

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/21/2016 through 2/27/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
A&Q MULTI STRATEGY FUND	STAMFORD, CT	2/26/2016	00766377-01	Pending
RODIN GLOBAL ACCESS PROPERTY TR INC	NEW YORK, NY	2/25/2016	00765607-01	Pending
ABS LONG SHORT STRATEGIES FUND FOUNDERS SHARES	MILWAUKEE, WI	2/24/2016	00765601-01	Registered
MOSAIC COMMUNITY CHURCH INC DBA MOSAIC CHURCH	OAKLAND, FL	2/23/2016	00765595-02	Pending
DAYSTAR CHRISTIAN FELLOWSHIP	GREENSBORO, NC	2/22/2016	00765580-02	Pending
NORTHSTAR CORPORATE INCOME FUND	NEW YORK, NY	2/22/2016	00765432-01	Registered
NORTHSTAR CORPORATE INCOME FUND T	NEW YORK, NY	2/22/2016	00765431-01	Registered
Number of Registration Filings: 7				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STREETSHARES LENDING CO INC	RESTON, VA	2/24/2016	00765602-25	Filed
WAYBETTER INC	NEW YORK, NY	2/23/2016	00765582-25	Filed
CHOICE HOTELS INTERNATIONAL INC	,	2/22/2016	00766140-79	Pending
Number of Exemption Filings: 3				

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 509 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 22	WHEATON, IL	2/26/2016	00766391-05	Registered
ADVISORS INNER CIRCLE FUND 3 PINEBRIDGE DYNAMIC ASSET ALLOCATION FUND INVESTOR SERVICING SHARES	OAKS, PA	2/26/2016	00766135-03	Registered
ADVISORS INNER CIRCLE FUND 3 PINEBRIDGE DYNAMIC ASSET ALLOCATION FUND INSTITUTIONAL SHARES	OAKS, PA	2/26/2016	00766134-03	Registered
ADVISORS SERIES TR O'SHAUGHNESSY MARKET LEADERS VALUE FUND CLASS I SHARES	MILWAUKEE, WI	2/26/2016	00766194-03	Registered
ADVISORS SERIES TR O'SHAUGHNESSY SMALL CAP VALUE FUND CLASS I SHARES	MILWAUKEE, WI	2/26/2016	00766195-03	Registered
FIDELITY SALEM STREET TR FIDELITY SAI US TREASURY BOND INDEX FUND FIDELITY SAI US TREASURY BOND INDEX FUND	BOSTON, MA	2/26/2016	00766126-03	Registered
FT 5894 TOP PICKS FEBRUARY 2016 PORTFOLIO	WHEATON, IL	2/26/2016	00766388-05	Registered
FT 5902 GLOBAL GORILLAS PORTFOLIO SERIES 6	WHEATON, IL	2/26/2016	00766393-05	Registered
FT 5903 FINANCIALS SELECT PORTFOLIO SERIES 54	WHEATON, IL	2/26/2016	00766392-05	Registered
FT 5904 HEALTH CARE SELECT PORTFOLIO SERIES 40	WHEATON, IL	2/26/2016	00766387-05	Registered
FT 5905 UTILITIES SELECT PORTFOLIO SERIES 37	WHEATON, IL	2/26/2016	00766389-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5907 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 24	WHEATON, IL	2/26/2016	00766383-05	Registered
FT 5908 MEGA CAP PORTFOLIO SERIES 39	WHEATON, IL	2/26/2016	00766384-05	Registered
FT 5909 DIVIDEND INCOME PLUS PORTFOLIO SERIES 22	WHEATON, IL	2/26/2016	00766390-05	Registered
FT 5910 ALTERNATIVE INCOME PORTFOLIO SERIES 16	WHEATON, IL	2/26/2016	00766386-05	Registered
FT 5921 AEROSPACE & DEFENSE PORTFOLIO SERIES 14	WHEATON, IL	2/26/2016	00766385-05	Registered
FT 5922 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 18	WHEATON, IL	2/26/2016	00766382-05	Registered
FT 5926 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 49	WHEATON, IL	2/26/2016	00766381-05	Registered
FT 5927 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES AMERICAN INDUSTRIAL RENAISSANCE 2016 1	WHEATON, IL	2/26/2016	00766380-05	Registered
HARBOR FUNDS HARBOR CAPITAL APPRECIATION FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765623-03	Pending-Cleared
HARBOR FUNDS HARBOR CONVERTIBLE SECURITIES FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765624-03	Pending-Cleared
HARBOR FUNDS HARBOR DIVERSIFIED INTERNATIONAL ALL CAP FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765625-03	Pending-Cleared
HARBOR FUNDS HARBOR EMERGING MARKETS EQUITY FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765626-03	Pending-Cleared

