

**WI Securities Law and Franchise Law Filings  
for 2/28/2016 through 3/5/2016**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NATIONWIDE MUTUAL FUNDS NATIONWIDE EMERGING MARKETS DEBT FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	2/29/2016	00766239-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE EMERGING MARKETS DEBT FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	2/29/2016	00766238-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE EMERGING MARKETS DEBT FUND CLASS C	WEST ALLIS, WI	2/29/2016	00766237-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE EMERGING MARKETS DEBT FUND CLASS A	WEST ALLIS, WI	2/29/2016	00766236-03	Registered
<b>Number of Investment Company Filings: 4</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LBM MANAGEMENT HOLDINGS LLC	GREEN BAY, WI	2/29/2016	00766199-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				

**WI Securities Law and Franchise Law Filings  
for 2/28/2016 through 3/5/2016**