

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/30/2015 through 9/5/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HIGHPOINT CHRISTIAN TABERNACLE INC SMYRNA GA	SMYRNA, GA	9/4/2015	00744642-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST INVESTORS INCOME FUNDS 1ST INVESTORS BALANCED INCOME FUND CLASS A	NEW YORK, NY	9/4/2015	00744571-03	Pending-Cleared
1ST INVESTORS INCOME FUNDS 1ST INVESTORS BALANCED INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	9/4/2015	00744570-03	Pending-Cleared
1ST INVESTORS INCOME FUNDS 1ST INVESTORS BALANCED INCOME FUND ADVISOR CLASS	NEW YORK, NY	9/4/2015	00744569-03	Pending-Cleared
ADVISORS DISCIPLINED TR 1505 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2015 3Q	MONUMENT, CO	9/4/2015	00744578-05	Registered
ADVISORS DISCIPLINED TR 1506 PERONI GROWTH PORTFOLIO AUTUMN 2015 SERIES	MONUMENT, CO	9/4/2015	00744572-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALPS SERIES TR CLARKSTON PARTNERS FUND FOUNDERS CLASS	DENVER, CO	9/4/2015	00744555-03	Registered
ALPS SERIES TR CLARKSTON PARTNERS FUND INSTITUTIONAL CLASS	DENVER, CO	9/4/2015	00744556-03	Registered
BMO FUNDS INC BMO DISCIPLINED INTERNATIONAL EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/4/2015	00744587-03	Registered
BMO FUNDS INC BMO DISCIPLINED INTERNATIONAL EQUITY FUND RETIREMENT CLASS R3	MILWAUKEE, WI	9/4/2015	00744586-03	Registered
BMO FUNDS INC BMO DISCIPLINED INTERNATIONAL EQUITY FUND ADVISOR CLASS	MILWAUKEE, WI	9/4/2015	00744588-03	Registered
BMO FUNDS INC BMO DISCIPLINED INTERNATIONAL EQUITY FUND RETIREMENT CLASS R6	MILWAUKEE, WI	9/4/2015	00744585-03	Registered
BMO FUNDS INC BMO GLOBAL LONG SHORT EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/4/2015	00744584-03	Registered
BMO FUNDS INC BMO GLOBAL LONG SHORT EQUITY FUND ADVISOR CLASS	MILWAUKEE, WI	9/4/2015	00744583-03	Registered
BMO FUNDS INC BMO GLOBAL LONG SHORT EQUITY FUND RETIREMENT CLASS R3	MILWAUKEE, WI	9/4/2015	00744582-03	Registered
BMO FUNDS INC BMO GLOBAL LONG SHORT EQUITY FUND RETIREMENT CLASS R6	MILWAUKEE, WI	9/4/2015	00744581-03	Registered
EATON VANCE MUTUAL FUNDS TR EATON VANCE TAX MANAGED EQUITY ASSET ALLOCATION FUND CLASS I SHARES	BOSTON, MA	9/4/2015	00744589-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5635 MEGA CAP PORTFOLIO SERIES 37	WHEATON, IL	9/4/2015	00744567-05	Registered
FT 5699 DOW TARGET 10 SEPT 15 TERM 10 07 16	WHEATON, IL	9/4/2015	00744566-05	Registered
FT 5700 TARGET DIVERSIFIED GLOBAL ALLOCATION PORTFOLIO SERIES 17	WHEATON, IL	9/4/2015	00744565-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1357 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 45	LISLE, IL	9/4/2015	00744574-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1358 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 56	LISLE, IL	9/4/2015	00744575-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1359 SMID DIVIDEND STRENGTH PORTFOLIO SERIES 4	LISLE, IL	9/4/2015	00744576-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1363 UBS CHECK A MONTH LIST EQUITY ADVISORY GROUP 2015 2 SERIES 2	LISLE, IL	9/4/2015	00744577-05	Registered
INCAPITAL UNIT TR SERIES 92 EUROPEAN DIVIDEND BUILDERS PORTFOLIO 3Q 2015	CHICAGO, IL	9/4/2015	00744573-05	Registered
1ST TR COMBINED SERIES 489 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 20	WHEATON, IL	9/3/2015	00744541-05	Registered
