

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/20/2015 through 12/26/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FENIMORE ASSET MANAGEMENT TR FAM SMALL CAP FUND INSTITUTIONAL SHARES	COBLESKILL, NY	12/23/2015	00759083-03	Registered
HARDING LOEVNER FUNDS INC INTERNATIONAL EQUITY RESEARCH PORTFOLIO INVESTOR CLASS	BRIDGEWATER, NJ	12/23/2015	00759048-03	Registered
HARDING LOEVNER FUNDS INC INTERNATIONAL EQUITY RESEARCH PORTFOLIO INSTITUTIONAL CLASS	BRIDGEWATER, NJ	12/23/2015	00759047-03	Registered
PRUDENTIAL WORLD FUND INC PRUDENTIAL JENNISON INTERNATIONAL OPPORTUNITIES FUND CLASS Q	NEWARK, NJ	12/23/2015	00759049-03	Registered
1ST TR COMBINED SERIES 499 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 22	WHEATON, IL	12/22/2015	00760186-05	Registered
CLEARWATER INVESTMENT TR CLEARWATER CORE EQUITY FUND	SAINT PAUL, MN	12/22/2015	00758900-03	Registered

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Firm Name	Location	Date Received	File Number	Status
CLEARWATER INVESTMENT TR CLEARWATER SMALL CO FUND	SAINT PAUL, MN	12/22/2015	00758901-03	Registered
CLEARWATER INVESTMENT TR CLEARWATER TX EX BOND FUND	SAINT PAUL, MN	12/22/2015	00758902-03	Registered
DIAMOND HILL FUNDS DIAMOND HILL MID CAP FUND CLASS A	COLUMBUS, OH	12/22/2015	00758899-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1394 MLP & ENERGY FUNDS PORTFOLIO SERIES 12	LISLE, IL	12/22/2015	00760198-05	Registered
INCAPITAL UNIT TR SERIES 112 ARK GENOMIC HEALTHCARE & BIOTECH PORTFOLIO 4Q 2015	CHICAGO, IL	12/22/2015	00760200-05	Registered
LEGG MASON PARTNERS INSTITUTIONAL TR WESTERN ASSET SMASH SERIES TF FUND	NEW YORK, NY	12/22/2015	00758898-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC ASIA OPPORTUNITY PORTFOLIO CLASS A	NEW YORK, NY	12/22/2015	00758886-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC ASIA OPPORTUNITY PORTFOLIO CLASS IS	NEW YORK, NY	12/22/2015	00758889-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC ASIA OPPORTUNITY PORTFOLIO CLASS I	NEW YORK, NY	12/22/2015	00758888-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC ASIA OPPORTUNITY PORTFOLIO CLASS C	NEW YORK, NY	12/22/2015	00758887-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RX FUNDS TR RX MAR TACTICAL MODERATE GROWTH FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/22/2015	00760203-03	Registered
ADVISORS DISCIPLINED TR 1568 Q GARP PORTFOLIO SERIES 2015 4Q	MONUMENT, CO	12/21/2015	00758506-05	Registered
INCAPITAL UNIT TR SERIES 111 BALANCE SHEET STRENGTH PORTFOLIO SERIES 8	CHICAGO, IL	12/21/2015	00758507-05	Registered
MANAGED PORTFOLIO SERIES GREAT LAKES DISCIPLINED INTERNATIONAL SMALLER CO FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	12/21/2015	00758486-03	Registered
MUTUAL FUND SERIES TR CATALYST AUCTOS MULTI STRATEGY FUND CLASS I	HAUPPAUGE, NY	12/21/2015	00758508-03	Registered
Number of Investment Company Filings: 21				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PARKERGALE CAPITAL LP	CHICAGO, IL	12/22/2015	00760187-07	Filed
TRYON MEDIA LLC	DULUTH, MN	12/22/2015	00760188-07	Filed
DSCV51 FUND LP	ENCINITAS, CA	12/21/2015	00758484-07	Filed
WORKHORSE GROUP INC	LOVELAND, OH	12/21/2015	00758509-07	Filed
Number of Regulation D Notice Filings: 4				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Apex Fun Run, LLC / Apex Fun Run	Phoenix, AZ	12/25/2015	611479	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HouseMaster LLC / HouseMaster	Ewing, NJ	12/24/2015	611478	Registered
FIBRENEW USA, LTD. / FIBRENEW	Black Diamond, AB	12/23/2015	611477	Registered
TTP Franchising, Inc. / Top Ten Percent	Westerville, OH	12/22/2015	611475	Registered
Smoke's Poutinerie US Franchising Inc. / SMOKE'S POUTINERIE	Ajax, ON	12/22/2015	611473	Registered
Peak Franchising, Inc. / Max Muscle (Regional Director)	Orange, CA	12/22/2015	611472	Registered
Peak Franchising, Inc. / Max Muscle (Unit)	Orange, CA	12/21/2015	611471	Registered
Jack in the Box Inc. / Jack in the Box	San Diego, CA	12/21/2015	611462	Registered
Bundy American, LLC / Rent-A-Wreck	Kensington, MD	12/21/2015	611461	Registered
FASTFRAME USA INC / Fastframe Expert Picture Framing	NEWBURY PARK, CA	12/21/2015	611489	Registered
Luby's Fuddruckers Restaurants, LLC / Fuddruckers	Houston, TX	12/21/2015	611468	Registered
Number of Franchise Filings: 11				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	