

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 3/6/2016 through 3/12/2016**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BETHEL BAPTIST CHURCH HAMPTON VA INC	HAMPTON, VA	3/11/2016	00766789-10	Not Disallowed
EMBASSIES OF CHRIST KINGDOM MINISTRIES EOC INC	GARY, IN	3/11/2016	00766787-10	Not Disallowed
TRINITY BAPTIST CHURCH OF TRACY DBA SOUTHWINDS CHURCH	TRACY, CA	3/11/2016	00766788-10	Not Disallowed
ALLEGIANCY LLC	BON AIR, VA	3/7/2016	00766428-25	Filed
<b>Number of Exemption Filings: 4</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1592 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 107	MONUMENT, CO	3/11/2016	00766808-05	Registered
ADVISORS DISCIPLINED TR 1617 CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 2016 1	MONUMENT, CO	3/11/2016	00766799-05	Registered
ADVISORS DISCIPLINED TR 1618 GLOBAL BASIC MATERIALS PORTFOLIO SERIES 2016 1	MONUMENT, CO	3/11/2016	00766800-05	Registered

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ADVISORS DISCIPLINED TR 1619 PERONI GROWTH PORTFOLIO SPRING 2016 SERIES	MONUMENT, CO	3/11/2016	00766802-05	Registered
ADVISORS DISCIPLINED TR 1620 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	3/11/2016	00766803-05	Registered
ADVISORS DISCIPLINED TR 1621 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	3/11/2016	00766804-05	Registered
ADVISORS DISCIPLINED TR 1623 AGRI BUSINESS OPPORTUNITIES PORTFOLIO SERIES 2016 1	MONUMENT, CO	3/11/2016	00766805-05	Registered
ADVISORS DISCIPLINED TR 1624 SMALL MID CAP ADVANTAGE PORTFOLIO SERIES 2016 1	MONUMENT, CO	3/11/2016	00766806-05	Registered
ADVISORS DISCIPLINED TR 1625 UTILITIES PORTFOLIO SERIES 2016 1 HIMCO PORTFOLIO	MONUMENT, CO	3/11/2016	00766797-05	Registered
ADVISORS DISCIPLINED TR 1626 AMERICAN RENAISSANCE PORTFOLIO SERIES 2016 1 CJL PORTFOLIO	MONUMENT, CO	3/11/2016	00766807-05	Registered
ADVISORS DISCIPLINED TR 1627 PREFERRED INCOME OPPORTUNITIES PORTFOLIO 2 YR SERIES 2016 1	MONUMENT, CO	3/11/2016	00766809-05	Registered
ADVISORS DISCIPLINED TR 1630 COHEN & STEERS MLP MIDSTREAM & ENERGY INCOME CLOSED END PORTFOLIO SERIES 2016 1	MONUMENT, CO	3/11/2016	00766801-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1432 BDC SCORECARD PORTFOLIO SERIES 3	LISLE, IL	3/11/2016	00766794-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1432 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 24	LISLE, IL	3/11/2016	00766792-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1432 GLOBAL 100 DIVIDEND STRATEGY PORTFOLIO SERIES 6	LISLE, IL	3/11/2016	00766790-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1433 TECHNOLOGICAL INNOVATION PORTFOLIO SERIES 6	LISLE, IL	3/11/2016	00766793-05	Registered
INCAPITAL UNIT TR SERIES 121 INCAPITAL FORMULA 10 DOW PORTFOLIO 1Q 2016	CHICAGO, IL	3/11/2016	00766798-05	Registered
INCAPITAL UNIT TR SERIES 121 INCAPITAL FORMULA 30 S&P PORTFOLIO 1Q 2016	CHICAGO, IL	3/11/2016	00766791-05	Registered
INCAPITAL UNIT TR SERIES 122 NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO 1Q 2016	CHICAGO, IL	3/11/2016	00766796-05	Registered
INCAPITAL UNIT TR SERIES 123 INCAPITAL NAJARIAN HEAT SEEKER PORTFOLIO 1Q 2016	CHICAGO, IL	3/11/2016	00766795-05	Registered
MUTUAL FUND SERIES TR DAY HAGAN TACTICAL ALLOCATION FUND ETFS CLASS I	HAUPPAUGE, NY	3/11/2016	00766786-03	Registered
SPIRIT OF AMERICA INVESTMENT FUND INC SPIRIT OF AMERICA MUNICIPAL TAX FREE BOND FUND CLASS C SHARES	SYOSSET, NY	3/11/2016	00766552-03	Registered