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HARBOR FUNDS HARBOR GLOBAL GROWTH FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765627-03	Pending-Cleared
HARBOR FUNDS HARBOR HIGH YIELD BOND FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765628-03	Pending-Cleared
HARBOR FUNDS HARBOR INTERNATIONAL FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765629-03	Pending-Cleared
HARBOR FUNDS HARBOR INTERNATIONAL GROWTH FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765630-03	Pending-Cleared
HARBOR FUNDS HARBOR LARGE CAP VALUE FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765631-03	Pending-Cleared
HARBOR FUNDS HARBOR MID CAP GROWTH FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765632-03	Pending-Cleared
HARBOR FUNDS HARBOR MID CAP VALUE FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765633-03	Pending-Cleared
HARBOR FUNDS HARBOR SMALL CAP GROWTH FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765634-03	Pending-Cleared
HARBOR FUNDS HARBOR SMALL CAP GROWTH OPPORTUNITIES FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765635-03	Pending-Cleared
HARBOR FUNDS HARBOR SMALL CAP VALUE FUND RETIREMENT CLASS	CHICAGO, IL	2/26/2016	00765636-03	Pending-Cleared
HSBC FUNDS HSBC PRIME MONEY MARKET FUND INTERMEDIARY CLASS	COLUMBUS, OH	2/26/2016	00766127-03	Registered
HSBC FUNDS HSBC PRIME MONEY MARKET FUND INTERMEDIARY SERVICE CLASS	COLUMBUS, OH	2/26/2016	00766128-03	Registered

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Firm Name	Location	Date Received	File Number	Status
HSBC FUNDS HSBC US GOVERNMENT MONEY MARKET FUND INTERMEDIARY SERVICE CLASS	COLUMBUS, OH	2/26/2016	00766129-03	Registered
HSBC FUNDS HSBC US GOVERNMENT MONEY MARKET FUND INTERMEDIARY CLASS	COLUMBUS, OH	2/26/2016	00766131-03	Registered
HSBC FUNDS HSBC US TREASURY MONEY MARKET FUND INTERMEDIARY CLASS	COLUMBUS, OH	2/26/2016	00766130-03	Registered
HSBC FUNDS HSBC US TREASURY MONEY MARKET FUND INTERMEDIARY SERVICE CLASS	COLUMBUS, OH	2/26/2016	00766132-03	Registered
VICTORY PORTFOLIOS VICTORY SYCAMORE ESTABLISHED VALUE FUND CLASS C	COLUMBUS, OH	2/26/2016	00766133-03	Registered
1290 FUNDS 1290 DOUBLELINE DYNAMIC ALLOCATION FUND CLASS A	NEW YORK, NY	2/25/2016	00765569-03	Registered
1290 FUNDS 1290 DOUBLELINE DYNAMIC ALLOCATION FUND CLASS I	NEW YORK, NY	2/25/2016	00765572-03	Registered
1290 FUNDS 1290 GLOBAL EQUITY INCOME FUND CLASS A	NEW YORK, NY	2/25/2016	00765570-03	Pending-Cleared
1290 FUNDS 1290 GLOBAL TALENTS FUND CLASS A	NEW YORK, NY	2/25/2016	00765571-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN US MID CAP EQUITY FUND CLASS A	PHILADELPHIA, PA	2/25/2016	00765575-03	Registered
ABERDEEN FUNDS ABERDEEN US MID CAP EQUITY FUND CLASS C	PHILADELPHIA, PA	2/25/2016	00765576-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ABERDEEN FUNDS ABERDEEN US MID CAP EQUITY FUND CLASS R	PHILADELPHIA, PA	2/25/2016	00765577-03	Registered
ABERDEEN FUNDS ABERDEEN US MID CAP EQUITY FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	2/25/2016	00765578-03	Registered
ABERDEEN FUNDS ABERDEEN US MID CAP EQUITY FUND INSTITUTIONAL SERVICE	PHILADELPHIA, PA	2/25/2016	00765579-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH INDEX 2060 FUND INSTITUTIONAL SHARES	SAN FRANCISCO, CA	2/25/2016	00765965-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH INDEX 2060 FUND INVESTOR A SHARES	SAN FRANCISCO, CA	2/25/2016	00765964-03	Registered
BLACKROCK FUNDS 3 BLACKROCK LIFEPATH INDEX 2060 FUND CLASS K SHARES	SAN FRANCISCO, CA	2/25/2016	00765966-03	Registered
BLACKROCK FUNDS BLACKROCK EMERGING MARKETS EQUITY STRATEGIES FUND INVESTOR A SHARES	WILMINGTON, DE	2/25/2016	00765967-03	Registered
BLACKROCK FUNDS BLACKROCK EMERGING MARKETS EQUITY STRATEGIES FUND INVESTOR C SHARES	WILMINGTON, DE	2/25/2016	00765969-03	Registered
BLACKROCK FUNDS BLACKROCK EMERGING MARKETS EQUITY STRATEGIES FUND CLASS K SHARES	WILMINGTON, DE	2/25/2016	00765970-03	Registered

