

**WI Securities Law and Franchise Law Filings
for 1/31/2016 through 2/6/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SERIES PORTFOLIOS TR WEISS ALTERNATIVE BALANCED RISK FUND CLASS I	WEST ALLIS, WI	2/5/2016	00763412-03	Registered
SERIES PORTFOLIOS TR WEISS ALTERNATIVE BALANCED RISK FUND CLASS K	WEST ALLIS, WI	2/5/2016	00763411-03	Registered
BRANDES INVESTMENT TR BRANDES INTERNATIONAL EQUITY FUND CLASS R6	MILWAUKEE, WI	2/1/2016	00763263-03	Registered
TR FOR PROFESSIONAL MANAGERS CONVERGENCE MARKET NEUTRAL FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	2/1/2016	00763262-03	Registered
Number of Investment Company Filings: 4				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WELLBE INC	MADISON, WI	2/5/2016	00763246-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLACKRIVER SYSTEMS INC	MADISON, WI	2/1/2016	00763258-07	Filed
Number of Regulation D Notice Filings: 2				