

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/13/2016 through 3/19/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MCI REIT 3 INC	FARMERS BRANCH, TX	3/16/2016	00766931-01	Pending
WCF BANCORP INC	WEBSTER CITY, IA	3/15/2016	00766766-01	Pending
NORTHSTAR REAL ESTATE CAPITAL INCOME FUND	NEW YORK, NY	3/14/2016	00766822-01	Pending
NORTHSTAR REAL ESTATE CAPITAL INCOME FUND T	NEW YORK, NY	3/14/2016	00766823-01	Pending
WILDERMUTH ENDOWMENT STRATEGY FUND CLASS C SHARES	ALPHARETTA, GA	3/14/2016	00766828-01	Pending
Number of Registration Filings: 5				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FORWARD COMMUNITY INVESTMENTS INC	MADISON, WI	3/17/2016	00767378-06	Pending
VIRTUUX HOLDINGS INC	AUSTIN, TX	3/14/2016	00766829-25	Filed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1629 Q GARP PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	3/18/2016	00767382-05	Registered
ADVISORS DISCIPLINED TR 1671 MATURING BOND PORTFOLIO CORPORATE INVESTMENT GRADE 3 5 YEAR SERIES 2016 2	MONUMENT, CO	3/18/2016	00767383-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1434 DIVERSIFIED DIVIDEND & INCOME PORTFOLIO SERIES 23	LISLE, IL	3/18/2016	00767385-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1434 EUROPEAN SMALL CAP CAPITAL STRENGTH PORTFOLIO SERIES 5	LISLE, IL	3/18/2016	00767386-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1435 MLP & ENERGY FUNDS PORTFOLIO SERIES 13	LISLE, IL	3/18/2016	00767384-05	Registered
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS COVERED CALL FUND ADVISOR CLASS	NEW YORK, NY	3/17/2016	00766880-03	Pending-Cleared
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS COVERED CALL FUND INSTITUTIONAL CLASS	NEW YORK, NY	3/17/2016	00766879-03	Pending-Cleared
1ST INVESTORS EQUITY FUNDS 1ST INVESTORS COVERED CALL FUND CLASS A	NEW YORK, NY	3/17/2016	00766881-03	Pending-Cleared
FRONTIER FUNDS FRONTIER MFG GLOBAL PLUS FUND SERVICE CLASS SHARES	MILWAUKEE, WI	3/17/2016	00767379-03	Registered
FT 5933 DEFENSIVE EQUITY PORTFOLIO SERIES 36	WHEATON, IL	3/17/2016	00766883-05	Registered
FT 5934 SABRIENT DEFENSIVE EQUITY PORTFOLIO SERIES 7	WHEATON, IL	3/17/2016	00766888-05	Registered
FT 5941 BANKING OPPORTUNITY PORTFOLIO SERIES 21	WHEATON, IL	3/17/2016	00766884-05	Registered
FT 5942 CYBERSECURITY PORTFOLIO SERIES 5	WHEATON, IL	3/17/2016	00766887-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5986 CORPORATE HIGH INCOME PORTFOLIO SERIES 19	WHEATON, IL	3/17/2016	00766889-05	Registered
FT 5987 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 74	WHEATON, IL	3/17/2016	00766882-05	Registered
INCAPITAL UNIT TR SERIES 124 PROSPECT CYCLICAL MOMENTUM PORTFOLIO SERIES 3	CHICAGO, IL	3/17/2016	00767381-05	Registered
INCAPITAL UNIT TR SERIES 125 ARK GENOMIC HEALTHCARE & BIOTECH PORTFOLIO 1Q 2016	CHICAGO, IL	3/17/2016	00767380-05	Registered
SMART TR 231 SMART TR ARGUS DIVIDEND GROWERS TOTAL RETURN TR SERIES 8	PARSIPPANY, NJ	3/17/2016	00766885-05	Pending-Cleared
SMART TR 232 SMART TR TAX FREE INCOME TR SERIES 22	PARSIPPANY, NJ	3/17/2016	00766886-05	Pending-Cleared
INVESCO UNIT TR SERIES 1648 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766898-05	Pending-Cleared
INVESCO UNIT TR SERIES 1648 EAFE SELECT 20 PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766897-05	Pending-Cleared
INVESCO UNIT TR SERIES 1648 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766901-05	Pending-Cleared
INVESCO UNIT TR SERIES 1648 SELECT S&P CORE PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766900-05	Pending-Cleared
INVESCO UNIT TR SERIES 1648 SELECT S&P INDUSTRIAL PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766899-05	Pending-Cleared

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INVESCO UNIT TR SERIES 1649 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766902-05	Pending-Cleared
INVESCO UNIT TR SERIES 1649 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 2016 2	DOWNERS GROVE, IL	3/15/2016	00766904-05	Pending-Cleared