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BLACKROCK FUNDS BLACKROCK EMERGING MARKETS EQUITY STRATEGIES FUND INSTITUTIONAL SHARES	WILMINGTON, DE	2/25/2016	00765968-03	Registered
GUGGENHEIM FUNDS TR GUGGENHEIM MARKET NEUTRAL REAL ESTATE FUND C CLASS	ROCKVILLE, MD	2/25/2016	00765620-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM MARKET NEUTRAL REAL ESTATE FUND INSTITUTIONAL CLASS	ROCKVILLE, MD	2/25/2016	00765621-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM MARKET NEUTRAL REAL ESTATE FUND A CLASS	ROCKVILLE, MD	2/25/2016	00765619-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM MARKET NEUTRAL REAL ESTATE FUND P CLASS	ROCKVILLE, MD	2/25/2016	00765618-03	Pending-Cleared
PRICE T ROWE GLOBAL ALLOCATION FUND INC PRICE T ROWE GLOBAL ALLOCATION FUND I CLASS	BALTIMORE, MA	2/25/2016	00765641-03	Pending-Cleared
PRICE T ROWE GLOBAL MULTI SECTOR BOND FUND INC PRICE T ROWE GLOBAL MULTI SECTOR BOND FUND I CLASS	BALTIMORE, MA	2/25/2016	00765642-03	Pending-Cleared
PRICE T ROWE HEALTH SCIENCES FUND INC PRICE T ROWE HEALTH SCIENCES FUND I CLASS	BALTIMORE, MA	2/25/2016	00765638-03	Pending-Cleared
PRICE T ROWE MEDIA & TELECOMMUNICATIONS FUND INC PRICE T ROWE MEDIA & TELECOMMUNICATIONS FUND I CLASS	BALTIMORE, MA	2/25/2016	00765637-03	Pending-Cleared