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SPIRIT OF AMERICA INVESTMENT FUND INC SPIRIT OF AMERICA INCOME FUND CLASS C SHARES	SYOSSET, NY	3/11/2016	00766553-03	Registered
SPIRIT OF AMERICA INVESTMENT FUND INC SPIRIT OF AMERICA ENERGY FUND CLASS C SHARES	SYOSSET, NY	3/11/2016	00766554-03	Registered
EATON VANCE UNIT TR SERIES 3 EATON VANCE INTERNATIONAL DIVIDEND FORTITUDE PORTFOLIO SERIES 1	BOSTON, MA	3/10/2016	00766502-05	Registered
EATON VANCE UNIT TR SERIES 3 EATON VANCE SUSTAINABLE IMPACT PORTFOLIO SERIES 1	BOSTON, MA	3/10/2016	00766503-05	Registered
INVESCO UNIT TR SERIES 1653 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 2016 2	OAKBROOK, IL	3/10/2016	00766506-05	Pending-Cleared
INVESCO UNIT TR SERIES 1654 DIVIDEND INCOME & VALUE PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/10/2016	00766507-05	Pending-Cleared
INVESCO UNIT TR SERIES 1655 AMERICAN INFRASTRUCTURE GROWTH PORTFOLIO 2016 2	DOWNERS GROVE, IL	3/10/2016	00766508-05	Pending-Cleared
INVESCO UNIT TR SERIES 1656 BUYOUT OPPORTUNITY PORTFOLIO 2016 1	DOWNERS GROVE, IL	3/10/2016	00766509-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 560 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 14	DOWNERS GROVE, IL	3/10/2016	00766504-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 561 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 15	DOWNERS GROVE, IL	3/10/2016	00766505-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MADISON FUNDS TARGET RETIREMENT 2030 FUND CLASS R6 SHARES	MADISON, WI	3/10/2016	00766471-03	Registered
MADISON FUNDS TARGET RETIREMENT 2040 FUND CLASS R6 SHARES	MADISON, WI	3/10/2016	00766472-03	Registered
CONSULTING GROUP CAPITAL MARKETS FUNDS INFLATION LINKED FIXED INCOME FUND	PURCHASE, NY	3/9/2016	00766453-03	Registered
CONSULTING GROUP CAPITAL MARKETS FUNDS ULTRA SHORT TERM FIXED INCOME FUND	PURCHASE, NY	3/9/2016	00766452-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1430 SMID DIVIDEND STRENGTH PORTFOLIO SERIES 5	LISLE, IL	3/8/2016	00767268-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1431 UBS CHECK A MONTH LIST EQUITY ADVISORY GROUP 2016 1 SERIES 4	LISLE, IL	3/8/2016	00767267-05	Pending-Cleared
1ST TR COMBINED SERIES 511 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO INTERMEDIATE SERIES 15	WHEATON, IL	3/7/2016	00766425-05	Registered
FT 5912 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 26	WHEATON, IL	3/7/2016	00766416-05	Registered
FT 5914 SABRIENT DIVIDEND PORTFOLIO SERIES 13	WHEATON, IL	3/7/2016	00766410-05	Registered
FT 5915 INNOVATIVE TECHNOLOGY PORTFOLIO SERIES 11	WHEATON, IL	3/7/2016	00766413-05	Registered
FT 5916 DEEP VALUE DIVIDEND PORTFOLIO SERIES 10	WHEATON, IL	3/7/2016	00766422-05	Registered