FT 5633 SENIOR LOAN & DIVIDEND GROWERS PORTFOLIO SERIES 7	WHEATON, IL	9/3/2015	00744544-05	Registered
FT 5636 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 22	WHEATON, IL	9/3/2015	00744546-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5637 DIVIDEND INCOME PLUS PORTFOLIO SERIES 20	WHEATON, IL	9/3/2015	00744547-05	Registered
FT 5641 US REVENUE PORTFOLIO SERIES 4	WHEATON, IL	9/3/2015	00744548-05	Registered
FT 5642 INNOVATIVE TECHNOLOGY PORTFOLIO SERIES 9	WHEATON, IL	9/3/2015	00744549-05	Registered
FT 5644 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 50	WHEATON, IL	9/3/2015	00744542-05	Registered
FT 5676 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES AMERICAN INDUSTRIAL RENAISSANCE 2015 3	WHEATON, IL	9/3/2015	00744545-05	Registered
FT 5701 TARGET GLOBAL VALUE DIVIDEND PORTFOLIO SERIES 5	WHEATON, IL	9/3/2015	00744540-05	Registered
FT 5702 TARGET BUYBACK PORTFOLIO SERIES 2	WHEATON, IL	9/3/2015	00744543-05	Registered
1290 FUNDS 1290 CONVERTIBLE SECURITIES FUND CLASS I	NEW YORK, NY	9/2/2015	00744479-03	Registered
ADVISORS INNER CIRCLE FUND 2 RQSI SMALL CAP HEDGED EQUITY FUND INSTITUTIONAL SHARES	BOSTON, MA	9/2/2015	00744465-03	Registered
ADVISORS INNER CIRCLE FUND 2 RQSI SMALL CAP HEDGED EQUITY FUND RETAIL SHARES	BOSTON, MA	9/2/2015	00744466-03	Registered
FIDELITY SCHOOL STREET TR FIDELITY ADVISOR MULTI ASSET INCOME FUND CLASS A	BOSTON, MA	9/2/2015	00744461-03	Registered
FIDELITY SCHOOL STREET TR FIDELITY ADVISOR MULTI ASSET INCOME FUND CLASS T	BOSTON, MA	9/2/2015	00744464-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FIDELITY SCHOOL STREET TR FIDELITY ADVISOR MULTI ASSET INCOME FUND CLASS I	BOSTON, MA	9/2/2015	00744462-03	Registered
FIDELITY SCHOOL STREET TR FIDELITY ADVISOR MULTI ASSET INCOME FUND CLASS C	BOSTON, MA	9/2/2015	00744463-03	Registered
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN INTERNATIONAL SELECT FUND CLASS R6	NEW YORK, NY	9/2/2015	00744480-03	Registered
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS SELECT MLP & ENERGY FUND CLASS C	GREENFIELD, MA	9/2/2015	00744468-03	Pending-Cleared
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS SELECT MLP & ENERGY FUND CLASS A	GREENFIELD, MA	9/2/2015	00744467-03	Pending-Cleared
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS SELECT MLP & ENERGY FUND CLASS I	GREENFIELD, MA	9/2/2015	00744469-03	Pending-Cleared
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI GLOBAL ALLOCATION FUND CLASS R6 SHARES	NEW YORK, NY	9/1/2015	00744455-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI NFJ INTERNATIONAL SMALL CAP VALUE FUND CLASS R6	NEW YORK, NY	9/1/2015	00744456-03	Registered
EATON VANCE SERIES FUND INC EATON VANCE EMERGING MARKETS DEBT OPPORTUNITIES FUND CLASS A SHARES	BOSTON, MA	9/1/2015	00744457-03	Registered
EATON VANCE SERIES FUND INC EATON VANCE EMERGING MARKETS DEBT OPPORTUNITIES FUND CLASS I SHARES	BOSTON, MA	9/1/2015	00744458-03	Registered

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Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1585 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744239-05	Pending-Cleared
INVESCO UNIT TR SERIES 1585 EAFE SELECT 20 PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744240-05	Pending-Cleared
