

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 10/25/2015 through 10/31/2015**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST BAPTIST CHURCH OF ROYAL PALM BEACH FL INC	ROYAL PALM BEACH, FL	10/28/2015	00749567-02	Pending
RAMIUS ARCHVIEW CREDIT & DISTRESSED FEEDER FUND	NEW YORK, NY	10/27/2015	00749446-01	Registered
RAMIUS ARCHVIEW CREDIT & DISTRESSED FUND	NEW YORK, NY	10/27/2015	00749447-01	Registered
ATLAS GROWTH PARTNERS LP	PITTSBURGH, PA	10/26/2015	00749538-01	Pending
<b>Number of Registration Filings: 4</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HIGHPOINT CHRISTIAN TABERNACLE INC	SMYRNA, GA	10/26/2015	00749535-10	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HARBOR FUNDS HARBOR DIVERSIFIED INTERNATIONAL ALL CAP FUND INVESTOR CLASS	CHICAGO, IL	10/30/2015	00749991-03	Registered
HARBOR FUNDS HARBOR DIVERSIFIED INTERNATIONAL ALL CAP FUND INSTITUTIONAL CLASS	CHICAGO, IL	10/30/2015	00749990-03	Registered
HARBOR FUNDS HARBOR DIVERSIFIED INTERNATIONAL ALL CAP FUND ADMINISTRATIVE CLASS	CHICAGO, IL	10/30/2015	00749989-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VALUE LINE ASSET ALLOCATION FUND INC INSTITUTIONAL CLASS	NEW YORK, NY	10/30/2015	00749673-03	Registered
VALUE LINE INCOME & GROWTH FUND INC INSTITUTIONAL CLASS	NEW YORK, NY	10/30/2015	00749670-03	Registered
VALUE LINE LARGER CO FOCUSED FUND INC INSTITUTIONAL CLASS	NEW YORK, NY	10/30/2015	00749671-03	Registered
VALUE LINE SMALL CAP OPPORTUNITIES FUND INC INSTITUTIONAL CLASS	NEW YORK, NY	10/30/2015	00749672-03	Registered
VALUED ADVISERS TR DANA SMALL CAP EQUITY FUND INSTITUTIONAL SHARES	INDIANAPOLIS, IN	10/30/2015	00749992-03	Pending-Cleared
VALUED ADVISERS TR DANA SMALL CAP EQUITY FUND INVESTOR SHARES	INDIANAPOLIS, IN	10/30/2015	00749993-03	Pending-Cleared
ALPINE EQUITY TR ALPINE GLOBAL REALTY INCOME & GROWTH FUND INSTITUTIONAL CLASS	PURCHASE, NY	10/29/2015	00749528-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2005 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749522-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2010 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749523-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2015 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749524-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2020 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749525-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2025 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749526-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2030 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749527-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2035 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749531-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2040 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749532-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2045 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749533-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2050 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749534-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2055 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749529-03	Registered

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DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL 2060 TARGET DATE RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749530-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC DIMENSIONAL RETIREMENT INCOME FUND INSTITUTIONAL CLASS SHARES	AUSTIN, TX	10/29/2015	00749521-03	Registered
FT 5717 STRUCTURED PORTFOLIO PLAN DEFINED PORTFOLIO NOV 2015 SERIES	WHEATON, IL	10/29/2015	00749842-05	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN GLOBAL REAL ESTATE FUND CLASS A	BOSTON, MA	10/29/2015	00749838-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN GLOBAL REAL ESTATE FUND CLASS C	BOSTON, MA	10/29/2015	00749839-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN GLOBAL REAL ESTATE FUND CLASS R6	BOSTON, MA	10/29/2015	00749840-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN GLOBAL REAL ESTATE FUND CLASS I	BOSTON, MA	10/29/2015	00749841-03	Registered
SMART TR CEFA SELECT BDC TR SERIES 5	PARISIPPANY, NJ	10/29/2015	00749843-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1536 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2015 2 HIMCO PORTFOLIO	MONUMENT, CO	10/28/2015	00749561-05	Registered
ADVISORS DISCIPLINED TR 1537 INFLATION INCOME STRATEGY PORTFOLIO SERIES 2015 4	MONUMENT, CO	10/28/2015	00749562-05	Registered
ADVISORS DISCIPLINED TR 1558 ADVISORS CORPORATE TR INVESTMENT GRADE 3 7 YEAR SERIES 2015 1 HIMCO PORTFOLIO	MONUMENT, CO	10/28/2015	00749563-05	Registered

