

**WI Securities Law and Franchise Law Filings
for 5/8/2016 through 5/14/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BMO FUNDS INC BMO FLOATING NAV PRIME MONEY MARKET FUND INVESTOR CLASS	MILWAUKEE, WI	5/12/2016	00770974-03	Pending-Cleared
BMO FUNDS INC BMO FLOATING NAV PRIME MONEY MARKET FUND PREMIER CLASS	MILWAUKEE, WI	5/12/2016	00770973-03	Pending-Cleared
TR FOR ADVISED PORTFOLIOS FULCRUM DIVERSIFIED ABSOLUTE RETURN FUND ADVISOR CLASS	MILWAUKEE, WI	5/11/2016	00770909-03	Registered
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

**WI Securities Law and Franchise Law Filings
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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
PIGGLY WIGGLY MIDWEST, LLC / PIGGLY WIGGLY	Sheboygan, WI	5/11/2016	613578	Registered
Number of Franchise Filings: 1				