

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/31/2016 through 2/6/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLACKSTONE REAL ESTATE INCOME TR INC	NEW YORK, NY	2/4/2016	00763256-01	Pending
WEST BOWLES COMMUNITY CHURCH	LITTLETON, CO	2/1/2016	00763310-02	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 507 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 24	WHEATON, IL	2/5/2016	00763425-05	Registered
1ST TR COMBINED SERIES 508 TX EX MUNICIPAL INCOME TR SERIES 291	WHEATON, IL	2/5/2016	00763427-05	Registered
EATON VANCE UNIT TR SERIES 2 EATON VANCE DIVIDEND FORTITUDE PORTFOLIO SERIES 2	BOSTON, MA	2/5/2016	00763408-05	Registered
EATON VANCE UNIT TR SERIES 2 EATON VANCE MEGATRENDS PORTFOLIO SERIES 2	BOSTON, MA	2/5/2016	00763409-05	Registered
EATON VANCE UNIT TR SERIES 2 EATON VANCE MERGER & ACQUISITION TARGETS PORTFOLIO SERIES 2	BOSTON, MA	2/5/2016	00763410-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP CONSERVATIVE FUND CLASS C	SAN MATEO, CA	2/5/2016	00763087-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP CONSERVATIVE FUND CLASS A	SAN MATEO, CA	2/5/2016	00763086-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP GROWTH FUND CLASS C	SAN MATEO, CA	2/5/2016	00763082-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP GROWTH FUND CLASS A	SAN MATEO, CA	2/5/2016	00763085-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP MODERATE FUND CLASS C	SAN MATEO, CA	2/5/2016	00763084-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN NEXTSTEP MODERATE FUND CLASS A	SAN MATEO, CA	2/5/2016	00763083-03	Registered
FT 5858 ENERGY SELECT PORTFOLIO SERIES 58	WHEATON, IL	2/5/2016	00763432-05	Registered
FT 5861 INCOME DIVIDEND EQUITY ALLOCATION IDEA PORTFOLIO SERIES 8	WHEATON, IL	2/5/2016	00763421-05	Registered
FT 5862 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 28	WHEATON, IL	2/5/2016	00763429-05	Registered
FT 5862 SMID CAPITAL STRENGTH PORTFOLIO SERIES 28	WHEATON, IL	2/5/2016	00763430-05	Registered
FT 5865 TACTICAL INCOME PORTFOLIO SERIES 41	WHEATON, IL	2/5/2016	00763419-05	Registered
FT 5866 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 36	WHEATON, IL	2/5/2016	00763428-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5868 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 16	WHEATON, IL	2/5/2016	00763420-05	Registered
FT 5871 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 26	WHEATON, IL	2/5/2016	00763426-05	Registered
FT 5874 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 52	WHEATON, IL	2/5/2016	00763431-05	Registered
FT 5887 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 14	WHEATON, IL	2/5/2016	00763423-05	Registered
FT 5893 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 40	WHEATON, IL	2/5/2016	00763424-05	Registered
FT 5950 GROWTH & INCOME OPPORTUNITY PORTFOLIO SERIES 3	WHEATON, IL	2/5/2016	00763422-05	Pending-Cleared
FT 5989 DOW TARGET 10 FEB 16 TERM 3 9 17	WHEATON, IL	2/5/2016	00763433-05	Registered
FUNDTVANTAGE TR GOTHAM INSTITUTIONAL VALUE FUND INSTITUTIONAL CLASS SHARES	WILMINGTON, DE	2/5/2016	00763239-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1416 CORPORATE HIGH YIELD & INCOME PORTFOLIO OF CEFS SERIES 29	LISLE, IL	2/5/2016	00763458-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1416 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 25	LISLE, IL	2/5/2016	00763457-05	Registered
INCAPITAL UNIT TR SERIES 116 ZACKS SELECT EQUITIES BLEND PORTFOLIO 1Q 2016	CHICAGO, IL	2/5/2016	00763456-05	Registered
INCAPITAL UNIT TR SERIES 116 ZACKS SELECT EQUITY INCOME PORTFOLIO 1Q 2016	CHICAGO, IL	2/5/2016	00763459-05	Registered

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Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1638 ETF ALLOCATION PORTFOLIO 2016 1	DOWNERS GROVE, IL	2/5/2016	00763406-05	Pending-Cleared