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PRICE T ROWE PERSONAL STRATEGY FUNDS INC PRICE T ROWE PERSONAL STRATEGY INCOME FUND PTRPSIF I CLASS	BALTIMORE, MA	2/25/2016	00765644-03	Pending-Cleared
PRICE T ROWE PERSONAL STRATEGY FUNDS INC PRICE T ROWE PERSONAL STRATEGY GROWTH FUND PTRPSGF I CLASS	BALTIMORE, MA	2/25/2016	00765643-03	Pending-Cleared
PRICE T ROWE PERSONAL STRATEGY FUNDS INC PRICE T ROWE PERSONAL STRATEGY BALANCED FUND PTRPSBF I CLASS	BALTIMORE, MA	2/25/2016	00765645-03	Pending-Cleared
PRICE T ROWE QUANTITATIVE MANAGEMENT FUNDS INC PRICE T ROWE QM US SMALL CAP GROWTH EQUITY FUND PTRQMUSSCGEF I CLASS	BALTIMORE, MA	2/25/2016	00765639-03	Pending-Cleared
PRICE T ROWE SCIENCE & TECHNOLOGY FUND INC PRICE T ROWE SCIENCE & TECHNOLOGY FUND I CLASS	BALTIMORE, MA	2/25/2016	00765640-03	Pending-Cleared
ROYCE FUND ROYCE INTERNATIONAL PREMIER FUND CONSULTANT CLASS	NEW YORK, NY	2/25/2016	00765573-03	Registered
ROYCE FUND ROYCE INTERNATIONAL PREMIER FUND K CLASS	NEW YORK, NY	2/25/2016	00765574-03	Registered
ROYCE FUND ROYCE INTERNATIONAL PREMIER FUND R CLASS	NEW YORK, NY	2/25/2016	00765568-03	Registered
RUSSELL INVESTMENT CO RUSSELL EMERGING MARKETS FUND CLASS R6	SEATTLE, WA	2/25/2016	00765959-03	Registered
RUSSELL INVESTMENT CO RUSSELL GLOBAL REAL ESTATE SECURITIES FUND CLASS R6	SEATTLE, WA	2/25/2016	00765957-03	Registered

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Firm Name	Location	Date Received	File Number	Status
RUSSELL INVESTMENT CO RUSSELL INVESTMENT GRADE BOND FUND CLASS R6	SEATTLE, WA	2/25/2016	00765961-03	Registered
RUSSELL INVESTMENT CO RUSSELL SHORT DURATION BOND FUND CLASS R6	SEATTLE, WA	2/25/2016	00765960-03	Registered
RUSSELL INVESTMENT CO RUSSELL STRATEGIC BOND FUND CLASS R6	SEATTLE, WA	2/25/2016	00765956-03	Registered
RUSSELL INVESTMENT CO RUSSELL US SMALL CAP EQUITY FUND CLASS R6	SEATTLE, WA	2/25/2016	00765958-03	Registered
RUSSELL INVESTMENT CO SELECT INTERNATIONAL EQUITY FUND CLASS R6	SEATTLE, WA	2/25/2016	00765962-03	Registered
RUSSELL INVESTMENT CO SELECT US EQUITY FUND CLASS R6	SEATTLE, WA	2/25/2016	00765963-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1264 INVESTMENT GRADE MUNICIPAL TR 7 13 YEAR SERIES 69	DOWNERS GROVE, IL	2/23/2016	00765583-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1265 INVESTMENT GRADE MUNICIPAL TR 7 13 YEAR SERIES 70	DOWNERS GROVE, IL	2/23/2016	00765584-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 551 INVESTMENT GRADE CORP TR 5 8 YEAR SERIES 13	DOWNERS GROVE, IL	2/23/2016	00765585-05	Registered
INVESCO UNIT TR TAXABLE INCOME SERIES 552 INVESTMENT GRADE CORP TR 3 7 YEAR SERIES 18	DOWNERS GROVE, IL	2/23/2016	00765586-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 553 INVESTMENT GRADE CORP TR 3 7 YEAR SERIES 19	DOWNERS GROVE, IL	2/23/2016	00765587-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR TAXABLE INCOME SERIES 554 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 61	DOWNERS GROVE, IL	2/23/2016	00765588-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 555 INVESTMENT GRADE INCOME TR 10 20 YEAR SERIES 62	DOWNERS GROVE, IL	2/23/2016	00765589-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 556 INVESTMENT GRADE INCOME TR 7 13 YEAR SERIES 57	DOWNERS GROVE, IL	2/23/2016	00765590-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 557 INVESTMENT GRADE INCOME TR 7 13 YEAR SERIES 58	DOWNERS GROVE, IL	2/23/2016	00765591-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 558 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 70	DOWNERS GROVE, IL	2/23/2016	00765592-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 559 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 71	DOWNERS GROVE, IL	2/23/2016	00765593-05	Pending-Cleared
MAINSTAY FUNDS TR MAINSTAY RETIREMENT 2060 FUND CLASS A	NEW YORK, NY	2/23/2016	00765413-03	Registered
MAINSTAY FUNDS TR MAINSTAY RETIREMENT 2060 FUND CLASS I	NEW YORK, NY	2/23/2016	00765414-03	Registered
MAINSTAY FUNDS TR MAINSTAY RETIREMENT 2060 FUND CLASS R1	NEW YORK, NY	2/23/2016	00765417-03	Registered
MAINSTAY FUNDS TR MAINSTAY RETIREMENT 2060 FUND CLASS R2	NEW YORK, NY	2/23/2016	00765416-03	Registered
MAINSTAY FUNDS TR MAINSTAY RETIREMENT 2060 FUND CLASS R3	NEW YORK, NY	2/23/2016	00765418-03	Registered