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ADVISORS DISCIPLINED TR 1559 TX EX SECURITIES TR INVESTMENT GRADE 8 12 YEAR SERIES 2015 1 HIMCO PORTFOLIO	MONUMENT, CO	10/28/2015	00749564-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1356 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 13	LISLE, IL	10/28/2015	00749559-05	Registered
INCAPITAL UNIT TR SERIES 101 NUVEEN TIAA ESG GLOBAL DIVIDEND PORTFOLIO SERIES 1	CHICAGO, IL	10/28/2015	00749560-05	Registered
NORTHERN LIGHTS FUND TR 2 PCS COMMODITY STRATEGY FUND CLASS A SHARES	HAUPPAUGE, NY	10/28/2015	00749566-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1253 INVESTMENT GRADE MUNICIPAL TR 7 13 YEAR SERIES 65	DOWNERS GROVE, IL	10/27/2015	00749552-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1254 INVESTMENT GRADE MUNICIPAL TR 7 13 YEAR SERIES 66	DOWNERS GROVE, IL	10/27/2015	00749553-05	Pending-Cleared
INVESCO UNIT TR SERIES 1607 NEW WORLD LEADERS PORTFOLIO 2015 4	DOWNERS GROVE, IL	10/27/2015	00749551-05	Pending-Cleared
INVESCO UNIT TR SERIES 1608 ETF ALLOCATION PORTFOLIO 2015 4	DOWNERS GROVE, IL	10/27/2015	00749550-05	Pending-Cleared
INVESCO UNIT TR SERIES 1608 ETF DIVERSIFIED INCOME PORTFOLIO 2015 4	DOWNERS GROVE, IL	10/27/2015	00749549-05	Pending-Cleared
INVESCO UNIT TR SERIES 1609 DEFENSIVE EQUITY & INCOME PORTFOLIO 2015 4	DOWNERS GROVE, IL	10/27/2015	00749547-05	Pending-Cleared
INVESCO UNIT TR SERIES 1609 EMERGING MARKETS DIVIDEND PORTFOLIO 2015 4	DOWNERS GROVE, IL	10/27/2015	00749548-05	Pending-Cleared

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INVESCO UNIT TR SERIES 1610 NEXT GENERATION CYBERSECURITY PORTFOLIO 2015 4	DOWNERS GROVE, IL	10/27/2015	00749546-05	Pending-Cleared
INVESCO UNIT TR SERIES 1611 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2016 1	DOWNERS GROVE, IL	10/27/2015	00749545-05	Pending-Cleared
INVESCO UNIT TR SERIES 1611 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2016 1	DOWNERS GROVE, IL	10/27/2015	00749544-05	Pending-Cleared
INVESCO UNIT TR SERIES 1612 AMERICAN INNOVATION LEADERS PORTFOLIO 2015 4	DOWNERS GROVE, IL	10/27/2015	00749543-05	Pending-Cleared
INVESCO UNIT TR SERIES 1613 GLOBAL TECHNOLOGY LEADERS PORTFOLIO 2016 1	DOWNERS GROVE, IL	10/27/2015	00749555-05	Pending-Cleared
INVESCO UNIT TR SERIES 1615 MARKET OPPORTUNITIES PORTFOLIO LOW OIL BENEFICIARIES 2015 4	DOWNERS GROVE, IL	10/27/2015	00749556-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR SEGALL BRYANT & HAMILL INTERNATIONAL SMALL CAP FUND CLASS A	MILWAUKEE, WI	10/27/2015	00749541-03	Registered
INVESTMENT MANAGERS SERIES TR SEGALL BRYANT & HAMILL INTERNATIONAL SMALL CAP FUND CLASS I	MILWAUKEE, WI	10/27/2015	00749542-03	Registered
MORGAN STANLEY GLOBAL INVESTMENT SOLUTIONS INTERNATIONAL UNCOMMON VALUES TR 2015 SERIES	PURCHASE, NY	10/27/2015	00749554-05	Pending-Cleared
CALVERT RESPONSIBLE INDEX SERIES INC CALVERT DEVELOPED MARKETS EX US RESPONSIBLE INDEX FUND CLASS A	BETHESDA, MD	10/26/2015	00749322-03	Registered

