

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/14/2016 through 2/20/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FEDERATED HIGH YIELD TR FEDERATED EQUITY ADVANTAGE FUND INSTITUTIONAL SHARES	WARRENDALE, PA	2/19/2016	00764317-03	Registered
FEDERATED HIGH YIELD TR FEDERATED EQUITY ADVANTAGE FUND CLASS A SHARES	WARRENDALE, PA	2/19/2016	00764316-03	Registered
FT 5869 CORE HOLDINGS GROWTH TR 2016 SPRING SERIES	WHEATON, IL	2/19/2016	00764270-05	Registered
FT 5876 EQUITY INCOME PORTFOLIO SERIES 50	WHEATON, IL	2/19/2016	00764259-05	Registered
FT 5877 GLOBAL DEEP VALUE DIVIDEND PORTFOLIO SERIES 9	WHEATON, IL	2/19/2016	00764267-05	Registered
FT 5878 SABRIENT RISING RATE PORTFOLIO SERIES 4	WHEATON, IL	2/19/2016	00764262-05	Registered
FT 5888 AUTOMATED QUANTITATIVE ANALYSIS AQA PORTFOLIO SERIES 12	WHEATON, IL	2/19/2016	00764260-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5898 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 65	WHEATON, IL	2/19/2016	00764261-05	Registered
FT 5899 TAX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	2/19/2016	00764268-05	Registered
FT 5901 SENIOR LOAN & DIVIDEND GROWERS PORTFOLIO SERIES 9	WHEATON, IL	2/19/2016	00764258-05	Registered
FT 5906 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 21	WHEATON, IL	2/19/2016	00764264-05	Registered
FT 5944 LOW VOLATILITY PORTFOLIO SERIES 2	WHEATON, IL	2/19/2016	00764263-05	Registered
FT 5949 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 73	WHEATON, IL	2/19/2016	00764269-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1422 FLOATING RATE & DIVIDEND GROWTH PORTFOLIO SERIES 11	LISLE, IL	2/19/2016	00765428-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1423 DIVIDEND STRENGTH PORTFOLIO SERIES 20	LISLE, IL	2/19/2016	00765427-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1424 TOTAL INCOME PORTFOLIO SERIES 7	LISLE, IL	2/19/2016	00765426-05	Registered
MONEY MARKET OBLIGATIONS TR FEDERATED TAX FREE TR INSTITUTIONAL SHARES	WARRENDALE, PA	2/19/2016	00764318-03	Registered
SMART TR 224 SMART TR RISING INTEREST RATES HEDGE TR SERIES 6	PARSIPPANY, NJ	2/19/2016	00764266-05	Registered

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SMART TR 225 SMART TR DYNAMIC SECTOR INCOME TR SERIES 14	PARSIPPANY, NJ	2/19/2016	00764265-05	Registered
DIREXION FUNDS DIREXION MONTHLY HIGH YIELD BULL FUND 1 2X FUND INVESTOR CLASS	MILWAUKEE, WI	2/18/2016	00764257-03	Registered
FT 5867 EUROPEAN DEEP VALUE DIVIDEND PORTFOLIO SERIES 9	WHEATON, IL	2/17/2016	00764218-05	Registered
FT 5928 PREFERRED INCOME PORTFOLIO SERIES 78	WHEATON, IL	2/17/2016	00764219-05	Registered
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET DISCIPLINED US EQUITY FUND CLASS I	BOSTON, MA	2/17/2016	00764188-03	Registered
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET DISCIPLINED GLOBAL EQUITY FUND CLASS I	BOSTON, MA	2/17/2016	00764190-03	Registered
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET DISCIPLINED INTERNATIONAL EQUITY FUND CLASS I	BOSTON, MA	2/17/2016	00764189-03	Registered
VANGUARD WHITEHALL FUNDS VANGUARD INTERNATIONAL DIVIDEND APPRECIATION INDEX FUND INVESTOR SHARES	VALLEY FORGE, PA	2/17/2016	00764220-03	Registered
VANGUARD WHITEHALL FUNDS VANGUARD INTERNATIONAL DIVIDEND APPRECIATION INDEX FUND ADMIRAL SHARES	VALLEY FORGE, PA	2/17/2016	00764223-03	Registered
VANGUARD WHITEHALL FUNDS VANGUARD INTERNATIONAL HIGH DIVIDEND YIELD INDEX FUND ADMIRAL SHARES	VALLEY FORGE, PA	2/17/2016	00764221-03	Registered

