

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/6/2015 through 12/12/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
REDWOOD MORTGAGE INVESTORS 9 LLC	SAN MATEO, CA	12/10/2015	00755665-01	Pending
TRINITY BAPTIST CHURCH OF TRACY DBA SOUTHWINDS CHURCH	TRACY, CA	12/9/2015	00755594-02	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FUNDRISE REAL ESTATE INVESTMENT TR LLC	WASHINGTON, DC	12/11/2015	00755629-25	Filed
BANCORP OF NEW GLARUS INC	NEW GLARUS, WI	12/7/2015	00755146-08	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALLIANZ FUNDS ALLIANZGI EMERGING MARKETS OPPORTUNITIES FUND CLASS R6	NEW YORK, NY	12/11/2015	00755599-03	Pending-Cleared
ALLIANZ FUNDS ALLIANZGI FOCUSED GROWTH FUND CLASS R6	NEW YORK, NY	12/11/2015	00755598-03	Pending-Cleared
AMERICAN BEACON FUNDS SOUND POINT FLOATING RATE INCOME FUND Y CLASS	IRVING, TX	12/11/2015	00755602-03	Registered
AMERICAN BEACON FUNDS SOUND POINT FLOATING RATE INCOME FUND A CLASS	IRVING, TX	12/11/2015	00755601-03	Registered

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AMERICAN BEACON FUNDS SOUND POINT FLOATING RATE INCOME FUND INVESTOR CLASS	IRVING, TX	12/11/2015	00755603-03	Registered
AMERICAN BEACON FUNDS SOUND POINT FLOATING RATE INCOME FUND C CLASS	IRVING, TX	12/11/2015	00755600-03	Registered
AMERICAN CENTURY QUANTITATIVE EQUITY FUNDS INC AMERICAN CENTURY EMERGING OPPORTUNITIES TOTAL RETURN FUND INVESTOR	KANSAS CITY, MO	12/11/2015	00758253-03	Pending-Cleared
AMERICAN CENTURY QUANTITATIVE EQUITY FUNDS INC AMERICAN CENTURY EMERGING OPPORTUNITIES TOTAL RETURN FUND	KANSAS CITY, MO	12/11/2015	00758252-03	Pending-Cleared
AMERICAN CENTURY QUANTITATIVE EQUITY FUNDS INC AMERICAN CENTURY EMERGING OPPORTUNITIES TOTAL RETURN FUND R CLASS	KANSAS CITY, MO	12/11/2015	00758255-03	Pending-Cleared
AMERICAN CENTURY QUANTITATIVE EQUITY FUNDS INC AMERICAN CENTURY EMERGING OPPORTUNITIES TOTAL RETURN FUND A CLASS	KANSAS CITY, MO	12/11/2015	00758250-03	Pending-Cleared
AMERICAN CENTURY QUANTITATIVE EQUITY FUNDS INC AMERICAN CENTURY EMERGING OPPORTUNITIES TOTAL RETURN FUND C CLASS	KANSAS CITY, MO	12/11/2015	00758251-03	Pending-Cleared
AMERICAN CENTURY QUANTITATIVE EQUITY FUNDS INC AMERICAN CENTURY EMERGING OPPORTUNITIES TOTAL RETURN FUND R6 CLASS	KANSAS CITY, MO	12/11/2015	00758254-03	Pending-Cleared
BMO FUNDS INC BMO LARGE CAP GROWTH FUND RETIREMENT CLASS R6	MILWAUKEE, WI	12/11/2015	00755636-03	Pending-Cleared

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BMO FUNDS INC BMO LARGE CAP VALUE FUND RETIREMENT CLASS R6	MILWAUKEE, WI	12/11/2015	00755637-03	Pending-Cleared
VICTORY PORTFOLIOS VICTORY INTEGRITY MID CAP VALUE FUND CLASS R6	COLUMBUS, OH	12/11/2015	00758258-03	Registered
VICTORY PORTFOLIOS VICTORY NEWBRIDGE GLOBAL EQUITY FUND CLASS R6	COLUMBUS, OH	12/11/2015	00758256-03	Registered
VICTORY PORTFOLIOS VICTORY SYCAMORE SMALL CO OPPORTUNITY FUND CLASS R6	COLUMBUS, OH	12/11/2015	00758257-03	Registered
1ST TR COMBINED SERIES 498 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO INTERMEDIATE SERIES 13	WHEATON, IL	12/10/2015	00755654-05	Registered
