

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/15/2016 through 5/21/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
XG SCIENCES INC	LANSING, MI	5/16/2016	00770999-02	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HOPE COMMUNITY CHURCH OF NC INC	RALEIGH, NC	5/17/2016	00771090-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1459 DIVIDEND GROWTH PORTFOLIO SERIES 9	LISLE, IL	5/20/2016	00772169-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1459 DIVIDEND STRENGTH PORTFOLIO SERIES 21	LISLE, IL	5/20/2016	00772168-05	Registered
ADVISORS PREFERRED TR SPECTRUM LOW VOLATILITY FUND INVESTOR CLASS SHARES	HAUPPAUGE, NY	5/19/2016	00772165-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AC ALTERNATIVES LONG SHORT FUND R6 CLASS	KANSAS CITY, MO	5/19/2016	00771061-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AC ALTERNATIVES LONG SHORT FUND C CLASS	KANSAS CITY, MO	5/19/2016	00771057-03	Registered

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AMERICAN CENTURY CAPITAL PORTFOLIOS INC AC ALTERNATIVES LONG SHORT FUND INVESTOR CLASS	KANSAS CITY, MO	5/19/2016	00771059-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AC ALTERNATIVES LONG SHORT FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	5/19/2016	00771058-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AC ALTERNATIVES LONG SHORT FUND R CLASS	KANSAS CITY, MO	5/19/2016	00771060-03	Registered
AMERICAN CENTURY CAPITAL PORTFOLIOS INC AC ALTERNATIVES LONG SHORT FUND A CLASS	KANSAS CITY, MO	5/19/2016	00771056-03	Registered
NORTHERN LIGHTS FUND TR 3 ACM DYNAMIC OPPORTUNITY FUND CLASS I SHARES	HAUPPAUGE, NY	5/19/2016	00772167-03	Registered
NORTHERN LIGHTS FUND TR 3 ACM DYNAMIC OPPORTUNITY FUND CLASS A SHARES	HAUPPAUGE, NY	5/19/2016	00772166-03	Registered
PRICE T ROWE QUANTITATIVE MANAGEMENT FUNDS INC PRICE T ROWE QM US SMALL CAP GROWTH EQUITY FUND PTRQMUSSCGEF ADVISOR CLAS	BALTIMORE, MA	5/19/2016	00771037-03	Pending-Cleared
STATE FARM MUTUAL FUND TR STATE FARM LIFEPAH 2050 FUND PREMIER SHARES	BLOOMINGTON, IL	5/19/2016	00771062-03	Registered
TIMOTHY PLAN LARGE MID CAP VALUE FUND CLASS I	HAUPPAUGE, NY	5/19/2016	00772164-03	Registered
UBS FUNDS UBS TOTAL RETURN BOND FUND CLASS P	CHICAGO, IL	5/19/2016	00771070-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6019 GLOBAL DEEP VALUE DIVIDEND PORTFOLIO SERIES 10	WHEATON, IL	5/18/2016	00771087-05	Registered
FT 6020 LOW VOLATILITY PORTFOLIO SERIES 3	WHEATON, IL	5/18/2016	00771093-05	Registered
FT 6035 DIVIDEND INCOME TR SERIES 14	WHEATON, IL	5/18/2016	00771091-05	Registered
FT 6038 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 25	WHEATON, IL	5/18/2016	00771092-05	Registered
FT 6050 STIFEL BEST PICKS MAY 2016	WHEATON, IL	5/18/2016	00771084-05	Registered
FT 6051 TOP PICKS MAY 2016 PORTFOLIO	WHEATON, IL	5/18/2016	00771085-05	Registered
FT 6059 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 37	WHEATON, IL	5/18/2016	00771088-05	Registered
FT 6096 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 76	WHEATON, IL	5/18/2016	00771089-05	Registered
SMART TR 245 STRATEGIC GROWTH & INCOME TR SERIES 9	PARSIPPANY, NJ	5/18/2016	00771094-05	Registered
ADVISORS DISCIPLINED TR 1594 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 109	MONUMENT, CO	5/17/2016	00771082-05	Registered
BOSTON TR & WALDEN FUNDS BOSTON TR ASSET MANAGEMENT FUND	COLUMBUS, OH	5/17/2016	00772019-03	Registered
