

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/13/2015 through 9/19/2015**

| Securities Law Registration Filings Made with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| CENTRAL FEDERAL BANCSHARES INC | ROLLA, MO | 9/18/2015 | 00745078-01 | Pending |
| STEADFAST APARTMENT REIT 3 INC | IRVINE, CA | 9/18/2015 | 00745077-01 | Pending |
| TRUTH TABERNACLE OF BAKERSFIELD | BAKERSFIELD, CA | 9/16/2015 | 00746041-02 | Pending |
| A&Q MASTERS FUND | STAMFORD, CT | 9/15/2015 | 00746671-01 | Registered |
| Number of Registration Filings: 4 | | | | |

| Securities Law Exemption Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| PHILLY'S PREMIUM BEVERAGES LLC | GRAFTON, WI | 9/17/2015 | 00746004-26 | Filed |
| ELIO MOTORS INC | PHOENIX, AZ | 9/15/2015 | 00746670-25 | Filed |
| Number of Exemption Filings: 2 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| DREYFUS LIQUID ASSETS INC CLASS Z | NEW YORK, NY | 9/18/2015 | 00745967-03 | Registered |
| FT 5645 DEEP VALUE DIVIDEND PORTFOLIO SERIES 8 | WHEATON IL, WI | 9/18/2015 | 00745999-05 | Registered |
| FT 5664 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 24 | WHEATON IL, WI | 9/18/2015 | 00746002-05 | Registered |
| FT 5665 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 16 | WHEATON IL, WI | 9/18/2015 | 00746000-05 | Registered |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| FT 5678 INTEREST RATE HEDGE PORTFOLIO SERIES 100 | WHEATON IL, WI | 9/18/2015 | 00746001-05 | Registered |
| FT 5680 SENIOR LOAN SELECT CLOSED END PORTFOLIO SERIES 17 | WHEATON IL, WI | 9/18/2015 | 00745998-05 | Registered |
| FT 5703 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 58 | WHEATON IL, WI | 9/18/2015 | 00746003-05 | Registered |
| PRINCIPAL FUNDS INC GLOBAL OPPORTUNITIES EQUITY HEDGED FUND INSTITUTIONAL CLASS | DES MOINES, IA | 9/18/2015 | 00745970-03 | Registered |
| PRINCIPAL FUNDS INC GLOBAL OPPORTUNITIES EQUITY HEDGED FUND CLASS A | DES MOINES, IA | 9/18/2015 | 00745968-03 | Registered |
| PRINCIPAL FUNDS INC GLOBAL OPPORTUNITIES EQUITY HEDGED FUND CLASS P | DES MOINES, IA | 9/18/2015 | 00745972-03 | Registered |
| PRINCIPAL FUNDS INC SYSTEMATEX INTERNATIONAL FUND INSTITUTIONAL CLASS | DES MOINES, IA | 9/18/2015 | 00745969-03 | Registered |
| PRINCIPAL FUNDS INC SYSTEMATEX INTERNATIONAL FUND CLASS R 6 | DES MOINES, IA | 9/18/2015 | 00745971-03 | Registered |
| PRINCIPAL FUNDS INC SYSTEMATEX LARGE VALUE FUND CLASS R 6 | DES MOINES, IA | 9/18/2015 | 00745973-03 | Registered |
| TR FOR ADVISED PORTFOLIOS SOUND POINT FLOATING RATE INCOME FUND INVESTOR CLASS | MILWAUKEE, WI | 9/18/2015 | 00745082-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| TR FOR ADVISED PORTFOLIOS SOUND POINT FLOATING RATE INCOME FUND INSTITUTIONAL CLASS | MILWAUKEE, WI | 9/18/2015 | 00745081-03 | Registered |
| LEGG MASON PARTNERS EQUITY TR QS BATTERYMARCH GLOBAL DIVIDEND FUND CLASS A | NEW YORK, NY | 9/17/2015 | 00745087-03 | Registered |
| LEGG MASON PARTNERS EQUITY TR QS BATTERYMARCH GLOBAL DIVIDEND FUND CLASS C | NEW YORK, NY | 9/17/2015 | 00745088-03 | Registered |
| MANNING & NAPIER FUND INC TARGET 2060 SERIES CLASS I | FAIRPORT, NY | 9/17/2015 | 00745089-03 | Registered |
| MANNING & NAPIER FUND INC TARGET 2060 SERIES CLASS K | FAIRPORT, NY | 9/17/2015 | 00745090-03 | Registered |
| MANNING & NAPIER FUND INC TARGET 2060 SERIES CLASS R | FAIRPORT, NY | 9/17/2015 | 00745091-03 | Registered |
| ADVISORS DISCIPLINED TR 1214 Q GARP PORTFOLIO SERIES 2015 3Q | MONUMENT, CO | 9/16/2015 | 00746684-05 | Pending-Cleared |
| ADVISORS DISCIPLINED TR 1228 AMERICAN RENAISSANCE PORTFOLIO SERIES 2015 2 CJL PORTFOLIO | MONUMENT, CO | 9/16/2015 | 00746687-05 | Pending-Cleared |
| ADVISORS DISCIPLINED TR 1482 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 100 | MONUMENT, CO | 9/16/2015 | 00746688-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1361 DIVERSIFIED DIVIDEND & INCOME PORTFOLIO SERIES 22 | LISLE, IL | 9/16/2015 | 00746682-05 | Pending-Cleared |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
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| Firm Name | Location | Date Received | File Number | Status |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1361 EUROPEAN SMALL CAP CAPITAL STRENGTH PORTFOLIO SERIES 4 | LISLE, IL | 9/16/2015 | 00746681-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1362 MLP & ENERGY FUNDS PORTFOLIO SERIES 11 | LISLE, IL | 9/16/2015 | 00746683-05 | Pending-Cleared |