ADVISORS DISCIPLINED TR 1565 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2015 4Q	MONUMENT, CO	12/10/2015	00755670-05	Registered
ADVISORS DISCIPLINED TR 1566 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2015 4Q	MONUMENT, CO	12/10/2015	00755671-05	Registered
ADVISORS DISCIPLINED TR 1567 PERONI GROWTH PORTFOLIO WINTER 2016 SERIES	MONUMENT, CO	12/10/2015	00755672-05	Registered
ADVISORS DISCIPLINED TR 1569 COHEN & STEERS MLP MIDSTREAM & ENERGY INCOME CLOSED END PORTFOLIO SERIES 2015 4	MONUMENT, CO	12/10/2015	00755669-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1601 ECONOMY FOCUSED PORTFOLIO SERIES 2015 4Q	MONUMENT, CO	12/10/2015	00755668-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5771 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 63	WHEATON, IL	12/10/2015	00755660-05	Registered
FT 5775 INNOVATIVE TECHNOLOGY PORTFOLIO SERIES 10	WHEATON, IL	12/10/2015	00755661-05	Registered
FT 5776 HIGH DIVIDEND EQUITY PORTFOLIO SERIES 25	WHEATON, IL	12/10/2015	00755658-05	Registered
FT 5777 SABRIENT DIVIDEND PORTFOLIO SERIES 12	WHEATON, IL	12/10/2015	00755659-05	Registered
FT 5779 EQUITY INCOME SELECT PORTFOLIO SERIES 47	WHEATON, IL	12/10/2015	00755657-05	Registered
FT 5780 DEEP VALUE DIVIDEND PORTFOLIO SERIES 9	WHEATON, IL	12/10/2015	00755656-05	Registered
FT 5795 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 59	WHEATON, IL	12/10/2015	00755655-05	Registered
FT 5807 RICHARD BERNSTEIN ADVISORS CONCENTRATED CORE 16 PORTFOLIO SERIES	WHEATON, IL	12/10/2015	00755663-05	Registered
FT 5808 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	12/10/2015	00755662-05	Registered
FT 5813 BALANCED INCOME SELECT PORTFOLIO SERIES 57	WHEATON, IL	12/10/2015	00755664-05	Registered
FT 5814 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 61	WHEATON, IL	12/10/2015	00755653-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1393 EUROPEAN HIGH DIVIDEND PORTFOLIO SERIES 5	LISLE, IL	12/10/2015	00755666-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1395 GUGGENHEIM DISCOUNT OPPORTUNITY STRATEGY PORTFOLIO CEFS SERIES 9	LISLE, IL	12/10/2015	00755667-05	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC EMERGING MARKETS SMALL CAP PORTFOLIO CLASS IS	NEW YORK, NY	12/10/2015	00755557-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC EMERGING MARKETS SMALL CAP PORTFOLIO CLASS I	NEW YORK, NY	12/10/2015	00755556-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC EMERGING MARKETS SMALL CAP PORTFOLIO CLASS C	NEW YORK, NY	12/10/2015	00755555-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC EMERGING MARKETS SMALL CAP PORTFOLIO CLASS A	NEW YORK, NY	12/10/2015	00755554-03	Registered
ADVISORS DISCIPLINED TR 1562 BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES 2015 4	MONUMENT, CO	12/8/2015	00755134-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1563 EMERGING MARKETS DIVIDEND PORTFOLIO SERIES 2015 2	MONUMENT, CO	12/8/2015	00755136-05	Registered
FT 5778 US REVENUE PORTFOLIO SERIES 5	WHEATON, IL	12/8/2015	00755139-05	Registered
FT 5786 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 16	WHEATON, IL	12/8/2015	00755140-05	Registered
