

**WI Securities Law and Franchise Law Filings  
for 10/18/2015 through 10/24/2015**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR 2 GRIPMAN ABSOLUTE VALUE BALANCED FUND	MILWAUKEE, WI	10/19/2015	00747769-03	Registered
INVESTMENT MANAGERS SERIES TR ARISTOTLE SMALL CAP EQUITY FUND CLASS I SHARES	MILWAUKEE, WI	10/19/2015	00747768-03	Registered
INVESTMENT MANAGERS SERIES TR WCM INTERNATIONAL SMALL CAP GROWTH FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	10/19/2015	00747770-03	Pending-Cleared
<b>Number of Investment Company Filings: 3</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FRED HIGH BLUFF TOWNHOMES LLC	MILWAUKEE, WI	10/23/2015	00749354-07	Filed
GREENPOINT VOLATILITY OPPORTUNITIES FUND LLC	MADISON, WI	10/22/2015	00749335-07	Filed
<b>Number of Regulation D Notice Filings: 2</b>				

**WI Securities Law and Franchise Law Filings  
for 10/18/2015 through 10/24/2015**

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Team Sobelmans, LLC / Sobelmans Pub & Grill	Milwaukee, WI	10/20/2015	611278	Registered
<b>Number of Franchise Filings: 1</b>				