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CALVERT RESPONSIBLE INDEX SERIES INC CALVERT DEVELOPED MARKETS EX US RESPONSIBLE INDEX FUND CLASS C	BETHESDA, MD	10/26/2015	00749323-03	Registered
CALVERT RESPONSIBLE INDEX SERIES INC CALVERT DEVELOPED MARKETS EX US RESPONSIBLE INDEX FUND CLASS I	BETHESDA, MD	10/26/2015	00749324-03	Registered
CALVERT RESPONSIBLE INDEX SERIES INC CALVERT DEVELOPED MARKETS EX US RESPONSIBLE INDEX FUND CLASS Y	BETHESDA, MD	10/26/2015	00749325-03	Registered
CALVERT RESPONSIBLE INDEX SERIES INC CALVERT US MID CAP CORE RESPONSIBLE INDEX FUND CLASS Y	BETHESDA, MD	10/26/2015	00749329-03	Registered
CALVERT RESPONSIBLE INDEX SERIES INC CALVERT US MID CAP CORE RESPONSIBLE INDEX FUND CLASS I	BETHESDA, MD	10/26/2015	00749328-03	Registered
CALVERT RESPONSIBLE INDEX SERIES INC CALVERT US MID CAP CORE RESPONSIBLE INDEX FUND CLASS C	BETHESDA, MD	10/26/2015	00749327-03	Registered
CALVERT RESPONSIBLE INDEX SERIES INC CALVERT US MID CAP CORE RESPONSIBLE INDEX FUND CLASS A	BETHESDA, MD	10/26/2015	00749326-03	Registered
JPMORGAN TR 1 JPMORGAN GROWTH & INCOME FUND CLASS R2 SHARES	NEW YORK, NY	10/26/2015	00749321-03	Registered
JPMORGAN TR 1 JPMORGAN GROWTH & INCOME FUND CLASS R5 SHARES	NEW YORK, NY	10/26/2015	00749318-03	Registered
JPMORGAN TR 1 JPMORGAN GROWTH & INCOME FUND CLASS R6 SHARES	NEW YORK, NY	10/26/2015	00749317-03	Registered

