

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/3/2016 through 1/9/2016**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RREEF PROPERTY TR INC	NEW YORK, NY	1/4/2016	00760568-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
XTI AIRCRAFT CO	ENGLEWOOD, CO	1/5/2016	00760312-25	Pending
AMERICAN REALTY CAPITAL GLOBAL TR 2 INC	NEW YORK, NY	1/4/2016	00760567-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 504 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 23	WHEATON, IL	1/8/2016	00760270-05	Registered
FT 5822 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 27	WHEATON, IL	1/8/2016	00760275-05	Registered
FT 5823 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 21	WHEATON, IL	1/8/2016	00760281-05	Registered
FT 5825 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 21	WHEATON, IL	1/8/2016	00760276-05	Registered
FT 5826 CYCLICAL STRENGTH PORTFOLIO SERIES 12	WHEATON, IL	1/8/2016	00760279-05	Registered
FT 5827 1ST TR ETF ALLOCATION PORTFOLIO SERIES 15	WHEATON, IL	1/8/2016	00760272-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5843 BOFA MERRILL LYNCH A I & ROBOTICS PORTFOLIO SERIES	WHEATON, IL	1/8/2016	00760277-05	Registered
FT 5847 BOFA MERRILL LYNCH 10 STOCKS FOR 2016 PORTFOLIO	WHEATON, IL	1/8/2016	00760271-05	Registered
FT 5883 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 62	WHEATON, IL	1/8/2016	00760280-05	Registered
FT 5935 SABRIENT BAKERS DOZEN PORTFOLIO 2016 SERIES	WHEATON, IL	1/8/2016	00760278-05	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP ADVISOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760490-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP CLASS A	NEWPORT BEACH, CA	1/8/2016	00760489-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP CLASS C	NEWPORT BEACH, CA	1/8/2016	00760491-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP INVESTOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760495-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP VALUE INVESTOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760494-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP VALUE ADVISOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760492-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP VALUE CLASS A	NEWPORT BEACH, CA	1/8/2016	00760496-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS LARGE CAP VALUE CLASS C	NEWPORT BEACH, CA	1/8/2016	00760493-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP ADVISOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760510-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP CLASS A	NEWPORT BEACH, CA	1/8/2016	00760503-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP CLASS C	NEWPORT BEACH, CA	1/8/2016	00760505-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP GROWTH ADVISOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760507-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP GROWTH INVESTOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760511-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP GROWTH CLASS A	NEWPORT BEACH, CA	1/8/2016	00760506-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP GROWTH CLASS C	NEWPORT BEACH, CA	1/8/2016	00760509-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP INVESTOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760508-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP VALUE ADVISOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760500-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP VALUE INVESTOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760504-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP VALUE CLASS A	NEWPORT BEACH, CA	1/8/2016	00760499-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL CAP VALUE CLASS C	NEWPORT BEACH, CA	1/8/2016	00760502-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL MID CAP ADVISOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760498-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL MID CAP CLASS A	NEWPORT BEACH, CA	1/8/2016	00760497-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL MID CAP CLASS C	NEWPORT BEACH, CA	1/8/2016	00760512-03	Registered