INVESCO UNIT TR SERIES 1649 CLOSED END STRATEGY MASTER INCOME PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766905-05	Pending-Cleared
INVESCO UNIT TR SERIES 1649 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766903-05	Pending-Cleared
INVESCO UNIT TR SERIES 1650 CLOSED END STRATEGY SELECT OPPORTUNITY PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766906-05	Pending-Cleared
INVESCO UNIT TR SERIES 1651 ALL CAP CORE STRATEGY 2016 2	DOWNERS GROVE, IL	3/15/2016	00766907-05	Pending-Cleared
INVESCO UNIT TR SERIES 1651 DIVIDEND INCOME LEADERS STRATEGY PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766912-05	Pending-Cleared
INVESCO UNIT TR SERIES 1651 LARGE CAP CORE STRATEGY 2016 2	DOWNERS GROVE, IL	3/15/2016	00766908-05	Pending-Cleared
INVESCO UNIT TR SERIES 1651 LARGE CAP VALUE STRATEGY 2016 2	DOWNERS GROVE, IL	3/15/2016	00766913-05	Pending-Cleared
INVESCO UNIT TR SERIES 1651 MID CAP CORE STRATEGY 2016 2	DOWNERS GROVE, IL	3/15/2016	00766909-05	Pending-Cleared
INVESCO UNIT TR SERIES 1651 S&P POWERPICKS PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766911-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1651 SMALL CAP CORE STRATEGY 2016 2	DOWNERS GROVE, IL	3/15/2016	00766910-05	Pending-Cleared
INVESCO UNIT TR SERIES 1652 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766915-05	Pending-Cleared
INVESCO UNIT TR SERIES 1652 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766914-05	Pending-Cleared
INVESCO UNIT TR SERIES 1657 BUYBACK LEADERS PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/15/2016	00766916-05	Pending-Cleared
SMART TR 229 SMART TR ZACKS DIVERSIFIED EQUITY & CORPORATE BOND TR SERIES 11	PARSIPPANY, NJ	3/15/2016	00766765-05	Registered
SMART TR 230 SMART TR ENHANCED VALUE 2 TR SERIES 14	PARSIPPANY, NJ	3/15/2016	00766764-05	Registered
TCW FUNDS INC TCW INTERNATIONAL GROWTH FUND CLASS I	LOS ANGELES, CA	3/15/2016	00766956-03	Registered
TCW FUNDS INC TCW INTERNATIONAL GROWTH FUND CLASS N	LOS ANGELES, CA	3/15/2016	00766957-03	Registered
TCW FUNDS INC TCW NEW AMERICA PREMIER EQUITIES FUND I SHARE	LOS ANGELES, CA	3/15/2016	00766958-03	Registered
TCW FUNDS INC TCW NEW AMERICA PREMIER EQUITIES FUND N SHARE	LOS ANGELES, CA	3/15/2016	00766959-03	Registered
1ST TR COMBINED SERIES 512 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 25	WHEATON, IL	3/14/2016	00766810-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 513 TX EX MUNICIPAL INCOME TR SERIES 292	WHEATON, IL	3/14/2016	00766821-05	Registered
FT 5919 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 53	WHEATON, IL	3/14/2016	00766811-05	Registered
FT 5930 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 27	WHEATON, IL	3/14/2016	00766818-05	Registered
FT 5931 GLOBAL DIVIDEND PORTFOLIO SERIES 46	WHEATON, IL	3/14/2016	00766814-05	Registered
FT 5932 TECHNOLOGY SELECT PORTFOLIO SERIES 60	WHEATON, IL	3/14/2016	00766820-05	Registered
FT 5951 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 33	WHEATON, IL	3/14/2016	00766813-05	Registered
FT 5955 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 26	WHEATON, IL	3/14/2016	00766815-05	Registered
FT 5956 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 12	WHEATON, IL	3/14/2016	00766817-05	Registered
FT 5957 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 23	WHEATON, IL	3/14/2016	00766816-05	Registered
FT 5960 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 36	WHEATON, IL	3/14/2016	00766812-05	Registered
FT 6029 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 60	WHEATON, IL	3/14/2016	00766819-05	Registered
Number of Investment Company Filings: 57				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AB ENERGY OPPORTUNITY FUND LP	NEW YORK, NY	3/18/2016	00766890-07	Filed
AG ENERGY PARTNERS EXTENSION FUND LP	NEW YORK, NY	3/18/2016	00766892-07	Filed
ALLIANCE TOWER FUND 5 LP	OVERLAND PARK, KS	3/18/2016	00766895-07	Filed
