

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/12/2015 through 7/18/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PROSPER FUNDING LLC	SAN FRANCISCO, CA	7/13/2015	00740502-01	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MOUNT OLIVET BAPTIST CHURCH OF PORTLAND	PORTLAND, OR	7/17/2015	00740770-08	Not Disallowed
RIVERS CROSSING COMMUNITY CHURCH INC	MASON, OH	7/13/2015	00740507-10	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1333 HEALTH CARE PORTFOLIO SERIES 19	LISLE, IL	7/17/2015	00740765-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1333 UTILITIES PORTFOLIO SERIES 22	LISLE, IL	7/17/2015	00740764-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1334 GLOBAL BALANCED INCOME BUILDER PORTFOLIO SERIES 6	LISLE, IL	7/17/2015	00740769-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1335 EUROPEAN CAPITAL STRENGTH & HEDGED CURRENCY PORTFOLIO SERIES 2	LISLE, IL	7/17/2015	00740768-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1336 MULTI ASSET GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 2	LISLE, IL	7/17/2015	00740767-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1337 US 50 DIVIDEND STRATEGY PORTFOLIO SERIES 5	LISLE, IL	7/17/2015	00740766-05	Registered
INVESTMENT MANAGERS SERIES TR 2 FALCON FOCUS SCV FUND CLASS 1 SHARES	MILWAUKEE, WI	7/17/2015	00740758-03	Pending-Cleared
1ST TR COMBINED SERIES 483 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 19	WHEATON, IL	7/16/2015	00740739-05	Registered
ADVISORS DISCIPLINED TR 1476 BRIC ADVANTAGE PORTFOLIO SERIES 2015 2	MONUMENT, CO	7/16/2015	00740753-05	Registered
ADVISORS DISCIPLINED TR 1477 STEELPATH MLP & INCOME PORTFOLIO SERIES 2015 3 OFI STEELPATH PORTFOLIO	MONUMENT, CO	7/16/2015	00740754-05	Registered
FT 5547 1ST TR ETF ALLOCATION PORTFOLIO SERIES 13	WHEATON, IL	7/16/2015	00740744-05	Registered
FT 5548 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 19	WHEATON, IL	7/16/2015	00740743-05	Registered
FT 5563 BALANCED INCOME PORTFOLIO SERIES 49	WHEATON, IL	7/16/2015	00740738-05	Registered
FT 5573 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 56	WHEATON, IL	7/16/2015	00740745-05	Registered
FT 5581 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 25	WHEATON, IL	7/16/2015	00740736-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5583 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 15	WHEATON, IL	7/16/2015	00740735-05	Registered
FT 5613 BALANCED INCOME SELECT PORTFOLIO SERIES 55	WHEATON, IL	7/16/2015	00740737-05	Registered
SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 11	PARSIPPANY, NJ	7/16/2015	00740740-05	Registered
SMART TR TAX FREE INCOME TR SERIES 20	PARSIPPANY, NJ	7/16/2015	00740741-05	Registered
SMART TR ZACKS DIVERSIFIED EQUITY & CORPORATE BOND TR SERIES 9	PARSIPPANY, NJ	7/16/2015	00740742-05	Registered
ALPS SERIES TR VALSPRESSO GREEN ZONE SELECTB TACTICAL FUND CLASS I	DENVER, CO	7/15/2015	00740579-03	Registered
ALPS SERIES TR VALSPRESSO GREEN ZONE SELECTB TACTICAL FUND CLASS A	DENVER, CO	7/15/2015	00740578-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 LIFESTYLE 2 BALANCED PORTFOLIO CLASS R6	BOSTON, MA	7/15/2015	00740582-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 LIFESTYLE 2 MODERATE PORTFOLIO CLASS R6	BOSTON, MA	7/15/2015	00740583-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 LIFESTYLE 2 AGGRESSIVE PORTFOLIO CLASS R6	BOSTON, MA	7/15/2015	00740580-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 LIFESTYLE 2 CONSERVATIVE PORTFOLIO CLASS R6	BOSTON, MA	7/15/2015	00740584-03	Registered

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HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 LIFESTYLE 2 GROWTH PORTFOLIO CLASS R6	BOSTON, MA	7/15/2015	00740581-03	Registered
ALPS SERIES TR DDJ OPPORTUNISTIC HIGH YIELD FUND INSTITUTIONAL CLASS	DENVER, CO	7/14/2015	00740463-03	Registered
