

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/7/2016 through 2/13/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RODIN INCOME TR INC	PHOENIX, AZ	2/8/2016	00763415-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FUNDRISE EQUITY REIT LLC	WASHINGTON, DC	2/8/2016	00763414-25	Filed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1595 DOW VALUE 10 PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	2/12/2016	00764124-05	Registered
ADVISORS DISCIPLINED TR 1596 STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	2/12/2016	00764125-05	Registered
ADVISORS DISCIPLINED TR 1597 DIVIDEND ADVANTAGE PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	2/12/2016	00764123-05	Registered
ADVISORS DISCIPLINED TR 1598 ADVISORS CORE EQUITY STRATEGY PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	2/12/2016	00764122-05	Registered
ADVISORS DISCIPLINED TR 1599 INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	2/12/2016	00764121-05	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1600 EUROPEAN SELECT PORTFOLIO SERIES 2016 1Q CJL PORTFOLIO	MONUMENT, CO	2/12/2016	00764120-05	Registered
ADVISORS DISCIPLINED TR 1602 MINIMUM VOLATILITY EQUITY INCOME PORTFOLIO SERIES 2016 1Q HIMCO PORTFOLIO	MONUMENT, CO	2/12/2016	00764119-05	Registered
ADVISORS DISCIPLINED TR 1603 BAHL & GAYNOR INCOME GROWTH PORTFOLIO SERIES 2016 1Q	MONUMENT, CO	2/12/2016	00764118-05	Registered
ADVISORS DISCIPLINED TR 1604 TACTICAL PLUS CLOSED END PORTFOLIO 15 MONTH SERIES 2016 1Q	MONUMENT, CO	2/12/2016	00764117-05	Registered
ADVISORS DISCIPLINED TR 1605 ENERGY OPPORTUNITIES PORTFOLIO SERIES 2016 1	MONUMENT, CO	2/12/2016	00764116-05	Registered
ADVISORS DISCIPLINED TR 1606 BLUE CHIP PORTFOLIO SERIES 2016 1	MONUMENT, CO	2/12/2016	00764115-05	Registered
ADVISORS DISCIPLINED TR 1607 TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2016 1	MONUMENT, CO	2/12/2016	00764114-05	Registered
ADVISORS DISCIPLINED TR 1608 GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO SERIES 2016 1	MONUMENT, CO	2/12/2016	00764113-05	Registered
BLACKROCK FUNDS BLACKROCK SHORT TERM INFLATION PROTECTED SECURITIES INDEX FUND INVESTOR A SHARES	WILMINGTON, DE	2/12/2016	00764110-03	Registered

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BLACKROCK FUNDS BLACKROCK SHORT TERM INFLATION PROTECTED SECURITIES INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	2/12/2016	00764111-03	Registered
BLACKROCK FUNDS BLACKROCK SHORT TERM INFLATION PROTECTED SECURITIES INDEX FUND CLASS K SHARES	WILMINGTON, DE	2/12/2016	00764112-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1417 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO 2 YEAR SERIES 15	LISLE, IL	2/12/2016	00764132-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1417 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 33	LISLE, IL	2/12/2016	00764131-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1418 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO 2 YEAR SERIES 9	LISLE, IL	2/12/2016	00764128-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1419 DIVERSIFIED CREDIT PORTFOLIO OF ETFS SERIES 8	LISLE, IL	2/12/2016	00764129-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1420 BLUE CHIP GROWTH PORTFOLIO SERIES 9	LISLE, IL	2/12/2016	00764130-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1420 GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 9	LISLE, IL	2/12/2016	00764134-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1420 GUGGENHEIM US CAPITAL STRENGTH PORTFOLIO SERIES 9	LISLE, IL	2/12/2016	00764133-05	Registered