INVESCO UNIT TR SERIES 1638 ETF DIVERSIFIED INCOME PORTFOLIO 2016 1	DOWNERS GROVE, IL	2/5/2016	00763407-05	Pending-Cleared
INVESCO UNIT TR SERIES 1639 DEFENSIVE EQUITY & INCOME PORTFOLIO 2016 1	DOWNERS GROVE, IL	2/5/2016	00763404-05	Pending-Cleared
INVESCO UNIT TR SERIES 1639 EMERGING MARKETS DIVIDEND PORTFOLIO 2016 1	DOWNERS GROVE, IL	2/5/2016	00763405-05	Pending-Cleared
INVESCO UNIT TR SERIES 1642 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2016 2	DOWNERS GROVE, IL	2/5/2016	00763402-05	Pending-Cleared
INVESCO UNIT TR SERIES 1642 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2016 2	DOWNERS GROVE, IL	2/5/2016	00763403-05	Pending-Cleared
INVESCO UNIT TR SERIES 1646 POWERSHARES SMART BETA GROWTH PORTFOLIO 2016 2	DOWNERS GROVE, IL	2/5/2016	00763400-05	Pending-Cleared
INVESCO UNIT TR SERIES 1646 POWERSHARES SMART BETA GROWTH & INCOME PORTFOLIO 2016 2	DOWNERS GROVE, IL	2/5/2016	00763399-05	Pending-Cleared
INVESCO UNIT TR SERIES 1646 POWERSHARES SMART BETA INCOME PORTFOLIO 2016 2	DOWNERS GROVE, IL	2/5/2016	00763401-05	Pending-Cleared
SERIES PORTFOLIOS TR WEISS ALTERNATIVE BALANCED RISK FUND CLASS I	WEST ALLIS, WI	2/5/2016	00763412-03	Registered

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Firm Name	Location	Date Received	File Number	Status
SERIES PORTFOLIOS TR WEISS ALTERNATIVE BALANCED RISK FUND CLASS K	WEST ALLIS, WI	2/5/2016	00763411-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE LARGE CAP FUND CLASS A	CINCINNATI, OH	2/4/2016	00763229-03	Registered
MUTUAL FUND SERIES TR CATALYST MILLBURN HEDGE STRATEGY FUND CLASS I	HAUPPAUGE, NY	2/3/2016	00763253-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE FLEXIBLE INCOME FUND INSTITUTIONAL CLASS	CINCINNATI, OH	2/3/2016	00763081-03	Registered
ADVISORS DISCIPLINED TR 1610 INFLATION INCOME STRATEGY PORTFOLIO SERIES 2106 1	MONUMENT, CO	2/2/2016	00763312-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1436 GUGGENHEIM INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 2	LISLE, IL	2/2/2016	00763311-05	Registered
NORTHERN LIGHTS FUND TR 2 LONGBOARD LONG SHORT FUND CLASS A SHARES	HAUPPAUGE, NY	2/2/2016	00763334-03	Registered
NORTHERN LIGHTS FUND TR 2 LONGBOARD LONG SHORT FUND CLASS I SHARES	HAUPPAUGE, NY	2/2/2016	00763335-03	Registered
NORTHERN LIGHTS FUND TR 3 NEWFOUND MULTI ASSET INCOME FUND CLASS I SHARES	HAUPPAUGE, NY	2/2/2016	00763331-03	Registered
NORTHERN LIGHTS FUND TR 3 NEWFOUND MULTI ASSET INCOME FUND CLASS C SHARES	HAUPPAUGE, NY	2/2/2016	00763332-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NORTHERN LIGHTS FUND TR 3 NEWFOUND MULTI ASSET INCOME FUND CLASS A SHARES	HAUPPAUGE, NY	2/2/2016	00763333-03	Registered
NORTHERN LIGHTS FUND TR 3 NEWFOUND TOTAL RETURN FUND CLASS A SHARES	HAUPPAUGE, NY	2/2/2016	00763330-03	Registered
NORTHERN LIGHTS FUND TR 3 NEWFOUND TOTAL RETURN FUND CLASS C SHARES	HAUPPAUGE, NY	2/2/2016	00763329-03	Registered
NORTHERN LIGHTS FUND TR 3 NEWFOUND TOTAL RETURN FUND CLASS I SHARES	HAUPPAUGE, NY	2/2/2016	00763328-03	Registered
1ST TR COMBINED SERIES 506 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 20	WHEATON, IL	2/1/2016	00763278-05	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH C CLASS	IRVING, TX	2/1/2016	00763204-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH A CLASS	IRVING, TX	2/1/2016	00763203-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH INVESTOR CLASS	IRVING, TX	2/1/2016	00763205-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON BRIDGEWAY LARGE CAP GROWTH Y CLASS	IRVING, TX	2/1/2016	00763206-03	Registered
BRANDES INVESTMENT TR BRANDES INTERNATIONAL EQUITY FUND CLASS R6	MILWAUKEE, WI	2/1/2016	00763263-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FIDELITY SALEM STREET TR FIDELITY SAI REAL ESTATE INDEX FUND FIDELITY SAI REAL ESTATE INDEX FUND	BOSTON, MA	2/1/2016	00762957-03	Registered
