

**WI Securities Law and Franchise Law Filings
for 9/20/2015 through 9/26/2015**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR OAK RIDGE INTERNATIONAL SMALL CAP FUND CLASS I	MILWAUKEE, WI	9/21/2015	00746072-03	Registered
Number of Investment Company Filings: 1				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAIRD PRINCIPAL GROUP PARTNERS FUND 1 LP	MILWAUKEE, WI	9/21/2015	00746114-07	Filed
Number of Regulation D Notice Filings: 1				