

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 7/19/2015 through 7/25/2015**

| <b>Securities Law Registration Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|--|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>   | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| HMS INCOME FUND INC  | HOUSTON, TN     | 7/24/2015            | 00741946-01        | Pending       |
| TRILOMA EIG GLOBAL ENERGY FUND   | WINTER PARK, FL | 7/22/2015            | 00741531-01        | Registered    |
| TRILOMA EIG GLOBAL ENERGY TERM FUND I  | WINTER PARK, FL | 7/22/2015            | 00741530-01        | Registered    |
| RICH UNCLES REIT INC   | COASTA MESA, CA | 7/20/2015            | 00740698-01        | Pending       |
| <b>Number of Registration Filings: 4</b>                                       |                 |                      |                    |               |

| <b>Securities Law Exemption Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| <b>Number of Exemption Filings: 0</b>                                       |                 |                      |                    |               |

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| FORUM FUNDS 2 ABR DYNAMIC BLEND EQUITY & VOLATILITY FUND INVESTOR SHARES                                      | PORTLAND, ME    | 7/24/2015            | 00741713-03        | Registered    |
| FORUM FUNDS 2 ABR DYNAMIC BLEND EQUITY & VOLATILITY FUND INSTITUTIONAL SHARES                                 | PORTLAND, ME    | 7/24/2015            | 00741712-03        | Registered    |
| 1ST TR COMBINED SERIES 485 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 17                  | WHEATON, IL     | 7/23/2015            | 00741879-05        | Registered    |
| FT 5550 SMID HIGH DIVIDEND PORTFOLIO SERIES 18  | WHEATON, IL     | 7/23/2015            | 00741883-05        | Registered    |
| FT 5551 CAPITAL STRENGTH PORTFOLIO SERIES 30  | WHEATON, IL     | 7/23/2015            | 00741878-05        | Registered    |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| FT 5565 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 11   | WHEATON, IL     | 7/23/2015            | 00741876-05        | Registered    |
| FT 5566 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 68  | WHEATON, IL     | 7/23/2015            | 00741877-05        | Registered    |
| FT 5582 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 19   | WHEATON, IL     | 7/23/2015            | 00741885-05        | Registered    |
| FT 5584 DIVIDEND OPPORTUNITY TR JULY 2015 SERIES  | WHEATON, IL     | 7/23/2015            | 00741884-05        | Registered    |
| FT 5589 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 21   | WHEATON, IL     | 7/23/2015            | 00741874-05        | Registered    |
| FT 5590 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 59   | WHEATON, IL     | 7/23/2015            | 00741881-05        | Registered    |
| FT 5591 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 57   | WHEATON, IL     | 7/23/2015            | 00741882-05        | Registered    |
| FT 5595 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 26   | WHEATON, IL     | 7/23/2015            | 00741875-05        | Registered    |
| FT 5596 EQUITY CLOSED END PORTFOLIO SERIES 37   | WHEATON, IL     | 7/23/2015            | 00741880-05        | Registered    |
| GAMCO GLOBAL SERIES FUNDS INC GABELLI GLOBAL RISING INCOME & DIVIDEND FUND CLASS C SHARES                     | RYE, NY         | 7/23/2015            | 00741684-03        | Registered    |
| VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS MULTI STRATEGY TARGET RETURN FUND CLASS I                              | GREENFIELD, MA  | 7/23/2015            | 00741683-03        | Registered    |
| VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS MULTI STRATEGY TARGET RETURN FUND CLASS C                              | GREENFIELD, MA  | 7/23/2015            | 00741682-03        | Registered    |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>   |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS MULTI STRATEGY TARGET RETURN FUND CLASS A                                | GREENFIELD, MA  | 7/23/2015            | 00741681-03        | Registered    |
| ADVISORS DISCIPLINED TR 1480 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 98 | MONUMENT, CO    | 7/22/2015            | 00741863-05        | Registered    |
| COMPASS EMP FUNDS TR COMPASS EMP COMMODITY STRATEGIES VOLATILITY WEIGHTED FUND CLASS A                          | HAUPPAUGE, NY   | 7/22/2015            | 00741871-03        | Registered    |
| COMPASS EMP FUNDS TR COMPASS EMP INTERNATIONAL 500 ENHANCED VOLATILITY WEIGHTED FUND CLASS A                    | HAUPPAUGE, NY   | 7/22/2015            | 00741872-03        | Registered    |
| MUTUAL FUND SERIES TR CATALYST DYNAMIC ALPHA FUND CLASS C   | HAUPPAUGE, NY   | 7/22/2015            | 00741869-03        | Registered    |
| MUTUAL FUND SERIES TR CATALYST DYNAMIC ALPHA FUND CLASS I   | HAUPPAUGE, NY   | 7/22/2015            | 00741866-03        | Registered    |
