

**WI Securities Law and Franchise Law Filings
for 10/25/2015 through 10/31/2015**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR SEGALL BRYANT & HAMILL INTERNATIONAL SMALL CAP FUND CLASS A	MILWAUKEE, WI	10/27/2015	00749541-03	Registered
INVESTMENT MANAGERS SERIES TR SEGALL BRYANT & HAMILL INTERNATIONAL SMALL CAP FUND CLASS I	MILWAUKEE, WI	10/27/2015	00749542-03	Registered
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
REDOX INC	MADISON, WI	10/29/2015	00749874-07	Filed
Number of Regulation D Notice Filings: 1				