INVESCO UNIT TR SERIES 1585 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744236-05	Pending-Cleared
INVESCO UNIT TR SERIES 1585 SELECT S&P CORE PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744237-05	Pending-Cleared
INVESCO UNIT TR SERIES 1585 SELECT S&P INDUSTRIAL PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744238-05	Pending-Cleared
INVESCO UNIT TR SERIES 1586 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744258-05	Pending-Cleared
INVESCO UNIT TR SERIES 1586 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 2015 4	DOWNERS GROVE, IL	9/1/2015	00744260-05	Pending-Cleared
INVESCO UNIT TR SERIES 1586 CLOSED END STRATEGY MASTER INCOME PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744259-05	Pending-Cleared
INVESCO UNIT TR SERIES 1586 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744257-05	Pending-Cleared
INVESCO UNIT TR SERIES 1587 CLOSED END STRATEGY SELECT OPPORTUNITY PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744256-05	Pending-Cleared
INVESCO UNIT TR SERIES 1588 ALL CAP CORE STRATEGY 2015 4	DOWNERS GROVE, IL	9/1/2015	00744250-05	Pending-Cleared

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INVESCO UNIT TR SERIES 1588 DIVIDEND INCOME LEADERS STRATEGY PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744253-05	Pending-Cleared
INVESCO UNIT TR SERIES 1588 LARGE CAP CORE STRATEGY 2015 4	DOWNERS GROVE, IL	9/1/2015	00744251-05	Pending-Cleared
INVESCO UNIT TR SERIES 1588 MID CAP CORE STRATEGY 2015 4	DOWNERS GROVE, IL	9/1/2015	00744255-05	Pending-Cleared
INVESCO UNIT TR SERIES 1588 S&P POWERPICKS PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744254-05	Pending-Cleared
INVESCO UNIT TR SERIES 1588 SMALL CAP CORE STRATEGY 2015 4	DOWNERS GROVE, IL	9/1/2015	00744252-05	Pending-Cleared
INVESCO UNIT TR SERIES 1589 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 2015 4	DOWNERS GROVE, IL	9/1/2015	00744249-05	Pending-Cleared
INVESCO UNIT TR SERIES 1590 DIVIDEND INCOME & VALUE PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744248-05	Pending-Cleared
INVESCO UNIT TR SERIES 1591 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744247-05	Pending-Cleared
INVESCO UNIT TR SERIES 1591 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744246-05	Pending-Cleared
INVESCO UNIT TR SERIES 1591 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744245-05	Pending-Cleared
INVESCO UNIT TR SERIES 1592 AMERICAN INFRASTRUCTURE GROWTH PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744244-05	Pending-Cleared

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INVESCO UNIT TR SERIES 1593 TURNAROUND STRATEGY PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744243-05	Pending-Cleared
INVESCO UNIT TR SERIES 1594 BUYBACK LEADERS PORTFOLIO 2015 4	DOWNERS GROVE, IL	9/1/2015	00744242-05	Pending-Cleared
INVESCO UNIT TR SERIES 1596 NEXT GENERATION CYBERSECURITY PORTFOLIO 2015 3	DOWNERS GROVE, IL	9/1/2015	00744241-05	Registered
ADVISORS DISCIPLINED TR 1502 SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 2 YR SERIES 2015 3	MONUMENT, CO	8/31/2015	00744506-05	Registered
ADVISORS DISCIPLINED TR 1503 HEALTH CARE OPPORTUNITIES PORTFOLIO SERIES 2015 2	MONUMENT, CO	8/31/2015	00744504-05	Registered
ADVISORS DISCIPLINED TR 1504 MUNICIPAL CLOSED END PORTFOLIO SERIES 2015 2	MONUMENT, CO	8/31/2015	00744503-05	Registered