FT 5787 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 52	WHEATON, IL	12/8/2015	00755141-05	Registered
FT 5836 MLP CLOSED END PORTFOLIO SERIES 9	WHEATON, IL	12/8/2015	00755142-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5880 TARGET DIVERSIFIED GLOBAL ALLOCATION PORTFOLIO SERIES 18	WHEATON, IL	12/8/2015	00755144-05	Registered
FT 5881 TARGET GLOBAL VALUE DIVIDEND PORTFOLIO SERIES 6	WHEATON, IL	12/8/2015	00755143-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1392 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 23	LISLE, IL	12/8/2015	00755138-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1392 GLOBAL 100 DIVIDEND STRATEGY PORTFOLIO SERIES 5	LISLE, IL	12/8/2015	00755135-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1414 BDC SCORECARD PORTFOLIO SERIES 2	LISLE, IL	12/8/2015	00755132-05	Registered
INCAPITAL UNIT TR SERIES 105 INCAPITAL FORMULA 10 DOW 4Q 2015	CHICAGO, IL	12/8/2015	00755133-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 105 INCAPITAL FORMULA 30 S&P 4Q 2015	CHICAGO, IL	12/8/2015	00755137-05	Pending-Cleared
Number of Investment Company Filings: 53				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BRUSH CREEK HOLDINGS LLC	ROME, GA	12/11/2015	00755619-07	Filed
DOSA HOLDINGS INC	SAN FRANCISCO, CA	12/11/2015	00755617-07	Filed
FLEXE INC	SEATTLE, WA	12/11/2015	00755616-07	Filed
IMMUNOME INC	WYNNEWOOD, PA	12/11/2015	00755623-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NEWBURGH RETIREMENT LLC	ABERDEEN, SD	12/11/2015	00755620-07	Filed
TERRA SECURED INCOME FUND 5 LLC	NEW YORK, NY	12/11/2015	00755621-07	Filed
TERRA SECURED INCOME FUND 5 LLC	NEW YORK, NY	12/11/2015	00755622-07	Filed
VYNCA INC	PALO ALTO, CA	12/11/2015	00755618-07	Filed
MVPINDEX INVESTORS LLC	HIGHLAND PARK, IL	12/10/2015	00755652-07	Filed
GPB HOLDINGS 2 LP	NEW YORK, NY	12/8/2015	00755582-07	Filed
PURE BIOSCIENCE INC	EL CAJON, CA	12/8/2015	00755129-07	Filed
SHELL 1000 LLC	LYNNWOOD, WA	12/8/2015	00755131-07	Filed
THOMA BRAVO DISCOVER FUND LP	CHICAGO, IL	12/8/2015	00755130-07	Filed
ARP WAVE LLC	APPLE VALLEY, MN	12/7/2015	00755149-07	Filed
ATRECA INC	REDWOOD CITY, CA	12/7/2015	00754837-07	Filed
TIGER GLOBAL PRIVATE INVESTMENT PARTNERS 10 LP	NEW YORK, NY	12/7/2015	00754836-07	Filed
Number of Regulation D Notice Filings: 16				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
KALEIDOSCOOPS INC / Kaleidoscoops	Amarillo, TX	12/10/2015	611441	Registered
SHIPPING FRANCHISE HOLDING CORPORATION / SAFE SHIP	JACKSONVILLE, FL	12/9/2015	611435	Registered
Berlitz Franchising Corporation / Berlitz Kids & Teens	Princeton, NJ	12/8/2015	611433	Registered
Berlitz Franchising Corporation / Berlitz	Princeton, NJ	12/8/2015	611432	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Maids International, Inc. / The Maids	Omaha, NE	12/8/2015	611429	Registered
Power Train Properties, LLC / Power Train Sports & Fitness	Manheim, PA	12/8/2015	611437	Registered
CK Franchising, Inc. / Comfort Keepers	Dayton, OH	12/7/2015	611431	Registered
Number of Franchise Filings: 7				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	