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MAINSTAY FUNDS TR MAINSTAY RETIREMENT 2060 FUND INVESTOR CLASS	NEW YORK, NY	2/23/2016	00765415-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP INSTITUTIONAL CLASS	NEWPORT BEACH, CA	2/23/2016	00765419-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP VALUE INSTITUTIONAL CLASS	NEWPORT BEACH, CA	2/23/2016	00765420-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP INSTITUTIONAL CLASS	NEWPORT BEACH, CA	2/23/2016	00765422-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP GROWTH INSTITUTIONAL CLASS	NEWPORT BEACH, CA	2/23/2016	00765424-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP VALUE INSTITUTIONAL CLASS	NEWPORT BEACH, CA	2/23/2016	00765423-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL MID CAP INSTITUTIONAL CLASS	NEWPORT BEACH, CA	2/23/2016	00765421-03	Registered
ABERDEEN FUNDS ABERDEEN MULTI MANAGER ALTERNATIVE STRATEGIES FUND 2 CLASS C	PHILADELPHIA, PA	2/22/2016	00765335-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN MULTI MANAGER ALTERNATIVE STRATEGIES FUND 2 CLASS R	PHILADELPHIA, PA	2/22/2016	00765340-03	Pending-Cleared
ALPS SERIES TR CLARKSTON FUND INSTITUTIONAL CLASS	DENVER, CO	2/22/2016	00765334-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS R6 SHARES	RADNOR, PA	2/22/2016	00765330-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS Y SHARES	RADNOR, PA	2/22/2016	00765339-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS R4 SHARES	RADNOR, PA	2/22/2016	00765337-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS A SHARES	RADNOR, PA	2/22/2016	00765336-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS R5 SHARES	RADNOR, PA	2/22/2016	00765338-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS R3 SHARES	RADNOR, PA	2/22/2016	00765333-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS C SHARES	RADNOR, PA	2/22/2016	00765331-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD ENVIRONMENTAL OPPORTUNITIES FUND CLASS I SHARES	RADNOR, PA	2/22/2016	00765332-03	Registered
Number of Investment Company Filings: 114				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLUE DELTA CAPITAL FUND LP	MCLEAN, VA	2/26/2016	00765616-07	Filed
CIP 6 PRIVATE INVESTORS LLC	NEW YORK, NY	2/26/2016	00765617-07	Filed