ADVISORS DISCIPLINED TR 1516 ALTERNATIVE INCOME PORTFOLIO SERIES 2015 2	MONUMENT, CO	8/31/2015	00744524-05	Pending-Cleared
ADVISORS PREFERRED TR QES DYNAMIC FUND CLASS A SHARES	HAUPPAUGE, NY	8/31/2015	00744521-05	Registered
BAIRD FUNDS INC BAIRD CORE INTERMEDIATE MUNICIPAL BOND FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/31/2015	00744515-03	Registered
BAIRD FUNDS INC BAIRD CORE INTERMEDIATE MUNICIPAL BOND FUND INVESTOR CLASS	MILWAUKEE, WI	8/31/2015	00744512-03	Registered
BAIRD FUNDS INC BAIRD SHORT TERM MUNICIPAL BOND FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/31/2015	00744514-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAIRD FUNDS INC BAIRD SHORT TERM MUNICIPAL BOND FUND INVESTOR CLASS	MILWAUKEE, WI	8/31/2015	00744513-03	Registered
CLOUGH FUNDS TR CLOUGH GLOBAL LONG SHORT FUND CLASS A	DENVER, CO	8/31/2015	00744476-03	Pending-Cleared
CLOUGH FUNDS TR CLOUGH GLOBAL LONG SHORT FUND CLASS C	DENVER, CO	8/31/2015	00744471-03	Pending-Cleared
CLOUGH FUNDS TR CLOUGH GLOBAL LONG SHORT FUND CLASS I	DENVER, CO	8/31/2015	00744470-03	Pending-Cleared
DREYFUS OPPORTUNITY FUNDS DREYFUS NATURAL RESOURCES FUND CLASS Y	NEW YORK, NY	8/31/2015	00744263-03	Pending-Cleared
DREYFUS PREMIER GNMA FUND INC DREYFUS GNMA FUND CLASS Y	NEW YORK, NY	8/31/2015	00744264-03	Pending-Cleared
FINANCIAL INVESTORS TR GRANDEUR PEAK GLOBAL STALWARTS FUND INSTITUTIONAL CLASS	DENVER, CO	8/31/2015	00744475-03	Registered
FINANCIAL INVESTORS TR GRANDEUR PEAK GLOBAL STALWARTS FUND INVESTOR CLASS	DENVER, CO	8/31/2015	00744473-03	Registered
FINANCIAL INVESTORS TR GRANDEUR PEAK INTERNATIONAL STALWARTS FUND INVESTOR CLASS	DENVER, CO	8/31/2015	00744472-03	Registered
FINANCIAL INVESTORS TR GRANDEUR PEAK INTERNATIONAL STALWARTS FUND INSTITUTIONAL CLASS	DENVER, CO	8/31/2015	00744474-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GENERAL GOVERNMENT SECURITIES MONEY MARKET FUNDS INC GENERAL GOVERNMENT SECURITIES MONEY MARKET FUND DREYFUS CLASS	NEW YORK, NY	8/31/2015	00744266-03	Registered
GENERAL MONEY MARKET FUND INC DREYFUS CLASS	NEW YORK, NY	8/31/2015	00744267-03	Registered
INCAPITAL UNIT TR SERIES 91 NUVEEN TRADEWINDS GLOBAL ENERGY REVOLUTION PORTFOLIO SERIES 2	CHICAGO, IL	8/31/2015	00744505-05	Registered
MILLER INVESTMENT TR MILLER INTERMEDIATE BOND FUND CLASS A SHARES	HAUPPAUGE, NY	8/31/2015	00744518-03	Registered
MILLER INVESTMENT TR MILLER INTERMEDIATE BOND FUND CLASS C SHARES	HAUPPAUGE, NY	8/31/2015	00744519-03	Registered
MILLER INVESTMENT TR MILLER INTERMEDIATE BOND FUND CLASS I SHARES	HAUPPAUGE, NY	8/31/2015	00744520-03	Registered
MUTUAL FUND SERIES TR ALPHACENTRIC ASSET ROTATION FUND CLASS A	HAUPPAUGE, NY	8/31/2015	00744522-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS AKRE FOCUS FUND SUPRA INSTITUTIONAL SHARES	MILWAUKEE, WI	8/31/2015	00744529-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS TRILLIUM SMALL MID CAP FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/31/2015	00744528-03	Registered
RIDGEWORTH FUNDS INTERNATIONAL EQUITY FUND IS SHARES	ATLANTA, GA	8/31/2015	00744478-03	Registered
SANFORD C BERNSTEIN FUND INC NY MUNICIPAL CLASS	NEW YORK, NY	8/31/2015	00744477-03	Registered

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Firm Name	Location	Date Received	File Number	Status
STRATEGIC FUNDS INC DREYFUS ACTIVE MIDCAP FUND CLASS Y	NEW YORK, NY	8/31/2015	00744265-03	Pending-Cleared
