

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/29/2016 through 6/4/2016**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MORGAN CREEK GLOBAL EQUITY LONG SHORT INSTITUTIONAL FUND CLASS A SHARES	CHAPEL HILL, NC	6/3/2016	00772446-01	Pending-Cleared
CAREY CREDIT INCOME FUND 2017 T	NEW YORK, NY	6/1/2016	00772396-01	Pending
UNITED METHODIST DEVELOPMENT FUND	NEW YORK, NY	6/1/2016	00772392-02	Pending
SQN ASSET INCOME FUND 5 LP	NEW YORK, NY	5/31/2016	00772358-01	Pending
<b>Number of Registration Filings: 4</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ICONSUMER CORP	MIAMI GARDENS, FL	6/2/2016	00772675-25	Filed
COTTONWOOD MULTIFAMILY REIT 1 INC	SALT LAKE CITY, UT	5/31/2016	00772405-25	Filed
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACKROCK FUNDS 2 BLACKROCK GLOBAL DIVIDEND PORTFOLIO CLASS K SHARES	WILMINGTON, DE	6/3/2016	00772589-03	Registered
BLACKROCK FUNDS BLACKROCK HEALTH SCIENCES OPPORTUNITIES PORTFOLIO CLASS K SHARES	WILMINGTON, DE	6/3/2016	00772591-03	Registered

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BLACKROCK GLOBAL ALLOCATION FUND INC CLASS K SHARES	WILMINGTON, DE	6/3/2016	00772590-03	Registered
FIDELITY COLCHESTER STREET TR PRIME RESERVES PORTFOLIO INSTITUTIONAL CLASS	BOSTON, MA	6/3/2016	00772588-03	Registered
FIDELITY COLCHESTER STREET TR PRIME RESERVES PORTFOLIO SELECT CLASS	BOSTON, MA	6/3/2016	00772586-03	Registered
FIDELITY COLCHESTER STREET TR PRIME RESERVES PORTFOLIO CLASS 1	BOSTON, MA	6/3/2016	00772585-03	Registered
FIDELITY COLCHESTER STREET TR PRIME RESERVES PORTFOLIO CLASS 2	BOSTON, MA	6/3/2016	00772587-03	Registered
FIDELITY COLCHESTER STREET TR PRIME RESERVES PORTFOLIO CLASS 3	BOSTON, MA	6/3/2016	00772584-03	Registered
ADVISORS DISCIPLINED TR 1665 MUNICIPAL CLOSED END PORTFOLIO SERIES 2016 2	MONUMENT, CO	6/2/2016	00772682-05	Registered
ADVISORS DISCIPLINED TR 1665 SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 2 YR SERIES 2016 2	MONUMENT, CO	6/2/2016	00772684-05	Registered
ADVISORS DISCIPLINED TR 1666 HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 2016 2	MONUMENT, CO	6/2/2016	00772683-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1461 CASH FLOW KINGS PORTFOLIO SERIES 1	LISLE, IL	6/2/2016	00772678-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1468 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 48	LISLE, IL	6/2/2016	00772680-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1469 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 61	LISLE, IL	6/2/2016	00772679-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1471 UBS CHECK A MONTH LIST EQUITY ADVISORY GROUP 2016 2 SERIES 5	LISLE, IL	6/2/2016	00772681-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1478 COVERED CALL & INCOME PORTFOLIO CEFS SERIES 37	LISLE, IL	6/2/2016	00772677-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1478 EQUITY & INCOME PORTFOLIO CEFS SERIES 40	LISLE, IL	6/2/2016	00772685-05	Registered
ADVISORS INNER CIRCLE FUND 2 BURKENROAD SMALL CAP FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	6/1/2016	00772397-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1467 LARGE CAP CORE PORTFOLIO SERIES 27	LISLE, IL	6/1/2016	00772394-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 137 NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO 2Q 2016	CHICAGO, IL	6/1/2016	00772393-05	Registered
FT 6017 STRUCTURED PORTFOLIO PLAN DEFINED PORTFOLIO JUNE 2016 SERIES	WHEATON, IL	5/31/2016	00772417-05	Registered
FT 6025 FINANCIALS SELECT PORTFOLIO SERIES 55	WHEATON, IL	5/31/2016	00772413-05	Registered
FT 6064 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 50	WHEATON, IL	5/31/2016	00772415-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 6066 CHAIKIN LOW BETA GROWTH PORTFOLIO SERIES 3	WHEATON, IL	5/31/2016	00772406-05	Registered
FT 6067 TACTICAL ALPHA PORTFOLIO SERIES 9	WHEATON, IL	5/31/2016	00772409-05	Registered
FT 6068 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 27	WHEATON, IL	5/31/2016	00772414-05	Registered
FT 6069 SABRIENT DIVIDEND PORTFOLIO SERIES 14	WHEATON, IL	5/31/2016	00772410-05	Registered
FT 6089 BOFA MERRILL LYNCH SAFER WORLD SOLUTIONS PORTFOLIO SERIES	WHEATON, IL	5/31/2016	00772412-05	Registered
FT 6097 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 57	WHEATON, IL	5/31/2016	00772411-05	Registered
FT 6100 CORPORATE HIGH INCOME PORTFOLIO SERIES 21	WHEATON, IL	5/31/2016	00772408-05	Registered
FT 6102 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 58	WHEATON, IL	5/31/2016	00772416-05	Registered
FT 6103 STONEBRIDGE PREFERRED INCOME PORTFOLIO SERIES 3	WHEATON, IL	5/31/2016	00772407-05	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW GLOBAL FLEXIBLE INCOME FUND CLASS A	BALTIMORE, MD	5/31/2016	00772343-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW GLOBAL FLEXIBLE INCOME FUND CLASS I	BALTIMORE, MD	5/31/2016	00772344-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW GLOBAL FLEXIBLE INCOME FUND CLASS IS	BALTIMORE, MD	5/31/2016	00772345-03	Registered