PACIFIC FUNDS SERIES TR PACIFIC FUNDS SMALL MID CAP INVESTOR CLASS	NEWPORT BEACH, CA	1/8/2016	00760501-03	Registered
SMART TR 219 SMART TR DEFENSIVE 50 EQUITIES TR SERIES 6	PARSIPPANY, NJ	1/8/2016	00760273-05	Registered
SMART TR 221 SMART TR ZACKS GARP COMPOSITE 35 TR SERIES 8	PARSIPPANY, NJ	1/8/2016	00760274-05	Registered
FT 5820 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 56	WHEATON, IL	1/6/2016	00760304-05	Registered
FT 5821 BALANCED INCOME PORTFOLIO SERIES 51	WHEATON, IL	1/6/2016	00760306-05	Registered
FT 5824 BIOTECHNOLOGY PORTFOLIO SERIES 31	WHEATON, IL	1/6/2016	00760307-05	Registered
FT 5834 60 40 STRATEGIC ALLOCATION PORT 1Q 16 TERM 4 13 17	WHEATON, IL	1/6/2016	00760285-05	Registered
FT 5834 75 25 STRATEGIC ALLOCATION PORT 1Q 16 TERM 4 13 17	WHEATON, IL	1/6/2016	00760311-05	Registered
FT 5841 PREFERRED INCOME PORTFOLIO SERIES 77	WHEATON, IL	1/6/2016	00760310-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5845 HENDERSON EUROPEAN EQUITY OPPORTUNITIES PORTFOLIO SERIES	WHEATON, IL	1/6/2016	00760305-05	Registered
FT 5936 EUROPEAN TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 8	WHEATON, IL	1/6/2016	00760288-05	Registered
FT 5936 TARGET DIVIDEND BLEND PORTFOLIO SERIES 8	WHEATON, IL	1/6/2016	00760286-05	Registered
FT 5936 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 13	WHEATON, IL	1/6/2016	00760287-05	Registered
FT 5937 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 1Q 16 TERM 4 13 17	WHEATON, IL	1/6/2016	00760303-05	Registered
FT 5938 INCOME ALLOCATION CLOSED END 1Q 16	WHEATON, IL	1/6/2016	00760308-05	Registered
FT 5939 DOW TARGET 10 JAN 16 TERM 2 9 17	WHEATON, IL	1/6/2016	00760309-05	Registered
FT 5940 DOW TARGET 5 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760302-05	Registered
FT 5940 DOW TARGET DVD 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760296-05	Registered
FT 5940 GLOBAL TARGET 15 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760295-05	Registered
FT 5940 S&P TARGET 24 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760294-05	Registered
FT 5940 S&P TARGET SMID 60 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760301-05	Registered
FT 5940 TARGET DBL PLAY 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760299-05	Registered
FT 5940 TARGET DIVSD DVD 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760297-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5940 TARGET DVD MULTI STRAT 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760298-05	Registered
FT 5940 TARGET FOCUS 4 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760300-05	Registered
FT 5940 TARGET GLOBAL DVD LEADERS 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760293-05	Registered
FT 5940 TARGET GROWTH 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760292-05	Registered
FT 5940 TARGET TRIAD 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760290-05	Registered
FT 5940 TARGET VIP 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760291-05	Registered
FT 5940 VALUE LINE TARGET 25 1Q 16 TERM 4 7 17	WHEATON, IL	1/6/2016	00760289-05	Registered
ADVISORS DISCIPLINED TR 1572 BLUE CHIP COVERED CALL PORTFOLIO SERIES 2106 1Q	MONUMENT, CO	1/5/2016	00760314-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1404 ENHANCED QUALITY 16 STRATEGY PORTFOLIO SERIES 2	LISLE, IL	1/5/2016	00760316-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1405 STRATEGIC INCOME PORTFOLIO SERIES 90	LISLE, IL	1/5/2016	00760317-05	Registered
INCAPITAL UNIT TR SERIES 113 INCAPITAL MORNINGSTAR WIDE MOAT PORTFOLIO 1Q 2016	CHICAGO, IL	1/5/2016	00760315-05	Registered
ADVISORS SERIES TR HUBER CAPITAL MID CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/4/2016	00760344-03	Registered
ADVISORS SERIES TR HUBER CAPITAL MID CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	1/4/2016	00760345-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR SCHARF ALPHA OPPORTUNITY FUND RETAIL CLASS	MILWAUKEE, WI	1/4/2016	00760346-03	Registered