CHARLES SCHWAB FAMILY OF FUNDS SCHWAB VARIABLE SHARE PRICE MONEY FUNDB ULTRA SHARES	SAN FRANCISCO, CA	5/17/2016	00772018-03	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1458 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 18	LISLE, IL	5/17/2016	00771083-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1458 UNDERVALUED TOP PICKS PORTFOLIO SERIES 9	LISLE, IL	5/17/2016	00771078-05	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1272 INVESTMENT GRADE MUNICIPAL TR 10 20 YEAR SERIES 10	DOWNERS GROVE, IL	5/17/2016	00771075-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1273 INVESTMENT GRADE MUNICIPAL TR 10 20 YEAR SERIES 11	DOWNERS GROVE, IL	5/17/2016	00771076-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 572 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 18	DOWNERS GROVE, IL	5/17/2016	00771073-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 573 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 19	DOWNERS GROVE, IL	5/17/2016	00771074-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 574 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 72	DOWNERS GROVE, IL	5/17/2016	00771071-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 575 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 73	DOWNERS GROVE, IL	5/17/2016	00771072-05	Pending-Cleared
NUVEEN UNIT INVESTMENT TR SERIES 134 NUVEEN SYMPHONY LOW VOLATILITY EQUITY PORTFOLIO 2Q 2016	CHICAGO, IL	5/17/2016	00771081-05	Registered

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NUVEEN UNIT INVESTMENT TR SERIES 135 NUVEEN ARGUS INTERNATIONAL DIVIDEND SEEKER PORTFOLIO 2Q 2016	CHICAGO, IL	5/17/2016	00771080-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 136 NUVEEN PROSPECT ENERGY & POWER INCOME PORTFOLIO 2Q 2016	CHICAGO, IL	5/17/2016	00771079-05	Registered
NUVEEN UNIT INVESTMENT TR SERIES 136 NUVEEN PROSPECT INCOME FINANCE PORTFOLIO 2Q 2016	CHICAGO, IL	5/17/2016	00771077-05	Registered
WELLS FARGO FUNDS TR WELLS FARGO SMALL CAP CORE FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/17/2016	00772015-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO SMALL CAP CORE FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	5/17/2016	00772016-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO SMALL CAP CORE FUND CLASS R6	SAN FRANCISCO, CA	5/17/2016	00772017-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO SMALL CAP CORE FUND CLASS A	SAN FRANCISCO, CA	5/17/2016	00772013-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO SMALL CAP CORE FUND CLASS C	SAN FRANCISCO, CA	5/17/2016	00772014-03	Registered
1ST TR COMBINED SERIES 522 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 27	WHEATON, IL	5/16/2016	00770995-05	Registered
1ST TR COMBINED SERIES 523 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 24	WHEATON, IL	5/16/2016	00770993-05	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON GLG TOTAL RETURN FUND INVESTOR CLASS	IRVING, TX	5/16/2016	00770955-03	Registered

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AMERICAN BEACON FUNDS AMERICAN BEACON GLG TOTAL RETURN FUND C CLASS	IRVING, TX	5/16/2016	00770952-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON GLG TOTAL RETURN FUND ULTRA CLASS	IRVING, TX	5/16/2016	00770956-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON GLG TOTAL RETURN FUND Y CLASS	IRVING, TX	5/16/2016	00770953-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON GLG TOTAL RETURN FUND A CLASS	IRVING, TX	5/16/2016	00770957-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON GLG TOTAL RETURN FUND INSTITUTIONAL CLASS	IRVING, TX	5/16/2016	00770954-03	Registered
FINANCIAL INVESTORS TR SEAFARER OVERSEAS VALUE FUND INSTITUTIONAL CLASS	DENVER, CO	5/16/2016	00770951-03	Registered
FINANCIAL INVESTORS TR SEAFARER OVERSEAS VALUE FUND INVESTOR CLASS	DENVER, CO	5/16/2016	00770950-03	Registered
FT 6014 DIVIDEND EDGE PORTFOLIO SERIES 2	WHEATON, IL	5/16/2016	00770997-05	Registered
FT 6018 EQUITY INCOME PORTFOLIO SERIES 51	WHEATON, IL	5/16/2016	00770994-05	Registered