FIDELITY SALEM STREET TR FIDELITY SAI US LARGE CAP INDEX FUND FIDELITY SAI US LARGE CAP INDEX FUND	BOSTON, MA	2/1/2016	00762956-03	Registered
FT 5864 DIVERSIFIED INCOME & GROWTH PORTFOLIO SPRING 2016 SERIES	WHEATON, IL	2/1/2016	00763276-05	Registered
FT 5890 CORPORATE HIGH INCOME PORTFOLIO SERIES 18	WHEATON, IL	2/1/2016	00763277-05	Registered
INVESCO UNIT TR SERIES 1636 NEW WORLD LEADERS PORTFOLIO 2016 1	DOWNERS GROVE, IL	2/1/2016	00763285-05	Pending-Cleared
INVESCO UNIT TR SERIES 1637 SELECT 10 INDUSTRIAL PORTFOLIO 2016 2	DOWNERS GROVE, IL	2/1/2016	00763284-05	Pending-Cleared
INVESCO UNIT TR SERIES 1640 MARKET OPPORTUNITIES PORTFOLIO LOW OIL BENEFICIARIES 2016 1	DOWNERS GROVE, IL	2/1/2016	00763283-05	Pending-Cleared
INVESCO UNIT TR SERIES 1641 NEXT GENERATION CYBERSECURITY PORTFOLIO 2016 1	DOWNERS GROVE, IL	2/1/2016	00763279-05	Pending-Cleared
INVESCO UNIT TR SERIES 1643 GLOBAL WATER PORTFOLIO 2016 1	DOWNERS GROVE, IL	2/1/2016	00763282-05	Pending-Cleared
INVESCO UNIT TR SERIES 1644 AMERICAN INNOVATION LEADERS PORTFOLIO 2016 1	DOWNERS GROVE, IL	2/1/2016	00763281-05	Pending-Cleared
INVESCO UNIT TR SERIES 1645 GLOBAL TECHNOLOGY LEADERS PORTFOLIO 2016 2	DOWNERS GROVE, IL	2/1/2016	00763280-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR TAXABLE INCOME SERIES 523 HIGH YIELD CORPORATE TR 4 7 YEAR SERIES 5	DOWNERS GROVE, IL	2/1/2016	00763286-05	Registered
MUTUAL FUND SERIES TR CATALYST MILLBURN HEDGE STRATEGY FUND CLASS C	HAUPPAUGE, NY	2/1/2016	00763264-03	Registered
TR FOR PROFESSIONAL MANAGERS CONVERGENCE MARKET NEUTRAL FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	2/1/2016	00763262-03	Registered
Number of Investment Company Filings: 73				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DELOITTE & TOUCHE LLP	NEW YORK, NY	2/5/2016	00763243-07	Filed
DELOITTE CONSULTING LLP	NEW YORK, NY	2/5/2016	00763244-07	Filed
DELOITTE TAX LLP	NEW YORK, NY	2/5/2016	00763245-07	Filed
DOWNTOWN FARGO REAL ESTATE FUND 1 LLC	NORTH RIVER, ND	2/5/2016	00763242-07	Filed
FIG PUBLISHING INC	SAN FRANCISCO, CA	2/5/2016	00763249-07	Filed
GERONIMO ENERGY HOLDINGS LLC	BLOOMINGTON, MN	2/5/2016	00763413-07	Filed
HEDGEPREMIER BP TOTAL RETURN FUND LP	NEW YORK, NY	2/5/2016	00763240-07	Filed
PRE IPO INVESTMENT GROUP LLC	GREENWICH, CT	2/5/2016	00763418-07	Filed
SBLP SENIOR LIVING FUND 1 LP	DALLAS, TX	2/5/2016	00763417-07	Filed
TORVEC INC	ROCHESTER, NY	2/5/2016	00763247-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WELLBE INC	MADISON, WI	2/5/2016	00763246-07	Filed
ALTAIR EUROPEAN NPL FUND LTD	GRAND CAYMAN, KY1-1108, AA	2/4/2016	00763254-07	Filed
HGI KFB 1 LLC	NORFOLK, VA	2/4/2016	00763416-07	Filed
SHOPOFF COMMERCIAL GROWTH & INCOME FUND 2 LP	IRVINE, CA	2/4/2016	00763255-07	Filed
AVISTA CAPITAL PARTNERS 4 LP	NEW YORK, NY	2/3/2016	00763252-07	Filed
TOTAL RETURN INCOME FUND LLC	JACKSON, WY	2/3/2016	00763251-07	Filed
TOUR GCX PARTNERS INC	NEW YORK, NY	2/3/2016	00763250-07	Filed
LORD ABBETT BANK LOAN TR	JERSEY CITY, NJ	2/2/2016	00763336-07	Filed
BLACKRIVER SYSTEMS INC	MADISON, WI	2/1/2016	00763258-07	Filed
REDWOOD SCIENTIFIC TECHNOLOGIES INC	CLAREMONT, CA	2/1/2016	00763259-07	Filed
SIGNACERT INC	AUSTIN, TX	2/1/2016	00763257-07	Filed
Number of Regulation D Notice Filings: 21				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HOULIHAN'S RESTAURANTS, INC / Houlihan's	LEAWOOD, KS	2/5/2016	612558	Registered
GREAT HARVEST FRANCHISING, INC / Great Harvest Bread Co.	DILLON, MT	2/4/2016	612554	Registered
Wix Franchise, Inc. / Antioch Pizza Shop	Antioch, IL	2/3/2016	612549	Registered
Certa ProPainters, Ltd. / CertaPro Painters	Oaks, PA	2/3/2016	612547	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
InFrasys, Inc. / SealMaster	Sandusky, OH	2/1/2016	612541	Registered
Number of Franchise Filings: 5				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	