

**WI Securities Law and Franchise Law Filings
for 4/17/2016 through 4/23/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR BERWYN CORNERSTONE FUND	MILWAUKEE, WI	4/18/2016	00768786-03	Registered
INVESTMENT MANAGERS SERIES TR BERWYN FUND	MILWAUKEE, WI	4/18/2016	00768787-03	Registered
INVESTMENT MANAGERS SERIES TR BERWYN INCOME FUND	MILWAUKEE, WI	4/18/2016	00768788-03	Registered
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PEGASUS SUSTAINABILITY SOLUTIONS INC	FITCHBURG, WI	4/21/2016	00769724-07	Filed
Number of Regulation D Notice Filings: 1				

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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ADVENTURES IN ADVERTISING FRANCHISE LLC	NEENAH, WI	4/20/2016	613257	Registered
GN Independents, Inc / Glass Nickel Pizza Co.	Madison, WI	4/18/2016	613209	Registered
Number of Franchise Filings: 2				