TR FOR ADVISED PORTFOLIOS ZEVENBERGEN GENEA FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/31/2015	00744507-03	Registered
TR FOR ADVISED PORTFOLIOS ZEVENBERGEN GENEA FUND INVESTOR CLASS	MILWAUKEE, WI	8/31/2015	00744508-03	Registered
TR FOR ADVISED PORTFOLIOS ZEVENBERGEN GROWTH FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/31/2015	00744509-03	Registered
TR FOR ADVISED PORTFOLIOS ZEVENBERGEN GROWTH FUND INVESTOR CLASS	MILWAUKEE, WI	8/31/2015	00744510-03	Registered
TSC UITS 13 TSC BP CAPITAL TWINLINETM ENERGY & INDUSTRIAL RENAISSANCE PORTFOLIO 3Q 2015	BOSTON, MA	8/31/2015	00744523-05	Registered
Number of Investment Company Filings: 109				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LANSDOWNE DEVELOPED MARKETS LONG ONLY FUND LTD	DUBLIN 1, AA	9/4/2015	00744568-07	Filed
AZALEA BAY RESORT HOLDINGS LLC	ATLANTA, GA	9/3/2015	00744537-07	Filed
CIPHERLOC CORP	HENDERSON, NV	9/3/2015	00744539-07	Filed
COMMERCE VENTURES 2 LP	SAN FRANCISCO, CA	9/3/2015	00744538-07	Filed
1ST PATIENT LLC	MILWAUKEE, WI	9/2/2015	00744492-07	Filed

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Firm Name	Location	Date Received	File Number	Status
BROOKWOOD PHILADELPHIA INVESTORS LLC	BEVERLY, MA	9/2/2015	00744495-07	Filed
FARMERS & DISTILLERS DC LLC	KENSINGTON, MD	9/2/2015	00744496-07	Filed
FUNDERSCLUB TYH LLC	SAN FRANCISCO, CA	9/2/2015	00744497-07	Filed
INVENRA INC	MADISON, WI	9/2/2015	00744493-07	Filed
KETTLE PARK WEST LLC	VERONA, WI	9/2/2015	00744490-07	Filed
MV STARTUP FUND 22 LLC	AUSTIN, TX	9/2/2015	00744494-07	Filed
POMPANO BEACH CNG PARTNERS 2 LLC	COSTA MESA, CA	9/2/2015	00744498-07	Filed
POSEIDON HOLDING LLC	SAN FRANCISCO, CA	9/2/2015	00744261-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	9/2/2015	00744491-07	Filed
XOR DATA EXCHANGE INC	AUSTIN, TX	9/2/2015	00744262-07	Filed
ASCENT VENTURE PARTNERS 6 LP	BOSTON, MA	9/1/2015	00744233-07	Filed
DEAF REAWAKENING LTD LIABILITY CO	NEW YORK, NY	9/1/2015	00744235-07	Filed
JUNIPER GOESSLER LTD	HOUSTON, TX	9/1/2015	00744234-07	Filed
ISLAND WINDJAMMERS INC	ACWORTH, GA	8/31/2015	00744517-07	Filed
JUNGLE VENTURES 2 LP	SINGAPORE, AA	8/31/2015	00744530-07	Filed
Number of Regulation D Notice Filings: 20				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
INTERFACE FINANCIAL CORP / The Interface Financial Group (50-50 Program)	Bethesda, MD	9/4/2015	611156	Registered

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KTU WORLDWIDE, INC. / Kitchen Tune-Up	ABERDEEN, SD	9/3/2015	611136	Registered
Marriott International, Inc. / Delta Hotel or Delta Hotels and Resorts	Bethesda, MD	9/3/2015	611134	Registered
Home Options Network LLC	Deerfield, IL	9/3/2015	611152	Registered
CARite, Inc. / CARite	Madison Heights, MI	9/2/2015	611131	Registered
GrowlerU Franco, LLC / "GrowlerU", "GrowlerUSA", "Growler University"	Centennial, CO	9/2/2015	611154	Registered
Burger 21 International, Inc. / Burger 21	Tampa, FL	8/31/2015	611123	Registered
Best Brains, Inc. / BEST BRAINS	Arlington Heights, IL	8/31/2015	611121	Registered
Dickey's Barbecue Restaurants, Inc. / Dickey's Barbecue Pit	Plano, TX	8/31/2015	611135	Registered
KOKO FITCLUB LLC / Koko FitClub	Rockland, MA	8/31/2015	611139	Registered
Number of Franchise Filings: 10				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	