

**WI Securities Law and Franchise Law Filings
for 1/3/2016 through 1/9/2016**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR HUBER CAPITAL MID CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/4/2016	00760344-03	Registered
ADVISORS SERIES TR HUBER CAPITAL MID CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	1/4/2016	00760345-03	Registered
ADVISORS SERIES TR SCHARF ALPHA OPPORTUNITY FUND RETAIL CLASS	MILWAUKEE, WI	1/4/2016	00760346-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS A	MILWAUKEE, WI	1/4/2016	00760357-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS C	MILWAUKEE, WI	1/4/2016	00760358-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS I	MILWAUKEE, WI	1/4/2016	00760359-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS R 3	MILWAUKEE, WI	1/4/2016	00760360-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS R 5	MILWAUKEE, WI	1/4/2016	00760361-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS R 6	MILWAUKEE, WI	1/4/2016	00760362-03	Registered
MANAGED PORTFOLIO SERIES NUANCE CONCENTRATED VALUE LONG SHORT FUND INVESTOR CLASS SHARES	MILWAUKEE, WI	1/4/2016	00760365-03	Registered
MANAGED PORTFOLIO SERIES NUANCE CONCENTRATED VALUE LONG SHORT FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	1/4/2016	00760364-03	Registered
MANAGED PORTFOLIO SERIES PORT ST INSTITUTIONAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/4/2016	00760363-03	Registered
MILLER HOWARD FUNDS TR MILLER HOWARD DRILL BIT TO BURNER TIP FUND CLASS I	WEST ALLIS, WI	1/4/2016	00760355-03	Registered
MILLER HOWARD FUNDS TR MILLER HOWARD DRILL BIT TO BURNER TIP FUND ADVISOR SHARE CLASS	WEST ALLIS, WI	1/4/2016	00760356-03	Registered
MILLER HOWARD FUNDS TR MILLER HOWARD INCOME EQUITY FUND CLASS I	WEST ALLIS, WI	1/4/2016	00760353-03	Registered
MILLER HOWARD FUNDS TR MILLER HOWARD INCOME EQUITY FUND ADVISOR SHARE CLASS	WEST ALLIS, WI	1/4/2016	00760354-03	Registered
Number of Investment Company Filings: 16				

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HSI STATE STREET LLC	BROOKFIELD, WI	1/4/2016	00760351-07	Filed
Number of Regulation D Notice Filings: 1				