| MUTUAL FUND SERIES TR CATALYST HEDGED INSIDER BUYING FUND CLASS I   | HAUPPAUGE, NY   | 7/22/2015            | 00741867-03        | Registered    |
| MUTUAL FUND SERIES TR CATALYST MLP & INFRASTRUCTURE FUND CLASS A  | HAUPPAUGE, NY   | 7/22/2015            | 00741864-03        | Registered    |
| MUTUAL FUND SERIES TR CATALYST TIME VALUE TRADING FUND CLASS I  | HAUPPAUGE, NY   | 7/22/2015            | 00741870-03        | Registered    |
| NORTHERN LIGHTS FUND TR 3 COUNTERPOINT TACTICAL INCOME FUND CLASS I SHARES                                      | HAUPPAUGE, NY   | 7/22/2015            | 00741865-03        | Registered    |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                      |                      |                    |                 |
|---|----------------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b>      | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| NORTHERN LIGHTS FUND TR<br>3 SWAN DEFINED RISK FUND<br>CLASS C SHARES   | HAUPPAUGE, NY        | 7/22/2015            | 00741868-03        | Registered      |
| 1ST TR COMBINED SERIES<br>484 TX EX MUNICIPAL INCOME<br>TR 10 20 YEAR SERIES 19                               | WHEATON, IL          | 7/21/2015            | 00740726-05        | Registered      |
| FT 5549 BIOTECHNOLOGY<br>PORTFOLIO SERIES 29  | WHEATON, IL          | 7/21/2015            | 00740729-05        | Registered      |
| FT 5556 CYCLICAL STRENGTH<br>PORTFOLIO SERIES 10  | WHEATON, IL          | 7/21/2015            | 00740723-05        | Registered      |
| FT 5564 HIGH DIVIDEND<br>EQUITY ALLOCATION<br>PORTFOLIO SERIES 16   | WHEATON, IL          | 7/21/2015            | 00740727-05        | Registered      |
| FT 5587 PREFERRED INCOME<br>PORTFOLIO SERIES 74   | WHEATON, IL          | 7/21/2015            | 00740725-05        | Registered      |
| FT 5588 MLP CLOSED END<br>FUND & ENERGY PORTFOLIO<br>SERIES 46  | WHEATON, IL          | 7/21/2015            | 00740724-05        | Registered      |
| INVESCO UNIT TR MUNICIPAL<br>SERIES 1245 INVESTMENT<br>GRADE MUNICIPAL TR 10 20<br>YEAR SERIES 7              | DOWNERS<br>GROVE, IL | 7/21/2015            | 00740720-05        | Pending-Cleared |
| INVESCO UNIT TR MUNICIPAL<br>SERIES 1246 INVESTMENT<br>GRADE MUNICIPAL TR 10 20<br>YEAR SERIES 8              | DOWNERS<br>GROVE, IL | 7/21/2015            | 00740721-05        | Pending-Cleared |
| INVESCO UNIT TR MUNICIPAL<br>SERIES 1247 INVESTMENT<br>GRADE MUNICIPAL TR 7 13<br>YEAR SERIES 63              | DOWNERS<br>GROVE, IL | 7/21/2015            | 00740718-05        | Pending-Cleared |
| INVESCO UNIT TR MUNICIPAL<br>SERIES 1248 INVESTMENT<br>GRADE MUNICIPAL TR 7 13<br>YEAR SERIES 64              | DOWNERS<br>GROVE, IL | 7/21/2015            | 00740719-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1576 SELECT 10 INDUSTRIAL<br>PORTFOLIO 2015 5                                       | DOWNERS<br>GROVE, IL | 7/21/2015            | 00740715-05        | Pending-Cleared |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                   |                      |                    |                 |
|---|-------------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b>   | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| INVESCO UNIT TR SERIES 849<br>DIVIDEND GROWTH BUY<br>WRITE PORTFOLIO 2015 3                                   | DOWNERS GROVE, IL | 7/21/2015            | 00740722-05        | Pending-Cleared |
| INVESCO UNIT TR TAXABLE<br>INCOME SERIES 524<br>INVESTMENT GRADE INCOME<br>TR 10 20 YEAR SERIES 55            | DOWNERS GROVE, IL | 7/21/2015            | 00740716-05        | Pending-Cleared |
| INVESCO UNIT TR TAXABLE<br>INCOME SERIES 525<br>INVESTMENT GRADE INCOME<br>TR 10 20 YEAR SERIES 56            | DOWNERS GROVE, IL | 7/21/2015            | 00740717-05        | Pending-Cleared |
| MORGAN STANLEY GLOBAL<br>INVESTMENT SOLUTIONS<br>CONTRARIAN CANDIDATES<br>PORTFOLIO SERIES 2                  | PURCHASE, NY      | 7/21/2015            | 00740714-05        | Pending-Cleared |
| SMART TR ADELANTE REIT<br>GROWTH & INCOME TR<br>SERIES 7  | PARSIPPANY, NJ    | 7/21/2015            | 00740728-05        | Registered      |
| SMART TR ZACKS GARP<br>COMPOSITE 35 TR SERIES 6   | PARSIPPANY, NJ    | 7/21/2015            | 00740730-05        | Registered      |
| PIMCO FUNDS PIMCO CA<br>SHORT DURATION MUNICIPAL<br>INCOME FUND CLASS P<br>SHARES                             | NEWPORT BEACH, CA | 7/20/2015            | 00740669-03        | Registered      |
| PIMCO FUNDS PIMCO CA<br>SHORT DURATION MUNICIPAL<br>INCOME FUND CLASS A                                       | NEWPORT BEACH, CA | 7/20/2015            | 00740670-03        | Registered      |
| PIMCO FUNDS PIMCO<br>MODERATE DURATION FUND<br>ADMINISTRATIVE CLASS   | NEWPORT BEACH, CA | 7/20/2015            | 00740672-03        | Registered      |
| PIMCO FUNDS PIMCO RAE<br>FUNDAMENTAL PLUS EMG<br>FUND ADMINISTRATIVE<br>CLASS                                 | NEWPORT BEACH, CA | 7/20/2015            | 00740671-03        | Registered      |
| PRICE T ROWE GROWTH<br>STOCK FUND INC PTRGSF I<br>CLASS   | BALTIMORE, MD     | 7/20/2015            | 00740701-03        | Pending-Cleared |