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FT 5917 DIVIDEND STRENGTH PORTFOLIO SERIES 31	WHEATON, IL	3/7/2016	00766421-05	Registered
FT 5918 EQUITY INCOME SELECT PORTFOLIO SERIES 48	WHEATON, IL	3/7/2016	00766415-05	Registered
FT 5929 BALANCED INCOME SELECT PORTFOLIO SERIES 58	WHEATON, IL	3/7/2016	00766420-05	Registered
FT 5954 US REVENUE PORTFOLIO SERIES 6	WHEATON, IL	3/7/2016	00766419-05	Registered
FT 5978 STONEBRIDGE PREFERRED INCOME PORTFOLIO SERIES	WHEATON, IL	3/7/2016	00766411-05	Registered
FT 5979 PREFERRED INCOME PORTFOLIO SERIES 79	WHEATON, IL	3/7/2016	00766423-05	Registered
FT 5980 US EQUITY CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	3/7/2016	00766417-05	Registered
FT 6028 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 23	WHEATON, IL	3/7/2016	00766414-05	Registered
FT 6031 TARGET GLOBAL VALUE DIVIDEND PORTFOLIO SERIES 7	WHEATON, IL	3/7/2016	00766418-05	Registered
FT 6032 TARGET BUYBACK PORTFOLIO SERIES 4	WHEATON, IL	3/7/2016	00766424-05	Registered
JPMORGAN TR 2 JPMORGAN US GOVERNMENT MONEY MARKET FUND ETRADE CLASS SHARES	NEW YORK, NY	3/7/2016	00766320-03	Registered
JPMORGAN TR I JPMORGAN CA MUNICIPAL MONEY MARKET FUND RESERVE SHARES	NEW YORK, NY	3/7/2016	00766319-03	Registered

