

**WI Securities Law and Franchise Law Filings
for 11/1/2015 through 11/7/2015**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DIREXION FUNDS DIREXION MONTHLY 25 PLUS YEAR TREASURY BEAR 1 10 FUND INVESTOR CLASS	MILWAUKEE, WI	11/3/2015	00750242-03	Registered
DIREXION FUNDS DIREXION MONTHLY 25 PLUS YEAR TREASURY BULL 1 2 10 FUND INVESTOR CLASS	MILWAUKEE, WI	11/3/2015	00750243-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI GLOBAL HIGH YIELD FUND CLASS A	WEST ALLIS, WI	11/3/2015	00750247-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI GLOBAL HIGH YIELD FUND CLASS C	WEST ALLIS, WI	11/3/2015	00750246-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI GLOBAL HIGH YIELD FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	11/3/2015	00750245-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI GLOBAL HIGH YIELD FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	11/3/2015	00750244-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI STRATEGIC INCOME FUND CLASS A	WEST ALLIS, WI	11/3/2015	00750248-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI STRATEGIC INCOME FUND CLASS C	WEST ALLIS, WI	11/3/2015	00750249-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI STRATEGIC INCOME FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	11/3/2015	00750250-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE AMUNDI STRATEGIC INCOME FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	11/3/2015	00750251-03	Registered
Number of Investment Company Filings: 10				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PEGASUS SUSTAINABILITY SOLUTIONS INC	FITCHBURG, WI	11/6/2015	00750954-07	Filed
BROOKFIELD LAKES ACQUISITION CO LLC	MILWAUKEE, WI	11/5/2015	00750360-07	Filed
Number of Regulation D Notice Filings: 2				