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| PRICE T ROWE HIGH YIELD FUND INC PTRHYF I CLASS   | BALTIMORE, MD   | 7/20/2015            | 00740694-03        | Pending-Cleared |
| PRICE T ROWE INDEX TR INC PRICE T ROWE EQUITY INDEX 500 FUND PTREI500F I CLASS                                | BALTIMORE, MD   | 7/20/2015            | 00740699-03        | Pending-Cleared |
| PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE INTERNATIONAL STOCK FUND PTRISF I CLASS                     | BALTIMORE, MD   | 7/20/2015            | 00740704-03        | Pending-Cleared |
| PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE INTERNATIONAL GROWTH & INCOME FUND PTRIG&IF I CLASS         | BALTIMORE, MD   | 7/20/2015            | 00740710-03        | Pending-Cleared |
| PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE INTERNATIONAL BOND FUND PTRIBF I CLASS                      | BALTIMORE, MD   | 7/20/2015            | 00740697-03        | Pending-Cleared |
| PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE EMERGING MARKETS BOND FUND PTREMBF ADVISOR CLASS            | BALTIMORE, MD   | 7/20/2015            | 00740705-03        | Pending-Cleared |
| PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE EMERGING MARKETS VALUE STOCK FUND PTRMVSF ADVISOR CLASS     | BALTIMORE, MD   | 7/20/2015            | 00740709-03        | Pending-Cleared |
| PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE EMERGING MARKETS BOND FUND PTREMBF I CLASS                  | BALTIMORE, MD   | 7/20/2015            | 00740706-03        | Pending-Cleared |
| PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE EMERGING MARKETS VALUE STOCK FUND                           | BALTIMORE, MD   | 7/20/2015            | 00740708-03        | Pending-Cleared |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| PRICE T ROWE<br>INTERNATIONAL FUNDS INC<br>PRICE T ROWE EMERGING<br>MARKETS STOCK FUND<br>PTREMSF I CLASS     | BALTIMORE, MD   | 7/20/2015            | 00740696-03        | Pending-Cleared |
| PRICE T ROWE<br>INTERNATIONAL FUNDS INC<br>PRICE T ROWE GLOBAL HIGH<br>INCOME BOND FUND<br>PTRGHIBF I CLASS   | BALTIMORE, MD   | 7/20/2015            | 00740707-03        | Pending-Cleared |
| PRICE T ROWE<br>INTERNATIONAL FUNDS INC<br>PRICE T ROWE GLOBAL<br>UNCONSTRAINED BOND<br>FUND PTRGUBF I CLASS  | BALTIMORE, MD   | 7/20/2015            | 00740711-03        | Pending-Cleared |
| PRICE T ROWE<br>INTERNATIONAL FUNDS INC<br>PRICE T ROWE OVERSEAS<br>STOCK FUND PTROSF I<br>CLASS              | BALTIMORE, MD   | 7/20/2015            | 00740690-03        | Pending-Cleared |
| PRICE T ROWE<br>INTERNATIONAL FUNDS INC<br>PRICE T ROWE OVERSEAS<br>STOCK FUND PTROSF<br>ADVISOR CLASS        | BALTIMORE, MD   | 7/20/2015            | 00740689-03        | Pending-Cleared |
| PRICE T ROWE MID CAP<br>GROWTH FUND INC PTRMCGF<br>I CLASS  | BALTIMORE, MD   | 7/20/2015            | 00740703-03        | Pending-Cleared |
| PRICE T ROWE MID CAP<br>VALUE FUND INC PTRMCFV I<br>CLASS   | BALTIMORE, MD   | 7/20/2015            | 00740700-03        | Pending-Cleared |
| PRICE T ROWE NEW<br>HORIZONS FUND INC PTRNHF<br>I CLASS   | BALTIMORE, MD   | 7/20/2015            | 00740692-03        | Pending-Cleared |
| PRICE T ROWE NEW INCOME<br>FUND INC PTRNIF I CLASS  | BALTIMORE, MD   | 7/20/2015            | 00740702-03        | Pending-Cleared |
| PRICE T ROWE REAL ASSETS<br>FUND INC PTRRAF I CLASS   | BALTIMORE, MD   | 7/20/2015            | 00740695-03        | Pending-Cleared |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| PRICE T ROWE SMALL CAP STOCK FUND INC PTRSCSF I CLASS   | BALTIMORE, MD   | 7/20/2015            | 00740693-03        | Pending-Cleared |
| PRICE T ROWE SMALL CAP VALUE FUND INC PTRSCVF I CLASS   | BALTIMORE, MD   | 7/20/2015            | 00740691-03        | Pending-Cleared |
| PRICE T ROWE VALUE FUND INC PTRVF I CLASS   | BALTIMORE, MD   | 7/20/2015            | 00740686-03        | Pending-Cleared |
| <b>Number of Investment Company Filings: 72</b>   |                 |                      |                    |                 |

| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| COACHMAN ENERGY VIB LLC   | CENTENNIAL, CO  | 7/24/2015            | 00741944-07        | Filed         |
| DELMARVA BANCSHARES INC   | CAMBRIDGE, MD   | 7/24/2015            | 00741943-07        | Filed         |
| ACACIA CONSERVATION FUND LP   | NEW YORK, NY    | 7/23/2015            | 00740680-07        | Filed         |
| C3 DEVICE PARTNERS LP   | DALLAS, TX      | 7/23/2015            | 00740682-07        | Filed         |
| FULLFUNXN INC   | LA JOLLA, CA    | 7/23/2015            | 00740674-07        | Filed         |
| IRON ROAD DIVERSIFIED FUND LP   | CHICAGO, IL     | 7/23/2015            | 00740678-07        | Filed         |
| MADISON EXPRESS LLC   | MADISON, WI     | 7/23/2015            | 00741873-07        | Filed         |
| PATCH OF LAND LLC 201500001   | LOS ANGELES, CA | 7/23/2015            | 00740673-07        | Filed         |
| ROTELLA ACROSPIRE GLOBAL EQUITY FUND LTD  | BELLEVUE, WA    | 7/23/2015            | 00740676-07        | Filed         |
| SEALY STRATEGIC EQUITY PARTNERS LP  | SHREVEPORT, LA  | 7/23/2015            | 00740681-07        | Filed         |