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DEVONSHIRE REIT 2 INC	WHITEHOUSE, OH	2/26/2016	00765609-07	Filed
GAMERAIL ENTERTAINMENT LLC	OVERLAND PARK, KS	2/26/2016	00766180-07	Filed
GIGA ENTERTAINMENT MEDIA INC	LAKE SUCCESS, NY	2/26/2016	00766179-07	Filed
GKPI 3 LLC	BARRINGTON, IL	2/26/2016	00765613-07	Filed
GRAND COAST CAPITAL FUND 1 LLC	HINGHAM, MA	2/26/2016	00765611-07	Filed
HORSEMAN EUROPEAN SELECT FUND LTD	LONDON, AA	2/26/2016	00765608-07	Filed
LIGHTHOUSE DIVERSIFIED FUND LTD	GRAND CAYMAN, KY1-9003, AA	2/26/2016	00765612-07	Filed
MS DRIVER EMPLOYEE FUND LP	NEW YORK, NY	2/26/2016	00766379-07	Filed
NEW RIDERS CAYMAN FEEDER LP	NEW YORK, NY	2/26/2016	00766378-07	Filed
SHALE ENERGY GROUP INC	MANDEVILLE, LA	2/26/2016	00765615-07	Filed
TIANYOU FUND LP	FAIRFAX, VA	2/26/2016	00765610-07	Filed
ARMUNE BIOSCIENCE INC	KALAMAZOO, MI	2/25/2016	00765604-07	Filed
NORTHWESTERN MUTUAL CAPITAL MEZZANINE FUND 4 LP	MILWAUKEE, WI	2/25/2016	00765605-07	Filed
NORTHWESTERN MUTUAL CAPITAL STRATEGIC EQUITY FUND 4 LP	MILWAUKEE, WI	2/25/2016	00765603-07	Filed
RENIBUS THERAPEUTICS INC	LANTANA, TX	2/25/2016	00765606-07	Filed
TILTING MOTOR WORKS INC	SNOHOMISH, WA	2/24/2016	00765594-07	Filed
AVADIM TECHNOLOGIES INC	ASHEVILLE, NC	2/23/2016	00765581-07	Filed

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Firm Name	Location	Date Received	File Number	Status
EXIGENT ENHANCED INCOME FUND LP	NEW YORK, NY	2/22/2016	00765430-07	Filed
Number of Regulation D Notice Filings: 20				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MTY Franchising USA, Inc. f/k/a The Extreme Pita Franchising USA, Inc. / Extreme Pita	Scottsdale, AZ	2/26/2016	612612	Registered
AMERICINN INTERNATIONAL LLC / Americinn, Americinn Lodge & Suites, Americinn Hotel & Suites, Americinn Motel & Suites, Americinn Motel	CHANHASSEN, MN	2/26/2016	612623	Registered
Mister Sparky Franchising, L.L.C. / Mister Sparky	Sarasota, FL	2/25/2016	612606	Registered
One Hour Air Conditioning Franchising, L.L.C. / One Hour Heating & Air Conditioning	Sarasota, FL	2/25/2016	612605	Registered
Benjamin Franklin Franchising, LLC / d/b/a Benjamin Franklin Plumbing	Sarasota, FL	2/25/2016	612604	Registered
Surface Specialists Systems, Inc. / Surface Specialists	Matthews, NC	2/25/2016	612603	Registered
Patrice & Associates Franchising, Inc. / Patrice & Associates - Unit Program	Dunkirk, MD	2/25/2016	612608	Registered
Delectus, Ltd. / Redefy	Aurora, CO	2/24/2016	612600	Registered
LifeXist International, LLC / LifeXist	Fenton, MO	2/23/2016	612611	Registered
TGS National Franchise, LLC / The Green Solution	Denver, CO	2/23/2016	612610	Registered
YOUNG REMBRANDTS FRANCHISE INC / YOUNG REMBRANDTS	ELGIN, IL	2/23/2016	612609	Registered
Storm Guard Franchise Systems, LLC / Storm Guard	Fort Worth, TX	2/22/2016	612599	Registered
TFL Franchise Systems, LLC / The Flying Locksmiths	Braintree, MA	2/22/2016	612596	Registered
Number of Franchise Filings: 13				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/21/2016 through 2/27/2016**

Corporate Take-Over Law Filings Made with DFI's Securities Division	
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Takeover Filing Reference	Date Received
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Number of Takeover Filings: 0	
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