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Firm Name	Location	Date Received	File Number	Status
INCAPITAL UNIT TR SERIES 117 NUVEEN SYMPHONY LOW VOLATILITY EQUITY PORTFOLIO 1Q 2016	CHICAGO, IL	2/12/2016	00764127-05	Registered
FT 5859 ETF GROWTH & INCOME FEB 16	WHEATON, IL	2/11/2016	00763533-05	Registered
FT 5860 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 30	WHEATON, IL	2/11/2016	00763534-05	Registered
FT 5863 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 26	WHEATON, IL	2/11/2016	00763536-05	Registered
FT 5873 INTEREST RATE HEDGE & ETF PORTFOLIO SERIES 12	WHEATON, IL	2/11/2016	00763532-05	Registered
FT 5875 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 20	WHEATON, IL	2/11/2016	00763540-05	Registered
FT 5896 MULTI SECTOR INCOME PORTFOLIO SERIES 30	WHEATON, IL	2/11/2016	00763542-05	Registered
FT 5897 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	2/11/2016	00763535-05	Registered
FT 5900 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 64	WHEATON, IL	2/11/2016	00763537-05	Registered
FT 5943 GLOBAL COMMODITIES PORTFOLIO SERIES 29	WHEATON, IL	2/11/2016	00763538-05	Registered
FT 5945 DIVIDEND EDGE PORTFOLIO SERIES	WHEATON, IL	2/11/2016	00763541-05	Registered
SMART TR 223 SMART TR SUSTAINABLE IMPACT INVESTING TR SERIES 5	PARISIPPANY, NJ	2/11/2016	00763539-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GREAT WEST FUNDS INC GREAT WEST MONEY MARKET FUND INSTITUTIONAL CLASS	GREENWOOD VILLAGE, CO	2/9/2016	00763510-03	Registered
AB CAP FUND INC AB INTERNATIONAL STRATEGIC CORE PORTFOLIO CLASS C	NEW YORK, NY	2/8/2016	00763502-03	Registered
AB CAP FUND INC AB INTERNATIONAL STRATEGIC CORE PORTFOLIO CLASS A	NEW YORK, NY	2/8/2016	00763501-03	Registered
AB CAP FUND INC AB INTERNATIONAL STRATEGIC CORE PORTFOLIO ADVISOR CLASS	NEW YORK, NY	2/8/2016	00763500-03	Registered
Number of Investment Company Filings: 39				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMC FUND 5 LLC	NORTHRIDGE, CA	2/12/2016	00763552-07	Filed
ICONIQ ACCESS 52 LP	SAN FRANCISCO, CA	2/12/2016	00763551-07	Filed
INTERVALIA HOLDINGS LLC	PITTSBURGH, PA	2/12/2016	00763547-07	Filed
MCG ALTSCAPE FUND LP	CHICAGO, IL	2/12/2016	00763543-07	Filed
MONTEZUMA FUND 2 LP	BOULDER, CO	2/12/2016	00763515-07	Filed
MV STARTUP FUND 27 LLC	AUSTIN, TX	2/12/2016	00763553-07	Filed
PALMER SQUARE SHORT DURATION INVESTMENT GRADE FUND LLC	MISSION WOODS, KS	2/12/2016	00763548-07	Filed
REALTYSHARES 100 LLC	LEWES, DE	2/12/2016	00763550-07	Filed
RIO GRANDE NEUROSCIENCES INC	SANTA FE, NM	2/12/2016	00763516-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WICAB INC	MIDDLETON, WI	2/12/2016	00763545-07	Filed
AURORA ASSOC 5 LP	LOS ANGELES, CA	2/11/2016	00763530-07	Filed
AURORA EQUITY PARTNERS 5 LP	LOS ANGELES, CA	2/11/2016	00763531-07	Filed
INTELLIGENT DIGITAL AVATARS INC	MEQUON, WI	2/10/2016	00763519-07	Filed
WOODBRIIDGE MORTGAGE INVESTMENT FUND 3A LLC	SHERMAN OAKS, CA	2/9/2016	00764178-07	Filed
Number of Regulation D Notice Filings: 14				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Kline Franchising Inc / Burn Boot Camp	Bethesda, MD	2/12/2016	612575	Registered
Snap-on Tools Company LLC / Snap-on Tools	Kenosha, WI	2/12/2016	612574	Registered
Clean Air Lawn Care, Inc.	Fort Collins, CO	2/12/2016	612572	Registered
Home-Grown Industries of Georgia, Inc. / Mellow Mushroom Pizza	Atlanta, GA	2/11/2016	612570	Registered
Pool Scouts Franchising, LLC	Virginia Beach, VA	2/11/2016	612569	Registered
BAB SYSTEMS INC / BIG APPLE BAGELS, MY FAVORITE MUFFIN	DEERFIELD, IL	2/11/2016	612579	Registered
CycleBar Franchising, LLC / CycleBar	Cincinnati, OH	2/10/2016	612568	Registered
SystemForward America, Inc. / TemperaturePro	Lafayette, LA	2/10/2016	612567	Registered
Owens Corning Remodeling Systems, LLC / Owens Corning Basement Finishing System	Toledo, OH	2/10/2016	612566	Registered
Paradise Biryani Express, Inc. / Paradise Biryani Pointe	Plainsboro, NJ	2/9/2016	612561	Registered
Xtend Holdings, LLC / Xtend	Boca Raton, FL	2/8/2016	612557	Registered
Zoom Franchise Company, LLC / Zoom Sewer and Drain Cleaning	Norristown, PA	2/8/2016	612577	Registered
Number of Franchise Filings: 12				

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Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	