

**WI Securities Law and Franchise Law Filings
for 2/21/2016 through 2/27/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ABS LONG SHORT STRATEGIES FUND FOUNDERS SHARES	MILWAUKEE, WI	2/24/2016	00765601-01	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR O'SHAUGHNESSY MARKET LEADERS VALUE FUND CLASS I SHARES	MILWAUKEE, WI	2/26/2016	00766194-03	Registered
ADVISORS SERIES TR O'SHAUGHNESSY SMALL CAP VALUE FUND CLASS I SHARES	MILWAUKEE, WI	2/26/2016	00766195-03	Registered
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NORTHWESTERN MUTUAL CAPITAL MEZZANINE FUND 4 LP	MILWAUKEE, WI	2/25/2016	00765605-07	Filed
NORTHWESTERN MUTUAL CAPITAL STRATEGIC EQUITY FUND 4 LP	MILWAUKEE, WI	2/25/2016	00765603-07	Filed
Number of Regulation D Notice Filings: 2				

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