ALPS SERIES TR DDJ OPPORTUNISTIC HIGH YIELD FUND CLASS 2	DENVER, CO	7/14/2015	00740462-03	Registered
ALPS SERIES TR DDJ OPPORTUNISTIC HIGH YIELD FUND CLASS I	DENVER, CO	7/14/2015	00740461-03	Registered
FT 5530 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES JAPAN OPPORTUNITIES PORTFOLIO 2015 2	WHEATON, IL	7/14/2015	00740547-05	Registered
FT 5542 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 23	WHEATON, IL	7/14/2015	00740548-05	Registered
FT 5543 60 40 STRATEGIC ALLOCATION PORT 3Q 15 TERM 10 17 16	WHEATON, IL	7/14/2015	00740546-05	Registered
FT 5543 75 25 STRATEGIC ALLOCATION PORT 3Q 15 TERM 10 17 16	WHEATON, IL	7/14/2015	00740544-05	Registered
FT 5553 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 23	WHEATON, IL	7/14/2015	00740562-05	Registered
FT 5574 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 54	WHEATON, IL	7/14/2015	00740561-05	Registered
FT 5612 INTEREST RATE HEDGE PORTFOLIO SERIES 98	WHEATON, IL	7/14/2015	00740545-05	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1459 BEST IDEAS STRATEGY PORTFOLIO SERIES 2015 3Q A HIMCO PORTFOLIO	MONUMENT, CO	7/13/2015	00740537-05	Registered
ADVISORS DISCIPLINED TR 1460 NASDAQ Q 50 INDEXSM PORTFOLIO SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740536-05	Registered
ADVISORS DISCIPLINED TR 1461 BRAND FAVORITES FOCUS PORTFOLIO SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740528-05	Registered
ADVISORS DISCIPLINED TR 1462 ANGELS PORTFOLIO SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740529-05	Registered
ADVISORS DISCIPLINED TR 1463 STRATEGIC HIGH 50 Q 50 DIVIDEND & GROWTH PORTFOLIO SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740530-05	Registered
ADVISORS DISCIPLINED TR 1464 DIVIDEND RULER PORTFOLIO SERIES 2015C	MONUMENT, CO	7/13/2015	00740531-05	Registered
ADVISORS DISCIPLINED TR 1465 BALANCED PORTFOLIO 15 MONTH SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740532-05	Registered
ADVISORS DISCIPLINED TR 1466 SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740535-05	Registered
ADVISORS DISCIPLINED TR 1467 TACTICAL INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740533-05	Registered
ADVISORS DISCIPLINED TR 1468 GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 15 MONTH SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740534-05	Registered

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ADVISORS DISCIPLINED TR 1469 GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 2015 3Q HIMCO PORTFOLIO	MONUMENT, CO	7/13/2015	00740527-05	Registered
ADVISORS DISCIPLINED TR 1470 BULLDOG PORTFOLIO SERIES 2015 3Q A CLJ PORTFOLIO	MONUMENT, CO	7/13/2015	00740526-05	Registered
ADVISORS DISCIPLINED TR 1471 ENHANCED EQUITY INCOME PORTFOLIO SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740523-05	Registered
ADVISORS DISCIPLINED TR 1472 BLUE CHIP COVERED CALL PORTFOLIO SERIES 2015 3Q	MONUMENT, CO	7/13/2015	00740524-05	Registered
ADVISORS DISCIPLINED TR 1473 INFLATION INCOME STRATEGY PORTFOLIO SERIES 2015 3	MONUMENT, CO	7/13/2015	00740525-05	Registered
ADVISORS DISCIPLINED TR 1474 HIGH YIELD & INCOME CLOSED END PORTFOLIO SERIES 2015 2	MONUMENT, CO	7/13/2015	00740522-05	Registered
ADVISORS DISCIPLINED TR 1475 GLOBAL TECHNOLOGY PORTFOLIO SERIES 2015 2	MONUMENT, CO	7/13/2015	00740521-05	Registered
FUNDVANTAGE TR WHV EAM EMERGING MARKETS SMALL CAP EQUITY FUND CLASS C SHARES	WILMINGTON, DE	7/13/2015	00740460-03	Registered
FUNDVANTAGE TR WHV EAM INTERNATIONAL SMALL CAP EQUITY FUND CLASS C SHARES	WILMINGTON, DE	7/13/2015	00740459-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1332 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 17	LISLE, IL	7/13/2015	00740518-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1332 GUGGENHEIM US SMID HIGH DIVIDEND PORTFOLIO SERIES 17	LISLE, IL	7/13/2015	00740519-05	Registered