| INCAPITAL UNIT TR SERIES 102 ARK GENOMIC HEALTHCARE & BIOTECH PORTFOLIO 3Q 2015 | CHICAGO, IL | 9/16/2015 | 00746685-05 | Pending-Cleared |
| INCAPITAL UNIT TR SERIES 95 PROSPECT CYCLICAL MOMENTUM PORTFOLIO SERIES 2 | CHICAGO, IL | 9/16/2015 | 00746686-05 | Pending-Cleared |
| 1ST TR COMBINED SERIES 491 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 19 | WHEATON, IL | 9/15/2015 | 00746677-05 | Pending-Cleared |
| FT 5650 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 51 | WHEATON, IL | 9/15/2015 | 00746678-05 | Pending-Cleared |
| FT 5651 DIVIDEND STRENGTH PORTFOLIO SERIES 29 | WHEATON, IL | 9/15/2015 | 00746676-05 | Pending-Cleared |
| FT 5659 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 10 | WHEATON, IL | 9/15/2015 | 00746673-05 | Pending-Cleared |
| FT 5660 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 24 | WHEATON, IL | 9/15/2015 | 00746672-05 | Pending-Cleared |
| FT 5662 MLP CLOSED END PORTFOLIO SERIES 7 | WHEATON, IL | 9/15/2015 | 00746679-05 | Pending-Cleared |
| FT 5663 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 101 | WHEATON, IL | 9/15/2015 | 00746675-05 | Pending-Cleared |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS R4 SHARES | BOSTON, MA | 9/15/2015 | 00745026-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS R1 SHARES | BOSTON, MA | 9/15/2015 | 00745020-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS R3 SHARES | BOSTON, MA | 9/15/2015 | 00745024-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS C SHARES | BOSTON, MA | 9/15/2015 | 00745017-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS R2 SHARES | BOSTON, MA | 9/15/2015 | 00745022-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS I SHARES | BOSTON, MA | 9/15/2015 | 00745018-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS A SHARES | BOSTON, MA | 9/15/2015 | 00745023-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS R5 SHARES | BOSTON, MA | 9/15/2015 | 00745028-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH GROWTH EQUITY FUND CLASS B SHARES | BOSTON, MA | 9/15/2015 | 00745031-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS R2 SHARES | BOSTON, MA | 9/15/2015 | 00745037-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS C SHARES | BOSTON, MA | 9/15/2015 | 00745033-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS B SHARES | BOSTON, MA | 9/15/2015 | 00745032-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS A SHARES | BOSTON, MA | 9/15/2015 | 00745030-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS R4 SHARES | BOSTON, MA | 9/15/2015 | 00745039-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS R3 SHARES | BOSTON, MA | 9/15/2015 | 00745059-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS R1 SHARES | BOSTON, MA | 9/15/2015 | 00745051-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS R5 SHARES | BOSTON, MA | 9/15/2015 | 00745053-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH SMALL CAP EQUITY FUND CLASS I SHARES | BOSTON, MA | 9/15/2015 | 00745035-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS C SHARES | BOSTON, MA | 9/15/2015 | 00745015-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS I SHARES | BOSTON, MA | 9/15/2015 | 00745016-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS B SHARES | BOSTON, MA | 9/15/2015 | 00745013-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS R1 SHARES | BOSTON, MA | 9/15/2015 | 00745025-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS R2 SHARES | BOSTON, MA | 9/15/2015 | 00745019-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS R3 SHARES | BOSTON, MA | 9/15/2015 | 00745029-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS R5 SHARES | BOSTON, MA | 9/15/2015 | 00745027-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS A SHARES | BOSTON, MA | 9/15/2015 | 00745014-03 | Registered |
| MFS SERIES TR 10 MFS BLENDED RESEARCH VALUE EQUITY FUND CLASS R4 SHARES | BOSTON, MA | 9/15/2015 | 00745021-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS A SHARES | BOSTON, MA | 9/15/2015 | 00745050-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS B SHARES | BOSTON, MA | 9/15/2015 | 00745052-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS R3 SHARES | BOSTON, MA | 9/15/2015 | 00745062-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS R2 SHARES | BOSTON, MA | 9/15/2015 | 00745060-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS R4 SHARES | BOSTON, MA | 9/15/2015 | 00745064-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS I SHARES | BOSTON, MA | 