

**WI Securities Law and Franchise Law Filings
for 1/10/2016 through 1/16/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DOUBLELINE FUNDS TR DOUBLELINE GLOBAL BOND FUND CLASS I SHARES	WEST ALLIS, WI	1/11/2016	00760887-03	Registered
DOUBLELINE FUNDS TR DOUBLELINE GLOBAL BOND FUND CLASS N SHARES	WEST ALLIS, WI	1/11/2016	00760886-03	Registered
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Three Cellars, LLC / Three Cellars	Franklin, WI	1/11/2016	611503	Registered
Number of Franchise Filings: 1				