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JPMORGAN TR 1 JPMORGAN INTREPID GROWTH FUND CLASS R6 SHARES	NEW YORK, NY	10/26/2015	00749316-03	Registered
JPMORGAN TR 1 JPMORGAN LATIN AMERICA FUND CLASS R6 SHARES	NEW YORK, NY	10/26/2015	00749319-03	Registered
JPMORGAN TR 2 JPMORGAN INTREPID MID CAP FUND CLASS R6 SHARES	NEW YORK, NY	10/26/2015	00749330-03	Registered
JPMORGAN TR I JPMORGAN INTREPID AMERICA FUND CLASS R6 SHARES	NEW YORK, NY	10/26/2015	00749320-03	Registered
<b>Number of Investment Company Filings: 67</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ENCORE ENERGY INC	LOS ANGELES, CA	10/29/2015	00749862-07	Filed
ERMES MEDICAL CO LTD	DUBLIN, AA	10/29/2015	00749872-07	Filed
FIDDLER BROADWAY LTD LIABILITY CO	NEW YORK, NY	10/29/2015	00749866-07	Filed
GREAT LAKES CAPITAL FUND FOR HOUSING LP 30	LANSING, MI	10/29/2015	00749869-07	Filed
HEALTHCARE PORTFOLIO DST	OAK BROOK, IL	10/29/2015	00749864-07	Filed
JOY OIL LP	SEDONA, AZ	10/29/2015	00749877-07	Filed
MHPI 5 LLC	ORLANDO, FL	10/29/2015	00749844-07	Filed
MORE PERFECT UNION INC	NEW YORK, NY	10/29/2015	00749863-07	Filed
REALTYSHARES 117 LLC	LEWES, DE	10/29/2015	00749875-07	Filed
REALTYSHARES 119 LLC	LEWES, DE	10/29/2015	00749871-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
REALTYSHARES 145 LLC	LEWES, DE	10/29/2015	00749867-07	Filed
REALTYSHARES 161 LLC	LEWES, DE	10/29/2015	00749876-07	Filed
REDOX INC	MADISON, WI	10/29/2015	00749874-07	Filed
ROANOKE FIELD DEVELOPMENT JOINT VENTURE	SAN ANTONIO, TX	10/29/2015	00749868-07	Filed
SHOUTZ INC	AUSTIN, TX	10/29/2015	00749861-07	Filed
TRANSPLANT GENOMICS INC	BROOKLINE, MA	10/29/2015	00749870-07	Filed
ENERGY & MINERALS GROUP FUND 4 LP	DALLAS, TX	10/28/2015	00749557-07	Filed
SEER CAPITAL COMMERCIAL REAL ESTATE DEBT FUND 1 TR 1	KY1-9005, AA	10/28/2015	00749558-07	Filed
2D2C INC	LINCOLNSHIRE, IL	10/27/2015	00749540-07	Filed
EXICURE INC	SKOKIE, IL	10/27/2015	00749539-07	Filed
M2M SPECTRUM NETWORKS LLC	PHOENIX, AZ	10/26/2015	00749537-07	Filed
MHEG FUND 44 LP	OMAHA, NE	10/26/2015	00749536-07	Filed
<b>Number of Regulation D Notice Filings: 22</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WINZER FRANCHISE CO INC / WINZER	Plano, TX	10/30/2015	611316	Registered
Bark Busters North America, LLC / Bark Busters	San Diego, CA	10/30/2015	611314	Registered
ITEX Corporation / ITEX	Bellevue, WA	10/30/2015	611311	Registered
VICTORY LANE QUICK OIL CHANGE INC / Victory Lane	Canton, MI	10/30/2015	611312	Registered
BTS Franchise LLC / Bounce! Trampoline Sports	Cottage Valley, NY	10/30/2015	611334	Registered

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Zen Windows USA, Inc. / Zen Windows	Johnstown, OH	10/30/2015	611335	Registered
The Barbers, Hairstyling for Men & Women, Inc. / COST CUTTERS	Minneapolis, MN	10/29/2015	611308	Registered
Roosters MGC International, LLC / Roosters Men's Grooming Center	Minneapolis, MN	10/29/2015	611307	Registered
Supercuts, Inc. / SUPERCUTS	Minneapolis, MN	10/29/2015	611306	Registered
INSPECT IT 1ST LLC	INDEPENDENCE, OH	10/29/2015	611322	Registered
COMPUTER TROUBLESHOOTERS USA INC / Computer Troubleshooters	Independence, OH	10/28/2015	611321	Registered
MMI-CPR, LLC / CPR - Cell Phone Repair	Independence, OH	10/28/2015	611320	Registered
AGILE PURSUITS FRANCHISING, INC / Tide Dry Cleaners	CINCINNATI, OH	10/27/2015	611293	Registered
ARTHUR MURRAY INTERNATIONAL, INC / Arthur Murray Dance Studio	CORAL GABLES, FL	10/27/2015	611292	Registered
Pie Five Pizza Co., Inc. / Pie Five Restaurant	The Colony, TX	10/26/2015	611298	Registered
<b>Number of Franchise Filings: 15</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	