DE GROUP CASH RESERVE DE INVESTMENTS ULTRASHORT FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	1/4/2016	00760217-03	Registered
DE GROUP CASH RESERVE DE INVESTMENTS ULTRASHORT FUND CLASS A	PHILADELPHIA, PA	1/4/2016	00760216-03	Registered
DE GROUP CASH RESERVE DE INVESTMENTS ULTRASHORT FUND CLASS C	PHILADELPHIA, PA	1/4/2016	00760218-03	Registered
DE GROUP CASH RESERVE DE INVESTMENTS ULTRASHORT FUND CLASS L	PHILADELPHIA, PA	1/4/2016	00760219-03	Registered
DREYFUS LAUREL FUNDS INC GENERAL TREASURY & AGENCY MONEY MARKET FUND CLASS B	NEW YORK, NY	1/4/2016	00760220-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS A	MILWAUKEE, WI	1/4/2016	00760357-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS C	MILWAUKEE, WI	1/4/2016	00760358-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS I	MILWAUKEE, WI	1/4/2016	00760359-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS R 3	MILWAUKEE, WI	1/4/2016	00760360-03	Registered
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS R 5	MILWAUKEE, WI	1/4/2016	00760361-03	Registered

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Firm Name	Location	Date Received	File Number	Status
EAGLE SERIES TR EAGLE TACTICAL ALLOCATION FUND CLASS R 6	MILWAUKEE, WI	1/4/2016	00760362-03	Registered
FIDELITY SALEM STREET TR FIDELITY SAI EMERGING MARKETS INDEX FUND	BOSTON, MA	1/4/2016	00760214-03	Registered
FIDELITY SALEM STREET TR FIDELITY SAI INTERNATIONAL INDEX FUND	BOSTON, MA	1/4/2016	00760213-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1255 QUALITY MUNICIPAL INCOME TR 20 PLUS YEAR SERIES 191	DOWNERS GROVE, IL	1/4/2016	00760340-05	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1256 QUALITY MUNICIPAL INCOME 20 PLUS YEAR SERIES 192	DOWNERS GROVE, IL	1/4/2016	00760339-05	Pending-Cleared
INVESCO UNIT TR SERIES 1626 DIVIDEND SUSTAINABILITY PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760336-05	Pending-Cleared
INVESCO UNIT TR SERIES 1626 EUROPEAN DIVIDEND SUSTAINABILITY PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760335-05	Pending-Cleared
INVESCO UNIT TR SERIES 1626 GLOBAL DIVIDEND SUSTAINABILITY PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760334-05	Pending-Cleared
INVESCO UNIT TR SERIES 1626 INTERNATIONAL DIVIDEND SUSTAINABILITY PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760333-05	Pending-Cleared
INVESCO UNIT TR SERIES 1627 CLOSED END STRATEGY DISCOUNT OPPORTUNITY PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760332-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1628 INFLATION HEDGE PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760331-05	Pending-Cleared
INVESCO UNIT TR SERIES 1629 DIVERSIFIED HEALTHCARE PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760327-05	Pending-Cleared
INVESCO UNIT TR SERIES 1629 ENERGY PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760328-05	Pending-Cleared
INVESCO UNIT TR SERIES 1629 FINANCIAL INSTITUTIONS PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760329-05	Pending-Cleared
INVESCO UNIT TR SERIES 1629 REIT INCOME PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760326-05	Pending-Cleared
INVESCO UNIT TR SERIES 1629 UTILITY INCOME PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760330-05	Pending-Cleared
INVESCO UNIT TR SERIES 1630 HIGH INCOME ALLOCATION PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760324-05	Pending-Cleared
INVESCO UNIT TR SERIES 1630 MLP & INCOME PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760325-05	Pending-Cleared
INVESCO UNIT TR SERIES 1631 ALTERNATIVES ALLOCATION PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760323-05	Pending-Cleared
INVESCO UNIT TR SERIES 1632 GLOBAL HIGH DIVIDEND PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760322-05	Pending-Cleared
INVESCO UNIT TR SERIES 1633 PREFERRED OPPORTUNITY PORTFOLIO 2016 1	DOWNERS GROVE, IL	1/4/2016	00760343-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR TAXABLE INCOME SERIES 541 INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 15	DOWNERS GROVE, IL	1/4/2016	00760341-05	Registered