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| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                   |                      |                    |               |
|---|-------------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b>   | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| STONE MILLINER MACRO FUND DE LP   | GRAND CAYMAN, AA  | 7/23/2015            | 00740679-07        | Filed         |
| SUVRETTA PARTNERS LP  | NEW YORK, NY      | 7/23/2015            | 00740677-07        | Filed         |
| CAPITOL DISTRICT HOTEL LLC  | OMAHA, NE         | 7/22/2015            | 00741860-07        | Filed         |
| SENTRI INC  | WALNUT, CA        | 7/22/2015            | 00741859-07        | Filed         |
| DRAPER FRONTIER OPPORTUNITY & TECHNOLOGY FUND LP  | BEVERLY HILLS, CA | 7/21/2015            | 00740712-07        | Filed         |
| FARADAY INC   | MIDDLEBURY, VT    | 7/21/2015            | 00740713-07        | Filed         |
| NORTH HAVEN CAPITAL PARTNERS 6 NON US WEALTH MANAGEMENT FEEDER LP                               | NEW YORK, NY      | 7/20/2015            | 00740685-07        | Filed         |
| <b>Number of Regulation D Notice Filings: 17</b>  |                   |                      |                    |               |

| <b>Franchise Law Filings Made with DFI's Securities Division</b>          |                  |                      |                    |               |
|---|------------------|----------------------|--------------------|---------------|
| <b>Legal Name / Trade Name</b>  | <b>Location</b>  | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| JACKSON HEWITT INC. / Jackson Hewitt Tax Service                          | PARSIPPANY, NJ   | 7/24/2015            | 610975             | Registered    |
| ATC HEALTHCARE SERVICES INC / ATC Healthcare Services                     | LAKE SUCCESS, NY | 7/24/2015            | 610984             | Registered    |
| CareBuilders At Home, Inc. / CareBuilders At Home                         | Lake Success, NY | 7/24/2015            | 610982             | Registered    |
| CareBuilders At Home, Inc. / ARA Program                                  | Lake Success, NY | 7/24/2015            | 610983             | Registered    |
| NATHANS FAMOUS SYSTEMS INC / Nathan's Famous Systems, Inc.                | Jericho, NY      | 7/24/2015            | 610986             | Registered    |
| Orion Food Systems, LLC / Hot Stuff Pizza                                 | Sioux Falls, SD  | 7/24/2015            | 610985             | Registered    |
| iTRIP, LLC / iTRIP  | Brentwood, TN    | 7/23/2015            | 610981             | Registered    |
| Get In Shape Franchise, Inc. / Get In Shape For Women Area Representative | Needham, MA      | 7/22/2015            | 610973             | Registered    |
| Accurity Associates, LLC / Accurity Valuation                             | Scottsdale, AZ   | 7/21/2015            | 610972             | Registered    |

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|--|-------------------|----------------------|--------------------|---------------|
| <b>Legal Name / Trade Name</b>                                   | <b>Location</b>   | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| 1800Packouts Franchise, LLC / 1-800-PACKOUTS                     | Ball Ground, GA   | 7/21/2015            | 610958             | Registered    |
| Ultimate Franchises, Inc. / 18/8 or 18/8 Fine Men's Salon        | Laguna Niguel, CA | 7/20/2015            | 610956             | Registered    |
| ANY TEST FRANCHISING INC / ANY LAB TEST NOW                      | ALPHARETTA, GA    | 7/20/2015            | 610955             | Registered    |
| Groutsmith Franchising, Inc. / Groutsmith                        | Sarasota, FL      | 7/20/2015            | 610978             | Registered    |
| Happy Tax Franchising, LLC / Happy Tax                           | Miami Beach, FL   | 7/20/2015            | 610979             | Registered    |
| <b>Number of Franchise Filings: 14</b>                           |                   |                      |                    |               |

| <b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b> |                      |
|--|----------------------|
| <b>Takeover Filing Reference</b>   | <b>Date Received</b> |
| <b>Number of Takeover Filings: 0</b>                                       |                      |