FT 6052 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 21	WHEATON, IL	5/16/2016	00770996-05	Registered
FT 6053 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 47	WHEATON, IL	5/16/2016	00770992-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 6060 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	5/16/2016	00770998-05	Registered
Number of Investment Company Filings: 59				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BIONIK LABORATORIES CORP	TORONTO, M5G2C9, ON	5/20/2016	00772002-07	Filed
CONTEXT ICG INVESTORS LLC	W LOS ANGELES, CA	5/20/2016	00772007-07	Filed
INDUSTRIAL GROWTH PARTNERS 5 LP	SAN FRANCISCO, CA	5/20/2016	00772000-07	Filed
INDUSTRY VENTURES SECONDARY 8 LP	SAN FRANCISCO, CA	5/20/2016	00772001-07	Filed
JVM MULTI FAMILY PREMIER FUND 2 LLC	OAK BROOK, IL	5/20/2016	00772161-07	Filed
LONE STAR REAL ESTATE FUND 5 US LP	DALLAS, TX	5/20/2016	00772005-07	Filed
PAPERG INC	SAN FRANCISCO, CA	5/20/2016	00772003-07	Filed
PRISMA SPECTRUM FUND LP	NEW YORK, NY	5/20/2016	00772006-07	Filed
SMARTUQ LLC	MADISON, WI	5/20/2016	00772004-07	Filed
TPG FOF 7 QP LP	FT WORTH, TX	5/20/2016	00772008-07	Filed
PEPE SOUTH LLC	PROVIDENCE, RI	5/18/2016	00771095-07	Filed
FT COLLINS MULTIFAMILY PORTFOLIO DST	HINSDALE, IL	5/17/2016	00771086-07	Filed
ABRAMS BISON OFFSHORE FUND LTD	GEORGE TOWN, GRAND CAYMAN, AA	5/16/2016	00770991-07	Filed
GUERNSEY HOTEL LLC	NEENAH, WI	5/16/2016	00770970-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SUNBURST ROYALTY LLC	OKLAHOMA CITY, OK	5/16/2016	00770971-07	Filed
Number of Regulation D Notice Filings: 15				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Sears Home Appliance Showrooms, LLC / Sears Home Appliance Showroom, Sears Appliance & Hardware Store, Sears Outlet Store, Sears Hometown Store	Hoffman Estates, IL	5/20/2016	613627	Registered
1-800-RADIATOR FRANCHISOR SPV LLC / 1-800-RADIATOR & A/C®	Benicia, CA	5/20/2016	613626	Registered
WoodSpring Hotels Franchise Services LLC / Value Place	Wichita, KS	5/20/2016	613625	Registered
DaVi Nails Salon and Spa, LLC / DaVi Nails	West Valley City, UT	5/20/2016	613624	Registered
Caribou Coffee Development Company, Inc. / Caribou Coffee	Minneapolis, MN	5/20/2016	613646	Registered
Clubstore Outlet LLC / Clubstore Outlet - Area Representative	Charleston, SC	5/19/2016	613623	Registered
EVERYTHING YOGURT BRANDS LLC / Green Leaf's Beyond Great Salads/Bananas Smoothies & Frozen Yogurt	MORRISTOWN, NJ	5/19/2016	613644	Registered
Big Air Franchising, LLC / Big Air Trampoline Park	Ladera Ranch, CA	5/18/2016	613621	Registered
THRIFTY RENT-A-CAR SYSTEM INC / THRIFTY, THRIFTY RENT-A-CAR	Estero, FL	5/18/2016	613620	Registered
DOLLAR RENT A CAR INC / Robert M Barton	Estero, FL	5/18/2016	613619	Registered
HERTZ SYSTEM, INC. / HERTZ	Estero, FL	5/18/2016	613618	Registered
The UPS Store, Inc. / The UPS Store® (Non-Traditional)	SAN DIEGO, CA	5/17/2016	613617	Registered
The UPS Store, Inc. / The UPS Store® (Traditional)	SAN DIEGO, CA	5/17/2016	613616	Registered
Taco Bell Franchisor, LLC / "Taco Bell Express" "Taco Bell"	Irvine, CA	5/17/2016	613639	Registered
Taco Bell Franchisor, LLC / Taco Bell; Taco Bell Express - Traditional	Irvine, CA	5/17/2016	613640	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BOSTON PIZZA RESTAURANTS LP / Boston's The Gourmet Pizza Restaurant & Sports Bar	DALLAS, TX	5/16/2016	613614	Registered
Mama Mia, Inc. / MODE	Fargo, ND	5/16/2016	613613	Registered
DRIVE N STYLE FRANCHISOR SPV LLC / DRIVE N STYLE®	Charlotte, NC	5/16/2016	613609	Registered
Amada Franchise, Inc. / Amada Senior Care	San Clemente, CA	5/16/2016	613608	Registered
Number of Franchise Filings: 19				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	