INVESCO UNIT TR TAXABLE INCOME SERIES 542 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 68	DOWNERS GROVE, IL	1/4/2016	00760338-05	Registered
INVESCO UNIT TR TAXABLE INCOME SERIES 543 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 69	DOWNERS GROVE, IL	1/4/2016	00760337-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 549 INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 14	DOWNERS GROVE, IL	1/4/2016	00760342-05	Pending-Cleared
MANAGED PORTFOLIO SERIES NUANCE CONCENTRATED VALUE LONG SHORT FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	1/4/2016	00760364-03	Registered
MANAGED PORTFOLIO SERIES NUANCE CONCENTRATED VALUE LONG SHORT FUND INVESTOR CLASS SHARES	MILWAUKEE, WI	1/4/2016	00760365-03	Registered
MANAGED PORTFOLIO SERIES PORT ST INSTITUTIONAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/4/2016	00760363-03	Registered
MILLER HOWARD FUNDS TR MILLER HOWARD DRILL BIT TO BURNER TIP FUND CLASS I	WEST ALLIS, WI	1/4/2016	00760355-03	Registered
MILLER HOWARD FUNDS TR MILLER HOWARD DRILL BIT TO BURNER TIP FUND ADVISOR SHARE CLASS	WEST ALLIS, WI	1/4/2016	00760356-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MILLER HOWARD FUNDS TR MILLER HOWARD INCOME EQUITY FUND CLASS I	WEST ALLIS, WI	1/4/2016	00760353-03	Registered
MILLER HOWARD FUNDS TR MILLER HOWARD INCOME EQUITY FUND ADVISOR SHARE CLASS	WEST ALLIS, WI	1/4/2016	00760354-03	Registered
SALIENT MF TR SALIENT MLP & ENERGY INFRASTRUCTURE FUND 2 CLASS R6	HOUSTON, TX	1/4/2016	00760215-03	Registered
Number of Investment Company Filings: 113				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WALTON STREET REAL ESTATE PARTNERS 8 LP	CHICAGO, IL	1/8/2016	00760269-07	Filed
3RD POLE INC	SAN FRANCISCO, CA	1/7/2016	00760743-07	Filed
ARCITERRA STRATEGIC RETAIL CENTERVILLE & COLONY GA LLC	PHOENIX, AZ	1/7/2016	00760753-07	Filed
BLINK INC	CORTE MADERA, CA	1/7/2016	00760242-07	Filed
BRV LOTUS GROWTH FUND 2015	GEORGE TOWN, GRAND CAYMAN, AA	1/7/2016	00760749-07	Filed
FRASER MCCOMBS VENTURES 2 LP	BOULDER, CO	1/7/2016	00760240-07	Filed
HCM CP LLC	OAK BROOK, IL	1/7/2016	00760748-07	Filed
HJSI ATHENA PORTFOLIO FINANCE LLC	FAIRFIELD, CT	1/7/2016	00760742-07	Filed
LION CAPITAL 2015 FUND LP	LONDON, AA	1/7/2016	00760241-07	Filed
PHUNWARE INC	AUSTIN, TX	1/7/2016	00760747-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
POSEIDA THERAPEUTICS INC	LA JOLLA, CA	1/7/2016	00760745-07	Filed
TRUNCATUS HOLDINGS LLC	NEW YORK, NY	1/7/2016	00760752-07	Filed
TRUNCATUS HOLDINGS QP LLC	NEW YORK, NY	1/7/2016	00760751-07	Filed
WATERFORD MANOR HOLDINGS LP	BOCA RATON, FL	1/7/2016	00760746-07	Filed
WOLFE DIAMOND LOCH LLC	PLANO, TX	1/7/2016	00760744-07	Filed
ORLANDO STUDENT HOUSING DST	OAK BROOK, IL	1/6/2016	00760283-07	Filed
PH DISTRICT SOUTH LLC	RICHMOND, VA	1/6/2016	00760282-07	Filed
ZOMEDICA PHARMACEUTICALS INC	ANN ARBOR, MI	1/6/2016	00760284-07	Filed
SNV WAREHOUSE DISTRICT INVESTORS LLC	EAST LANSING, MI	1/5/2016	00760313-07	Filed
HARKEY PPI MINERALS ACQUISITION 1 LP	GRAPEVINE, TX	1/4/2016	00760321-07	Filed
HSI STATE STREET LLC	BROOKFIELD, WI	1/4/2016	00760351-07	Filed
NORTH HAVEN INFRASTRUCTURE PARTNERS 2 US WEALTH MANAGEMENT FEEDER LP	NEW YORK, NY	1/4/2016	00760566-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Priceless Rent-A-Car, LLC / Priceless Car and Truck Rental	Kensington, MD	1/7/2016	611499	Registered
Fyzical, LLC / Fyzical	Sarasota, FL	1/7/2016	611498	Registered

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Nurse Next Door Home Healthcare Services (USA) Inc. / Nurse Next Door	Vancouver, BC	1/7/2016	611497	Registered
MJ MK Enterprises LLC / Deka Lash	Salt Lake City, UT	1/6/2016	611496	Registered
ANNEX BRANDS INC / Annex Brands Retail Centers	SAN DIEGO, CA	1/5/2016	611494	Registered
GrowlerU Franco, LLC / "Growler USA"	Centennial, CO	1/4/2016	611488	Registered
Dale Carnegie & Associates, Inc. / Dale Carnegie Training	Hauppauge, NY	1/4/2016	611487	Registered
STEAK N SHAKE ENTERPRISES INC / Steak n Shake	INDIANAPOLIS, IN	1/4/2016	611501	Registered
Number of Franchise Filings: 8				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	