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VANGUARD WHITEHALL FUNDS VANGUARD INTERNATIONAL HIGH DIVIDEND YIELD INDEX FUND INVESTOR SHARES	VALLEY FORGE, PA	2/17/2016	00764222-03	Registered
ADVISORS DISCIPLINED TR 1591 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 106	MONUMENT, CO	2/16/2016	00764205-05	Registered
ADVISORS DISCIPLINED TR 1655 MATURING BOND PORTFOLIO CORPORATE INVESTMENT GRADE 3 5 YEAR SERIES 2016 1	MONUMENT, CO	2/16/2016	00764204-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1421 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 17	LISLE, IL	2/16/2016	00764206-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1421 UNDERVALUED TOP PICKS PORTFOLIO SEIES 8	LISLE, IL	2/16/2016	00764203-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1425 ML TOP PICKS FOR 2016	LISLE, IL	2/16/2016	00764207-05	Registered
INCAPITAL UNIT TR SERIES 118 BOFA MERRILL LYNCH FOOD SECURITY PORTFOLIO SERIES 2	CHICAGO, IL	2/16/2016	00764209-05	Registered
INCAPITAL UNIT TR SERIES 119 INTERNATIONAL DIVIDEND SEEKER PORTFOLIO 1Q 2016	CHICAGO, IL	2/16/2016	00764208-05	Registered
SANFORD C BERNSTEIN FUND INC CA MUNICIPAL CLASS	NEW YORK, NY	2/16/2016	00763595-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO MONEY MARKET FUND PREMIER CLASS	SAN FRANCISCO, CA	2/16/2016	00764187-03	Registered
Number of Investment Company Filings: 38				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FORWARD DEVELOPMENT GROUP LLC	FITCHBURG, WI	2/19/2016	00764276-07	Filed
LEGACY VENTURE 8 LLC	PALO ALTO, CA	2/19/2016	00764274-07	Filed
MERIDIAN PORTFOLIO FUNDING 1 LLC	FAIRFIELD, CT	2/19/2016	00764279-07	Filed
ROC ASIA PACIFIC PRIVATE EQUITY FUND 2 LP	KY1-1104, AA	2/19/2016	00764277-07	Filed
ROLLING HILLS APARTMENT HOMES LLC	LOS ANGELES, CA	2/19/2016	00764272-07	Filed
SAN MARCOS STUDENT LIVING DST	OAK BROOK, IL	2/19/2016	00764271-07	Filed
SE RIVER NORTH LLC	CHICAGO, IL	2/19/2016	00764275-07	Filed
ARAPAHO PEAK REAL ESTATE FUND 5 LP	BOULDER, CO	2/18/2016	00764246-07	Filed
VERISTONE FUND 1 LLC	KIRKLAND, WA	2/17/2016	00764217-07	Filed
PROLOGUE DE FEEDER FUND LP	GREENWICH, CT	2/16/2016	00764201-07	Filed
VGBIO INC	NAPERVILLE, IL	2/16/2016	00764202-07	Filed
Number of Regulation D Notice Filings: 11				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Priceless Rent-A-Car, LLC / NextCar	Kensington, MD	2/20/2016	612595	Registered
Shack Shine Home Services LLC / Shack Shine	Vancouver, BC	2/19/2016	612594	Registered
Ultimate Fitness Group LLC / Orange Theory Area Representative Franchise	Fort Lauderdale, FL	2/19/2016	612592	Registered
Ultimate Fitness Group LLC / Orange Theory Unit Franchise	Fort Lauderdale, FL	2/19/2016	612591	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Cobblestone Hotels, LLC / Cobblestone Inn & Suites; Cobblestone Hotel & Suites	Neenah, WI	2/19/2016	612590	Registered
Cobblestone Hotels, LLC / Boarders Inn & Suites by Cobblestone	Neenah, WI	2/19/2016	612589	Registered
ACE SUSHI FRANCHISE CORP / Ace Sushi	GARDENA, CA	2/18/2016	612597	Registered
HAPPY & HEALTHY PRODUCTS, INC. / Happy & Healthy Products	BOCA ROTON, FL	2/18/2016	612598	Registered
LEADING EDGE MARKETING, INC. / ProSource Wholesale Floorcoverings	St. Louis, MO	2/16/2016	612580	Registered
Number of Franchise Filings: 9				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	