

**WI Securities Law and Franchise Law Filings  
for 12/6/2015 through 12/12/2015**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BANCORP OF NEW GLARUS INC	NEW GLARUS, WI	12/7/2015	00755146-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BMO FUNDS INC BMO LARGE CAP GROWTH FUND RETIREMENT CLASS R6	MILWAUKEE, WI	12/11/2015	00755636-03	Pending-Cleared
BMO FUNDS INC BMO LARGE CAP VALUE FUND RETIREMENT CLASS R6	MILWAUKEE, WI	12/11/2015	00755637-03	Pending-Cleared
<b>Number of Investment Company Filings: 2</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				