CHD BIOSCIENCE INC	FORT COLLINS, CO	3/18/2016	00766896-07	Filed
FORT PIERCE CNG PARTNERS LLC	COSTA MESA, CA	3/18/2016	00766891-07	Filed
NANOX IMAGING PLC	GIBRALTAR, AA	3/18/2016	00766894-07	Filed
NEWLIGHT TECHNOLOGIES LLC	COSTA MESA, CA	3/18/2016	00766893-07	Filed
MARATHON EUROPEAN CREDIT OPPORTUNITY FUND 3 LP	NEW YORK, NY	3/17/2016	00766878-07	Filed
2 SIGMA WORLD CORE FUND LP	CANAL STREET, NY	3/15/2016	00766760-07	Filed
ARROWGRASS CUSTOMISED SOLUTIONS 1 LP	KY1-1104, AA	3/15/2016	00766759-07	Filed
ASBURY PARK FILMS LP	LAS VEGAS, NV	3/15/2016	00766917-07	Filed
FL NY MULTIFAMILY PORTFOLIO DST	OAK BROOK, IL	3/15/2016	00766761-07	Filed
FOCAL THERAPEUTICS INC	ALISO VIEJO, CA	3/15/2016	00766763-07	Filed
PF3 LLC	ANN ARBOR, MI	3/15/2016	00766762-07	Filed
Number of Regulation D Notice Filings: 14				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TeamLogic, Inc. / TeamLogicIT	Mission Viejo, CA	3/18/2016	612681	Registered

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Lemon Heaven International, Inc. / Lemon Heaven and/or Cin City Donuts	Delta, BC	3/18/2016	612680	Registered
KTU WORLDWIDE, INC. / Kitchen Tune-Up	ABERDEEN, SD	3/18/2016	612679	Registered
ABC, Inc., a North Dakota corporation / abc Seamless	Fargo, ND	3/18/2016	612678	Registered
Murphy Business & Financial Corporation LLC / Murphy Business & Financial Corporation	Clearwater, FL	3/18/2016	612677	Registered
I9 SPORTS CORP / I9 SPORTS	RIVERVIEW, FL	3/18/2016	612676	Registered
Sir Speedy, Inc. / Sir Speedy Printing	Mission Viejo, CA	3/17/2016	612675	Registered
Experimac Franchising, LLC / Experimac	West Palm Beach, FL	3/17/2016	612674	Registered
City Wide Franchise Company, Inc. / CITY WIDE	Lenexa, KS	3/17/2016	612673	Registered
SSV Franchising LLC / School Spirit Vending	Stephenville, TX	3/17/2016	612672	Registered
JEI SELF LEARNING SYSTEMS, INC.	LOS ANGELES, CA	3/17/2016	612749	Registered
Buck's Pizza Franchising Corporation, Inc. / Buck's Pizza	DuBois, PA	3/16/2016	612660	Registered
WEATHERSBY GUILD INC / Weathersby Guild, Inc.	Peachtree, GA	3/16/2016	612742	Registered
WINMARK CORP / Once Upon A Child Franchise Program	MINNEAPOLIS, MN	3/16/2016	612746	Registered
Winmark Corporation / Play It Again Sports	Minneapolis, MN	3/16/2016	612747	Registered
Winmark Corporation / Style Encore	Minneapolis, MN	3/16/2016	612743	Registered
Winmark Corporation / Plato's Closet	Minneapolis, MN	3/16/2016	612744	Registered
Winmark Corporation / Music Go Round	Minneapolis, MN	3/16/2016	612745	Registered
NPM Franchising, LLC / EarthWise Pet Supply	Canby, OR	3/15/2016	612656	Registered
MJ MK Enterprises LLC / Deka Lash-Area Representative	McMurray, PA	3/15/2016	612655	Registered
Sign*A*Rama Inc. / Signarama	West Palm Beach, FL	3/15/2016	612654	Registered
Stroller Strides, LLC / Fit4Mom	San Marcos, CA	3/14/2016	612653	Registered
Holiday Diversified Services, Inc. / Holiday Stationstores	Minneapolis, MN	3/14/2016	612652	Registered
CP Franchising, LLC / Cruise Planners	Coral Springs, FL	3/14/2016	612651	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
USA INSULATION FRANCHISE CORP / USA INSULATION	Cleveland, OH	3/14/2016	612644	Registered
Drama Kids International, Inc. / Drama Kids	Leesburg, VA	3/14/2016	612649	Registered
PRECISION HOLDINGS OF BREVARD INC / Precision Door Service	TITUSVILLE, FL	3/14/2016	612645	Registered
Fetch! Pet Care, Inc. / Fetch! Pet Care; Fetch!	Dayton, OH	3/14/2016	612648	Registered
TapSnap Ventures, Inc. / TapSnap	North Vancouver, BC	3/14/2016	612647	Registered
Enviro-Master International Franchise, LLC	Charlotte, NC	3/14/2016	612646	Registered
ABCSP INC / Always Best Care Senor Services	ROSEVILLE, CA	3/14/2016	612671	Registered
The Beef Jerky Outlet Franchise, Inc. / Beef Jerky Outlet	Dandridge, TN	3/14/2016	612670	Registered
Number of Franchise Filings: 32				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	