATON, IL	6/15/2016	00772915-05	Registered
FT 6121 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 77	WHEATON, IL	6/15/2016	00772899-05	Registered
FT 6122 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 66	WHEATON, IL	6/15/2016	00772906-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 141 NUVEEN NAJARIAN HEAT SEEKER PORTFOLIO 2Q 2016	CHICAGO, IL	6/15/2016	00772891-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 142 NUVEEN BALANCE SHEET STRENGTH PORTFOLIO 2Q 2016	CHICAGO, IL	6/15/2016	00772890-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 143 NUVEEN PROSPECT CYCLICAL MOMENTUM PORTFOLIO 2Q 2016	CHICAGO, IL	6/15/2016	00772892-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESCO UNIT TR MUNICIPAL SERIES 1274 INVESTMENT GRADE MUNICIPAL TR 7 13 YEAR SERIES 71	DOWNERS GROVE, IL	6/14/2016	00772985-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1275 INVESTMENT GRADE MUNICIPAL TR 7 13 YEAR SERIES 72	DOWNERS GROVE, IL	6/14/2016	00772984-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1276 QUALITY MUNICIPAL INCOME TR 10 20 YEAR SERIES 92	DOWNERS GROVE, IL	6/14/2016	00772987-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1277 QUALITY MUNICIPAL INCOME TR 10 20 YEAR SERIES 93	DOWNERS GROVE, IL	6/14/2016	00772986-05	Pending-Cleared
INVESCO UNIT TR SERIES 1658 BALANCED DIVIDEND SUSTAINABILITY & INCOME PORTFOLIO 2016 2	DOWNERS GROVE, IL	6/14/2016	00772978-05	Registered
INVESCO UNIT TR SERIES 1685 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 2016 3	OAKBROOK, IL	6/14/2016	00772983-05	Pending-Cleared
INVESCO UNIT TR SERIES 1686 AMERICAN INFRASTRUCTURE GROWTH PORTFOLIO 2016 3	DOWNERS GROVE, IL	6/14/2016	00772980-05	Pending-Cleared
INVESCO UNIT TR SERIES 1687 BUYBACK LEADERS PORTFOLIO 2016 3	DOWNERS GROVE, IL	6/14/2016	00772979-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 577 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 63	DOWNERS GROVE, IL	6/14/2016	00772981-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 578 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 64	DOWNERS GROVE, IL	6/14/2016	00772982-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 6098 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 35	WHEATON, IL	6/13/2016	00772831-05	Registered
FT 6114 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	6/13/2016	00772832-05	Registered
MUTUAL FUND SERIES TR EVENTIDE MULTI ASSET INCOME FUND CLASS A SHARES	HAUPPAUGE, NY	6/13/2016	00772833-03	Registered
<b>Number of Investment Company Filings: 51</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AUDAX SENIOR LOAN FUND 3 OFFSHORE LP	GEORGE TOWN, GRAND CAYMAN, AA	6/17/2016	00772994-07	Filed
EMR CAPITAL RESOURCES FUND 2 LP	GRAND CAYMAN, AA	6/17/2016	00773728-07	Filed
MEZZANINE PRIVATE INVESTORS 3 LLC	NEW YORK, NY	6/17/2016	00772988-07	Filed
NEOPHARM PARMACANN INVESTORS LLC	DE PERE, WI	6/17/2016	00772992-07	Filed
OCA BREDS 3 LLC	NEW YORK, NY	6/17/2016	00772989-07	Filed
PATHFINDER RAINTREE RESIDENTIAL 2 LP	SAN DIEGO, CA	6/17/2016	00772993-07	Filed
REGENT STREET DYNAMIC FUND LP	SALT LAKE CTY, UT	6/17/2016	00772995-07	Filed
REGENT STREET FOCUSED FUND LP	SALT LAKE CTY, UT	6/17/2016	00772997-07	Filed
REGENT STREET SELECT FUND LP	SALT LAKE CTY, UT	6/17/2016	00772999-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WAKEFIELD PORTFOLIO FUNDING 1 LLC	FAIRFIELD, CT	6/17/2016	00772991-07	Filed
MILLROCK RESOURCES INC	VANCOUVER, BC	6/16/2016	00773717-07	Filed
MONARCH DEBT RECOVERY FUND LP	NEW YORK, NY	6/15/2016	00772894-07	Filed
SOFTTECH VC 5 LP	PALO ALTO, CA	6/15/2016	00772897-07	Filed
SOFTTECH VC PLUS LP	PALO ALTO, CA	6/15/2016	00772896-07	Filed
LARGE BLUE HOLDINGS BE LP	BEVERLY HILLS, CA	6/14/2016	00772976-07	Filed
MESA WEST CORE LENDING FUND LP	W LOS ANGELES, CA	6/14/2016	00772977-07	Filed
FRED 22 SLATE LLC	MILWAUKEE, WI	6/13/2016	00772968-07	Filed
GLOBAL RESERVE PARTNERS LLC	PARKLAND, FL	6/13/2016	00772967-07	Filed
<b>Number of Regulation D Notice Filings: 18</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ZAGG Inc / ZAGG	Midvale, UT	6/17/2016	613738	Registered
SM Clean L.L.C. / ServiceMaster Home Services Plumbing	Memphis, TN	6/17/2016	613737	Registered
Cabin Coffee Franchising, Inc. / Cabin Coffee Co.	Clear Lake, IA	6/17/2016	613757	Registered
Coastal Angler Magazine Franchising Inc / Coastal Angler	Indian Harbour Beach, FL	6/17/2016	613758	Registered
Fourth Avenue Restaurant Group, LLC / Smokin' Oak Wood-Fired Pizza	St. Petersburg, FL	6/16/2016	613736	Registered
Tilford's Franchise, LLC / Tilford's Wood Fire Pizza	Lakewood, CO	6/16/2016	613735	Registered
The Glass Guru Franchise Systems, Inc.	Roseville, CA	6/16/2016	613734	Registered
CareBuilders At Home, Inc. / ARA Program	Lake Success, NY	6/16/2016	613732	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ATC HEALTHCARE SERVICES INC / ATC Healthcare Services	LAKE SUCCESS, NY	6/16/2016	613731	Registered
Nu-Ventures, LLC / Samuel Mancino's Italian Eatery (AKA Mancino's)	Portage, MI	6/16/2016	613729	Registered
BOJANGLES INTERNATIONAL LLC / Bojangles' Famous Chicken N' Biscuits	CHARLOTTE, NC	6/14/2016	613714	Registered
The Learning Experience Systems, LLC / The Learning Experience Academy of Early Education (Zone Developer Program)	Boca Raton, FL	6/14/2016	613712	Registered
U-Save Auto Rental of America, Inc. / U-Save Car & Truck Rental	Ridgeland, MS	6/13/2016	613709	Registered
CareBuilders At Home, Inc. / CareBuilders At Home	Lake Success, NY	6/13/2016	613706	Registered
<b>Number of Franchise Filings: 14</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	