

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SHILOH BAPTIST CHURCH OF PLANT CITY FL INC	PLANT CITY, FL	8/28/2015	00743789-02	Pending
MACKENZIE REALTY CAPITAL INC	MORAGA, CA	8/24/2015	00743467-01	Incomplete
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5623 UTILITIES SELECT PORTFOLIO SERIES 35	WHEATON, IL	8/28/2015	00743795-05	Registered
FT 5624 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 14	WHEATON, IL	8/28/2015	00743794-05	Registered
FT 5626 HEALTH CARE SELECT PORTFOLIO SERIES 38	WHEATON, IL	8/28/2015	00743792-05	Registered
FT 5627 FINANCIALS SELECT PORTFOLIO SERIES 52	WHEATON, IL	8/28/2015	00743791-05	Registered
FT 5628 EQUITY INCOME PORTFOLIO SERIES 48	WHEATON, IL	8/28/2015	00743790-05	Registered
FT 5632 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 30	WHEATON, IL	8/28/2015	00743796-05	Registered
FT 5634 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 19	WHEATON, IL	8/28/2015	00743793-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GOLDMAN SACHS TR GOLDMAN SACHS ABSOLUTE RETURN MULTI ASSET FUND CLASS C SHARES	CHICAGO, IL	8/28/2015	00744118-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS ABSOLUTE RETURN MULTI ASSET FUND CLASS R SHARES	CHICAGO, IL	8/28/2015	00744119-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS ABSOLUTE RETURN MULTI ASSET FUND CLASS IR SHARES	CHICAGO, IL	8/28/2015	00744117-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS ABSOLUTE RETURN MULTI ASSET FUND CLASS A SHARES	CHICAGO, IL	8/28/2015	00744116-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS ABSOLUTE RETURN MULTI ASSET FUND INSTITUTIONAL SHARES	CHICAGO, IL	8/28/2015	00744120-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS ABSOLUTE RETURN MULTI ASSET FUND CLASS R6 SHARES	CHICAGO, IL	8/28/2015	00744121-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FUND CLASS A SHARES	CHICAGO, IL	8/28/2015	00744122-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FUND CLASS R SHARES	CHICAGO, IL	8/28/2015	00744126-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FUND CLASS IR SHARES	CHICAGO, IL	8/28/2015	00744124-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FUND CLASS C SHARES	CHICAGO, IL	8/28/2015	00744123-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GOLDMAN SACHS TR GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	CHICAGO, IL	8/28/2015	00744127-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS GLOBAL REAL ESTATE SECURITIES FUND CLASS R6 SHARES	CHICAGO, IL	8/28/2015	00744125-03	Registered
SCHRODER SERIES TR SCHRODER EMERGING MARKETS SMALL CAP FUND INVESTOR SHARES	NEW YORK, NY	8/28/2015	00744128-03	Registered
SCHRODER SERIES TR SCHRODER SHORT DURATION BOND FUND INVESTOR SHARES	NEW YORK, NY	8/28/2015	00744129-03	Registered
SEI INSTITUTIONAL MANAGED TR DYNAMIC ASSET ALLOCATION FUND CLASS Y SHARES	OAKS, PA	8/28/2015	00744130-03	Registered
ADVISORS INNER CIRCLE FUND 3 AMUNDI SMITH BREEDEN TOTAL RETURN BOND FUND SERVICE CLASS SHARES	OAKS, PA	8/27/2015	00744057-03	Registered
ADVISORS INNER CIRCLE FUND 3 AMUNDI SMITH BREEDEN TOTAL RETURN BOND FUND INSTITUTIONAL CLASS SHARES	OAKS, PA	8/27/2015	00744056-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS C	IRVINE, CA	8/27/2015	00744060-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS R 4	IRVINE, CA	8/27/2015	00744064-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS R 3	IRVINE, CA	8/27/2015	00744088-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS R 6	IRVINE, CA	8/27/2015	00744094-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS B	IRVINE, CA	8/27/2015	00744071-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS B	IRVINE, CA	8/27/2015	00744075-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS F 1	IRVINE, CA	8/27/2015	00744078-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS R 2E	IRVINE, CA	8/27/2015	00744086-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS R 2	IRVINE, CA	8/27/2015	00744084-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS A	IRVINE, CA	8/27/2015	00744061-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS R 5	IRVINE, CA	8/27/2015	00744065-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS A	IRVINE, CA	8/27/2015	00744089-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS R 2E	IRVINE, CA	8/27/2015	00744079-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS R 3	IRVINE, CA	8/27/2015	00744087-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS F 2	IRVINE, CA	8/27/2015	00744080-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS F 2	IRVINE, CA	8/27/2015	00744085-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS R 6	IRVINE, CA	8/27/2015	00744083-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS R 2	IRVINE, CA	8/27/2015	00744093-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS R 2E	IRVINE, CA	8/27/2015	00744070-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS F 1	IRVINE, CA	8/27/2015	00744074-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS R 1	IRVINE, CA	8/27/2015	00744072-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS R 1	IRVINE, CA	8/27/2015	00744077-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS R 1	IRVINE, CA	8/27/2015	00744082-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS R 5	IRVINE, CA	8/27/2015	00744091-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS B	IRVINE, CA	8/27/2015	00744059-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS F 2	IRVINE, CA	8/27/2015	00744063-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS R 6	IRVINE, CA	8/27/2015	00744067-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS F 1	IRVINE, CA	8/27/2015	00744062-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS R 2	IRVINE, CA	8/27/2015	00744066-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS R 4	IRVINE, CA	8/27/2015	00744081-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS R 5	IRVINE, CA	8/27/2015	00744092-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS A	IRVINE, CA	8/27/2015	00744069-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO MODERATE CLASS C	IRVINE, CA	8/27/2015	00744073-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO CONSERVATIVE CLASS R 3	IRVINE, CA	8/27/2015	00744068-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS C	IRVINE, CA	8/27/2015	00744076-03	Registered
AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO SERIES AMERICAN FUNDS RETIREMENT INCOME PORTFOLIO ENHANCED CLASS R 4	IRVINE, CA	8/27/2015	00744090-03	Registered
NATIXIS FUNDS TR I LOOMIS SAYLES MULTI ASSET INCOME FUND CLASS N	BOSTON, MA	8/27/2015	00744058-03	Registered
FT 5610 SABRIENT RISING RATE PORTFOLIO SERIES 2	WHEATON, IL	8/26/2015	00744137-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5625 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 34	WHEATON, IL	8/26/2015	00744135-05	Registered
FT 5631 GLOBAL GORILLAS PORTFOLIO SERIES 5	WHEATON, IL	8/26/2015	00744136-05	Registered
INVESTMENT MANAGERS SERIES TR 361 LONG SHORT CREDIT FUND CLASS Y	MILWAUKEE, WI	8/26/2015	00743905-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 361 LONG SHORT CREDIT FUND CLASS I	MILWAUKEE, WI	8/26/2015	00743906-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 361 LONG SHORT CREDIT FUND INVESTOR CLASS	MILWAUKEE, WI	8/26/2015	00743907-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1328 GUGGENHEIM 1328 GUGGENHEIM INVESTMENT GRADE CORPORATE TR 3 7 YEAR SERIES 1	LISLE, IL	8/25/2015	00743634-05	Registered
JPMORGAN TR I JPMORGAN EMERGING ECONOMIES FUND CLASS R6 SHARES	NEW YORK, NY	8/24/2015	00743754-03	Registered
PRICE T ROWE LTD DURATION INFLATION FOCUSED BOND FUND INC	BALTIMORE, MD	8/24/2015	00743468-03	Registered
PRICE T ROWE LTD DURATION INFLATION FOCUSED BOND FUND INC PTRLDIFBF I CLASS	BALTIMORE, MD	8/24/2015	00743469-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT BALANCED 1 FUND 1 CLASS	BALTIMORE, MD	8/24/2015	00743615-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2045 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743619-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2025 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743623-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2005 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743627-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2050 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743618-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2030 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743622-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2010 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743626-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2055 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743617-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2035 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743621-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2015 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743625-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2060 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743616-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2040 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743620-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PRICE T ROWE RETIREMENT FUNDS INC PRICE T ROWE RETIREMENT I 2020 FUND I CLASS	BALTIMORE, MD	8/24/2015	00743624-03	Pending-Cleared
ROYCE FUND ROYCE GLOBAL VALUE FUND R CLASS	NEW YORK, NY	8/24/2015	00743755-03	Registered
Number of Investment Company Filings: 85				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIRFIT INC	EUGENE, OR	8/27/2015	00744231-07	Filed
ALLIANT HOLDINGS LP	GREENWICH, CT	8/27/2015	00743911-07	Filed
ANGELO GORDON PARTNERS SELECT ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	8/27/2015	00744228-07	Filed
FMR LLC	BOSTON, MA	8/27/2015	00744232-07	Filed
GLX INC	PALM BEACH, FL	8/27/2015	00743912-07	Filed
GROSVENOR MULTI STRATEGY LEVERAGED FEEDER FUND 1 LP	CHICAGO, IL	8/27/2015	00744227-07	Filed
NATIONAL COMMERCIAL REAL ESTATE TR	WASHINGTON, DC	8/27/2015	00744226-07	Filed
PARTNERS FOR PAYMENT RELIEF DE 2 LLC	NEWTOWN SQ, PA	8/27/2015	00744229-07	Filed
ALUMINUMSOURCE LLC	WINSTON SALEM, NC	8/26/2015	00744145-07	Filed
ELIAN FIDUCIARY SERVICES CAYMAN LTD	GRAND CAYMAN, KY1-9007, AA	8/26/2015	00744146-07	Filed
GRYPHON PARTNERS 4 LP	SAN FRANCISCO, CA	8/26/2015	00744144-07	Filed
Number of Regulation D Notice Filings: 11				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 8/23/2015 through 8/29/2015**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Martinizing International LLC / Martinizing	Berkley, MI	8/28/2015	611119	Registered
DANCE WORKS OF WI LLC	PEWAUKEE, WI	8/28/2015	611118	Registered
MD PIZZA LLC / Mama DeLuca's Pizza Now!	Milford, CT	8/28/2015	611137	Registered
SIT MEANS SIT FRANCHISE INC / SIT MEANS SIT	LAS VEGAS, NV	8/27/2015	611106	Registered
SmallBizPros, Inc. d/b/a Padgett Business Services / Padgett Business Services	Athens, GA	8/27/2015	611105	Registered
Great Wraps, Inc. / Great Wraps	Atlanta, GA	8/27/2015	611138	Registered
D&D Beauty Care Co / Shapes Brow Bar RMLA	CHICAGO, IL	8/24/2015	611103	Registered
Number of Franchise Filings: 7				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	