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MUTUAL FUND SERIES TR CATALYST MAP GLOBAL CAPITAL APPRECIATION FUND CLASS I	HAUPPAUGE, NY	5/31/2016	00772347-03	Registered
MUTUAL FUND SERIES TR CATALYST PRINCETON HEDGED INCOME FUND CLASS I	HAUPPAUGE, NY	5/31/2016	00772359-03	Registered
NORTHERN LIGHTS FUND TR 4 MAIN BUYWRITE FUND CLASS I SHARES	OMAHA, NC	5/31/2016	00772360-03	Registered
PERMANENT PORTFOLIO FAMILY OF FUNDS INC AGGRESSIVE GROWTH PORTFOLIO CLASS C	MILWAUKEE, WI	5/31/2016	00772351-03	Registered
PERMANENT PORTFOLIO FAMILY OF FUNDS INC AGGRESSIVE GROWTH PORTFOLIO CLASS A	MILWAUKEE, WI	5/31/2016	00772350-03	Registered
PERMANENT PORTFOLIO FAMILY OF FUNDS INC PERMANENT PORTFOLIO CLASS C	MILWAUKEE, WI	5/31/2016	00772353-03	Registered
PERMANENT PORTFOLIO FAMILY OF FUNDS INC PERMANENT PORTFOLIO CLASS A	MILWAUKEE, WI	5/31/2016	00772352-03	Registered
PERMANENT PORTFOLIO FAMILY OF FUNDS INC VERSATILE BOND PORTFOLIO CLASS C	MILWAUKEE, WI	5/31/2016	00772348-03	Registered
PERMANENT PORTFOLIO FAMILY OF FUNDS INC VERSATILE BOND PORTFOLIO CLASS A	MILWAUKEE, WI	5/31/2016	00772349-03	Registered
PNC FUNDS PNC TREASURY MONEY MARKET FUND CLASS A SHARES	BALTIMORE, MD	5/31/2016	00772346-03	Registered
<b>Number of Investment Company Filings: 45</b>				

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
QUP WORLD INC	BAINBRIDGE IS, WA	6/3/2016	00772686-07	Filed
BOM AUSTRALIA LTD LIABILITY CO	NEW YORK, NY	6/2/2016	00772400-07	Filed
FARADAY INC	MIDDLEBURY, VT	6/2/2016	00772401-07	Filed
INFOCUS CAPITAL GROUP LLC	STICKNEY, IL	6/2/2016	00772402-07	Filed
JT RIVERS REAL ESTATE FUND LLC	FRISCO, TX	6/2/2016	00772398-07	Filed
LONGITUDE VENTURE PARTNERS 3 LP	MENLO PARK, CA	6/2/2016	00772399-07	Filed
SCOUT SECURITY INC	CHICAGO, IL	6/2/2016	00772403-07	Filed
VISTA FOUNDATION FUND 3 LP	SAN FRANCISCO, CA	5/31/2016	00772404-07	Filed
<b>Number of Regulation D Notice Filings: 8</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Jewelry Repair Enterprises, Inc. / Fast-Fix Jewelry and Watch Repairs	Boca Raton, FL	6/3/2016	613680	Registered
LearningRx Franchise Corporation / LearningRx Training Center	COLORADO SPRINGS, CO	6/3/2016	613679	Registered
Captain D's, LLC / Captain D's	Nashville, TN	6/3/2016	613678	Registered
Seniors Helping Seniors, LLC / Seniors Helping Seniors	Wyomissing Hills, PA	6/3/2016	613675	Registered
Famous Recipe Group, LLC / Lee's Famous Recipe Chicken	Shalimar, FL	6/3/2016	613674	Registered
Copeland's of New Orleans, L.L.C. / Copeland's of New Orleans	Metairie, LA	6/2/2016	613670	Registered
MCCOLLA ENTERPRISES LTD / Street Corner	TOPEKA, KS	6/2/2016	613691	Registered
Blue Stamp Franchise Company / Postal Connections	Frisco, TX	6/1/2016	613669	Registered

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Smiling Moose Franco, LLC / Smiling Moose Rocky Mountain Deli	Centennial, CO	6/1/2016	613661	Registered
Krispy Kreme Doughnut Corporation / Krispy Kreme	Winston-Salem, NC	5/31/2016	613660	Registered
Medifast Franchise Systems, Inc. / Medifast Weight Control Centers	Owings Mills, MD	5/31/2016	613690	Registered
<b>Number of Franchise Filings: 11</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	