INCAPITAL UNIT TR SERIES 87 NUVEEN LARGE CAP DIVIDEND PORTFOLIO 3Q 2015	CHICAGO, IL	7/13/2015	00740520-05	Registered
INVESTMENT MANAGERS SERIES TR PALMER SQUARE SHORT DURATION INVESTMENT GRADE FUND	MILWAUKEE, WI	7/13/2015	00740517-03	Pending-Cleared
Number of Investment Company Filings: 60				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BIDTELLECT INC	DELRAY BEACH, FL	7/17/2015	00740756-07	Filed
REALTERM AIRPORT LOGISTICS PROPERTIES LP	ANNAPOLIS, MD	7/17/2015	00740757-07	Filed
WOOD 300 LLC	LYNNWOOD, WA	7/17/2015	00740755-07	Filed
AUDUBON PLANTATION INVESTORS LLC	BOSTON, MA	7/16/2015	00740587-07	Filed
BENESTREAM INC	NEW YORK, NY	7/16/2015	00740590-07	Filed
BLOOM TREE FUND QP LP	NEW YORK, NY	7/16/2015	00740589-07	Filed
BUCK MOUNTAIN PROSPECT	HENDERSONVILLE, TN	7/16/2015	00740591-07	Filed
CREATION INVESTMENTS SOCIAL VENTURES FUND 3 LP	CHICAGO, IL	7/16/2015	00740752-07	Filed
FREEMPORT 1ST LIEN LOAN FUND 3 LP	CHICAGO, IL	7/16/2015	00740748-07	Filed
FUNDERSCLUB ADE LLC	SAN FRANCISCO, CA	7/16/2015	00740586-07	Filed

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Firm Name	Location	Date Received	File Number	Status
GP CHINA VENTURE CAPITAL LP	CHICAGO, IL	7/16/2015	00740751-07	Filed
HSI HOLDINGS 1 INC	WESTLAKE, OH	7/16/2015	00740749-07	Filed
OLD WESTBURY GLOBAL REAL ASSETS FUND LLC	NEW YORK, NY	7/16/2015	00740747-07	Filed
PRESCIENT CAPITAL ALTERNATIVE FIXED INCOME FUND LLC	CHICAGO, IL	7/16/2015	00740750-07	Filed
REALITEER CORP	BELMONT, CA	7/16/2015	00740588-07	Filed
SE TOWNEPLACE INDIANAPOLIS LLC	CHICAGO, IL	7/16/2015	00740592-07	Filed
V3 REALTY PARTNERS LP	NEW YORK, NY	7/16/2015	00740746-07	Filed
AIRMAP INC	SANTA MONICA, CA	7/15/2015	00740572-07	Filed
ENHANCED SURFACE DYNAMICS INC	WELLESLEY, MA	7/15/2015	00740573-07	Filed
RAPID APPLICATIONS GROUP LLC	WHEAT RIDGE, CO	7/15/2015	00740574-07	Filed
2015 HATHAWAY DRILLING PROGRAM LP	BOWLING GREEN, KY	7/14/2015	00740540-07	Filed
AXONIC CREDIT OPPORTUNITIES OVERSEAS FUND LTD	KY1-9007, AA	7/14/2015	00740538-07	Filed
COURTAGEN LIFE SCIENCES INC	WOBURN, MA	7/14/2015	00740543-07	Filed
IBOXXZ LLC	NORTHBROOK, IL	7/14/2015	00740539-07	Filed
OZ OVERSEAS FUND 2 LTD	KY1-1103, AA	7/14/2015	00740541-07	Filed
OZ OVERSEAS INSTITUTIONAL FUND LTD	CAMANA BAY, GRAND CAYMAN, AA	7/14/2015	00740542-07	Filed
JCR COMMERCIAL REAL ESTATE FINANCE FUND 3B LP	DENVER, CO	7/13/2015	00740501-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MCCOOK HOTEL GROUP LLC	CAMBRIDGE, NE	7/13/2015	00740506-07	Filed
MILLWOOD HOUSING PARTNERS LLC	BLUE BELL, PA	7/13/2015	00740508-07	Filed
Number of Regulation D Notice Filings: 29				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Hounds Mounds, Inc. / Poop 911	Dallas, TX	7/17/2015	610948	Registered
Dinner Detective Productions LLC	Fort Collins, CO	7/17/2015	610976	Registered
Interstate Battery Franchising & Development, Inc. / Interstate All Battery Center	Urbandale, IA	7/16/2015	610945	Registered
MEGADANCE USA CORP. / FRED ASTAIRE FRANCHISED DANCE STUDIO	LONGMEADOW, MA	7/15/2015	609944	Registered
SiempreTax, LLC / SiempreTax LLC	Virginia Beach, VA	7/15/2015	609943	Registered
JTH Tax, Inc. / Liberty Tax Service - Unit	Virginia Beach, VA	7/15/2015	609942	Registered
JTH Tax, Inc. / Liberty Tax Service - Area Developer	Virginia Beach, VA	7/15/2015	609941	Registered
Famous Recipe Group, LLC / Lee's Famous Recipe Chicken	Shalimar, FL	7/15/2015	610952	Registered
Native New Yorker Franchising, LLC / Native Grill and Wings	Chandler, AZ	7/13/2015	609939	Registered
ATNcare Franchising LLC / Go Telecare	New York, NY	7/13/2015	609938	Registered
COLOR ME MINE FRANCHISING INC / Color Me Mine	Glendale, CA	7/13/2015	610953	Registered
Number of Franchise Filings: 11				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	