9/15/2015 | 00745056-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS R5 SHARES | BOSTON, MA | 9/15/2015 | 00745066-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS C SHARES | BOSTON, MA | 9/15/2015 | 00745054-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH EMERGING MARKETS EQUITY FUND CLASS R1 SHARES | BOSTON, MA | 9/15/2015 | 00745058-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS R5 SHARES | BOSTON, MA | 9/15/2015 | 00745048-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS R1 SHARES | BOSTON, MA | 9/15/2015 | 00745040-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS R4 SHARES | BOSTON, MA | 9/15/2015 | 00745046-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS B SHARES | BOSTON, MA | 9/15/2015 | 00745034-03 | Registered |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS R3 SHARES | BOSTON, MA | 9/15/2015 | 00745044-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS A SHARES | BOSTON, MA | 9/15/2015 | 00745065-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS I SHARES | BOSTON, MA | 9/15/2015 | 00745038-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS R2 SHARES | BOSTON, MA | 9/15/2015 | 00745042-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH GLOBAL EQUITY FUND CLASS C SHARES | BOSTON, MA | 9/15/2015 | 00745036-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS R5 SHARES | BOSTON, MA | 9/15/2015 | 00745049-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS R2 SHARES | BOSTON, MA | 9/15/2015 | 00745061-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS A SHARES | BOSTON, MA | 9/15/2015 | 00745041-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS R3 SHARES | BOSTON, MA | 9/15/2015 | 00745047-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS I SHARES | BOSTON, MA | 9/15/2015 | 00745055-03 | Registered |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS B SHARES | BOSTON, MA | 9/15/2015 | 00745063-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS C SHARES | BOSTON, MA | 9/15/2015 | 00745043-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS R1 SHARES | BOSTON, MA | 9/15/2015 | 00745045-03 | Registered |
| MFS SERIES TR 4 MFS BLENDED RESEARCH INTERNATIONAL EQUITY FUND CLASS R4 SHARES | BOSTON, MA | 9/15/2015 | 00745057-03 | Registered |
| SMART TR NASDAQ INTERNATIONAL DIVIDEND ACHIEVERS INDEX TR SERIES 6 | PARISIPPANY, NJ | 9/15/2015 | 00746674-05 | Pending-Cleared |
| BRIDGE BUILDER TR BRIDGE BUILDER MUNICIPAL BOND FUND | MILWAUKEE, WI | 9/14/2015 | 00744800-03 | Registered |
| COMPASS EMP FUNDS TR COMPASS EMP US 500 VOLATILITY WEIGHTED FUND CLASS A | HAUPPAUGE, NY | 9/14/2015 | 00744899-03 | Registered |
| INCAPITAL UNIT TR SERIES 93 NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO 3Q 2015 | CHICAGO, IL | 9/14/2015 | 00744893-05 | Registered |
| INCAPITAL UNIT TR SERIES 94 INCAPITAL NAJARIAN HEAT SEEKER PORTFOLIO 3Q 2015 | CHICAGO, IL | 9/14/2015 | 00744892-05 | Registered |
| MUTUAL FUND SERIES TR CATALYST TIME VALUE TRADING FUND CLASS C | HAUPPAUGE, NY | 9/14/2015 | 00744902-03 | Registered |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
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| Firm Name | Location | Date Received | File Number | Status |
| NORTHERN LIGHTS FUND TR 3 COUNTERPOINT TACTICAL INCOME FUND CLASS A SHARES | HAUPPAUGE, NY | 9/14/2015 | 00744901-03 | Registered |
| TIMOTHY PLAN INTERNATIONAL FUND CLASS I | HAUPPAUGE, NY | 9/14/2015 | 00744900-03 | Registered |
| Number of Investment Company Filings: 97 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|---|---------------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| DENVER MULTIFAMILY PORTFOLIO DST | OAK BROOK, IL | 9/18/2015 | 00745997-07 | Filed |
| HOBBS BONDED FIBERS LLC | NEW YORK, NY | 9/18/2015 | 00746045-07 | Filed |
| KOUS HOLDINGS INC | MT KISCO, NY | 9/18/2015 | 00746042-07 | Filed |
| MEADOWLAND RESEARCH LLC | MILWAUKEE, WI | 9/18/2015 | 00746047-07 | Filed |
| MV STARTUP FUND 24 LLC | AUSTIN, TX | 9/18/2015 | 00746043-07 | Filed |
| OPERATEIT INC | LAKE RONKONKOMA, NY | 9/18/2015 | 00746046-07 | Filed |
| SL CPCM APARTMENTS DST | GREENVILLE, SC | 9/18/2015 | 00746050-07 | Filed |
| SUN BIOPHARMA RESEARCH INC | WACONIA, MN | 9/18/2015 | 00745996-07 | Filed |
| TOCAGEN INC | SAN DIEGO, CA | 9/18/2015 | 00746044-07 | Filed |
| LIFEPOINT HEALTH INC | BRENTWOOD, TN | 9/16/2015 | 00746680-07 | Filed |
| PORTFOLIO ADVISORS PRIVATE EQUITY FUND 2015 LP | DARIEN, CT | 9/16/2015 | 00746690-07 | Filed |