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JPMORGAN TR I JPMORGAN CA MUNICIPAL MONEY MARKET FUND PREMIER SHARES	NEW YORK, NY	3/7/2016	00766318-03	Registered
JPMORGAN TR I JPMORGAN NY MUNICIPAL MONEY MARKET FUND PREMIER SHARES	NEW YORK, NY	3/7/2016	00766317-03	Registered
SMART TR 228 SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 13	PARSIPPANY, NJ	3/7/2016	00766412-05	Registered
<b>Number of Investment Company Filings: 58</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
APPLIED CAVITATION INC	GOLETA, CA	3/11/2016	00766521-07	Filed
BROWN ADVISORY PRIVATE EQUITY PARTNERS 4 TE LLLP	BALTIMORE, MD	3/11/2016	00766510-07	Filed
CHD BIOSCIENCE INC	FORT COLLINS, CO	3/11/2016	00766512-07	Filed
FMR LLC	BOSTON, MA	3/11/2016	00766525-07	Filed
MADISON INTERNATIONAL REAL ESTATE LIQUIDITY FUND 6 T LP	NEW YORK, NY	3/11/2016	00766767-07	Filed
MATLIN PATTERSON SECURITIZED CREDIT ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	3/11/2016	00766519-07	Filed
PHAROS INNOVATIONS LLC	DES PLAINES, IL	3/11/2016	00766522-07	Filed
PORT CAPITAL MLP FUND LP	CHICAGO, IL	3/11/2016	00766514-07	Filed
PORT CAPITAL PARTNERS MULTI CAP FUND LP	CHICAGO, IL	3/11/2016	00766516-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TRIAN PARTNERS ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	3/11/2016	00766518-07	Filed
VERAX BIOMEDICAL INC	MARLBOROUGH, MA	3/11/2016	00766524-07	Filed
WALNUT GROVE PROSPECT PARTNERS	HENDERSONVILLE, TN	3/11/2016	00766523-07	Filed
WRR MADISON 1 LP	FORT WORTH, TX	3/11/2016	00766520-07	Filed
AMERICA360 LLC	IRVINE, CA	3/10/2016	00766475-07	Filed
GLOBAL VENTURE CAPITAL OPPORTUNITIES LP	CHICAGO, IL	3/10/2016	00766476-07	Filed
AUDAX SENIOR LOAN FUND 3 LP	BOSTON, MA	3/9/2016	00767264-07	Filed
EQUAL EXCHANGE INC	W BRIDGEWATER, MA	3/9/2016	00767266-07	Filed
GS&A ENHANCED YIELD FUND	TORONTO, ON	3/9/2016	00766474-07	Filed
YOURMECHANIC INC	MOUNTAIN VIEW, CA	3/8/2016	00766426-07	Filed
MFR PARTNERS 17 LLC	WAYZATA, MN	3/7/2016	00766427-07	Filed
<b>Number of Regulation D Notice Filings: 20</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Body and Brain Center, LLC / Body & Brain	Mesa, AZ	3/11/2016	612643	Registered
TUTORING CENTER FRANCHISE CORP / The Tutoring Center	LONG BEACH, CA	3/11/2016	612669	Registered
Pak Mail Centers of America, Inc.	Centennial, CO	3/10/2016	612639	Registered
Gumbo Growth, LLC / J. Gumbo's	Carmel, IN	3/10/2016	612641	Registered
National Property Inspections, Inc.	Omaha, NE	3/10/2016	612640	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Panchemo's Franchise Corporation / Panchemo's	Coralville, IA	3/10/2016	612638	Registered
Hobby Town Unlimited, Inc. / HobbyTown®/HobbyTown USA®	Lincoln, NE	3/10/2016	612637	Registered
The Little Gym International, Inc. / The Little Gym	Scottsdale, AZ	3/10/2016	612636	Registered
Monster Franchise LLC / Monster Tree Service	Fort Washington, PA	3/9/2016	612635	Registered
Leadership Management, Inc.	Waco, TX	3/9/2016	612634	Registered
Bonchon Franchise LLC / BonChon Business and Restaurant	New York, NY	3/9/2016	612668	Registered
ROLY POLY FRANCHISING SYSTEMS LLC / Roly Poly	Key West, FL	3/8/2016	612633	Registered
HEALTHSOURCE CHIROPRACTIC INC / HealthSource Chiropractic Single-Unit Clinics	Avon, OH	3/8/2016	612632	Registered
Maid Right Franchising LLC / Might Right	Alphretta, GA	3/8/2016	612631	Registered
G6 Hospitality Franchising LLC / Studio 6	CARROLTON, TX	3/8/2016	612659	Registered
G6 Hospitality Franchising LLC / Motel 6	CARROLTON, TX	3/8/2016	612736	Registered
NMTC INC / Matco Tools	STOW, OH	3/8/2016	612661	Registered
Schmizza International, Inc. / Pizza Schmizza	Salem, OR	3/7/2016	612630	Registered
Figaro's Italian Pizza, Inc. / Figaro's and Nick-N-Willy's	Salem, OR	3/7/2016	612629	Registered
Glance Franchising, LLC / Glance Cryotherapy	Carmel, CA	3/7/2016	612667	Registered
LoLo's CNW, LLC / Lo-Lo's Chicken & Waffles	Phoenix, AZ	3/7/2016	612664	Registered
MDSA LLC / Mighty Distributing System of America	NORCROSS, GA	3/7/2016	612658	Registered
Parker-Anderson Enrichment, Inc. / Parker-Anderson Enrichment	Van Nuys, CA	3/7/2016	612663	Registered
Pie Five Pizza Company, Inc. / Pie Five Restaurant	The Colony, TX	3/7/2016	612665	Registered
<b>Number of Franchise Filings: 24</b>				

**Corporate Take-Over Law Filings Made with DFI's Securities Division**

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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Takeover Filing Reference	Date Received
<b>Number of Takeover Filings: 0</b>	