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| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|---|------------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| RUBICON MINERALS CORP | TORONTO, M5C 1Y2, ON | 9/16/2015 | 00746689-07 | Filed |
| CHAIN INC | SAN FRANCISCO, CA | 9/15/2015 | 00746667-07 | Filed |
| CRYSTAL CAPITAL FUND SERIES LLC | BAY HARBOR ISLANDS, FL | 9/15/2015 | 00746664-07 | Filed |
| GMB MEZZANINE CAPITAL 3 LP | MINNEAPOLIS, MN | 9/15/2015 | 00746665-07 | Filed |
| GRYPHON CO INVEST FUND 4 LP | SAN FRANCISCO, CA | 9/15/2015 | 00746666-07 | Filed |
| MAGNETECS CORP | INGLEWOOD, CA | 9/15/2015 | 00746669-07 | Filed |
| DELPHINUS MEDICAL TECHNOLOGIES INC | PLYMOUTH, MI | 9/14/2015 | 00744886-07 | Filed |
| Number of Regulation D Notice Filings: 18 | | | | |

| Franchise Law Filings Made with DFI's Securities Division | | | | |
|--|------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Maximized Living Health Centers, LLC / MAXIMIZEDLIVING (Existing Franchises 1) | Celebration, FL | 9/18/2015 | 611179 | Registered |
| Simply Slices Franchising Corporation / SIMPLY SLICES | Crestwood, IL | 9/17/2015 | 611176 | Registered |
| Next Day Access LLC | Bartlett, TN | 9/17/2015 | 611175 | Registered |
| Talem Home Care Franchising / Talem Home Care | Broomfield, CO | 9/15/2015 | 611164 | Registered |
| ATL International, Inc. / ATL MotorMate | Millersville, MD | 9/15/2015 | 611162 | Registered |
| ATL International, Inc. / All Tune Transmissions | Millersville, MD | 9/15/2015 | 611161 | Registered |
| ATL International, Inc. / All Tune and Lube | Millersville, MD | 9/15/2015 | 611160 | Registered |
| Dystopia Rising, LLC / Dystopia Rising | Hamden, CT | 9/15/2015 | 611170 | Registered |
| Dystopia Rising, LLC / Dystopia Rising | Hamden, CT | 9/15/2015 | 611171 | Registered |
| HTBH, LLC / DOGTOPIA | Phoenix, AZ | 9/15/2015 | 611173 | Registered |
| Zoyo Franchising, LLC / Zoyo | Chandler, AZ | 9/15/2015 | 611174 | Registered |

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| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| TMC FRANCHISE CORP / Circle K | TEMPE, AZ | 9/14/2015 | 611169 | Registered |
| Number of Franchise Filings: 12 | | | | |

| Corporate Take-Over Law Filings Made with DFI's Securities Division | |
|--|----------------------|
| Takeover Filing Reference | Date Received |
| Number of Takeover Filings: 0 | |