

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/5/2015 through 7/11/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5555 BANKING OPPORTUNITY PORTFOLIO SERIES 18	WHEATON, IL	7/10/2015	00740490-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1331 GUGGENHEIM BALANCED INCOME BUILDER PORTFOLIO SERIES 12	LISLE, IL	7/10/2015	00740478-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1347 ENERGY PORTFOLIO SERIES 19	LISLE, IL	7/10/2015	00740479-05	Registered
MONEY MARKET OBLIGATIONS TR FEDERATED GOVERNMENT OBLIGATIONS TAX MANAGED FUND AUTOMATED SHARES	WARRENDALE, PA	7/10/2015	00740369-03	Pending-Cleared
MONEY MARKET OBLIGATIONS TR FEDERATED GOVERNMENT RESERVES FUND CLASS B SHARES	WARRENDALE, PA	7/10/2015	00740366-03	Pending-Cleared
MONEY MARKET OBLIGATIONS TR FEDERATED GOVERNMENT RESERVES FUND CLASS A SHARES	WARRENDALE, PA	7/10/2015	00740365-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
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MONEY MARKET OBLIGATIONS TR FEDERATED GOVERNMENT RESERVES FUND CLASS C SHARES	WARRENDALE, PA	7/10/2015	00740367-03	Pending-Cleared
MONEY MARKET OBLIGATIONS TR FEDERATED GOVERNMENT RESERVES FUND CLASS F SHARES	WARRENDALE, PA	7/10/2015	00740368-03	Pending-Cleared
TCW ALTERNATIVE FUNDS TCW GARGOYLE HEDGED VALUE FUND I SHARES	LOS ANGELES, CA	7/10/2015	00740310-03	Registered
TCW ALTERNATIVE FUNDS TCW GARGOYLE HEDGED VALUE FUND N SHARES	LOS ANGELES, CA	7/10/2015	00740311-03	Registered
FT 5578 INCOME ALLOCATION CLOSED END 3Q 15	WHEATON, IL	7/9/2015	00740472-05	Registered
FT 5579 DOW TARGET 10 JULY 15 TERM 8 9 16	WHEATON, IL	7/9/2015	00740471-05	Registered
CALVERT MANAGEMENT SERIES CALVERT TAX FREE RESPONSIBLE IMPACT BOND FUND CLASS C	BETHESDA, MD	7/8/2015	00740465-03	Registered
CALVERT MANAGEMENT SERIES CALVERT TAX FREE RESPONSIBLE IMPACT BOND FUND CLASS I	BETHESDA, MD	7/8/2015	00740466-03	Registered
CALVERT MANAGEMENT SERIES CALVERT TAX FREE RESPONSIBLE IMPACT BOND FUND CLASS Y	BETHESDA, MD	7/8/2015	00740467-03	Registered
FT 5538 DIVIDEND GROWTH PORTFOLIO SERIES 10	WHEATON, IL	7/8/2015	00740267-05	Registered
FT 5541 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES MERGER & ACQUISITION PORTFOLIO 2015 2	WHEATON, IL	7/8/2015	00740269-05	Registered
FT 5544 GLOBAL DIVIDEND PORTFOLIO SERIES 43	WHEATON, IL	7/8/2015	00740265-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5545 CYBERSECURITY PORTFOLIO SERIES 2	WHEATON, IL	7/8/2015	00740271-05	Registered
FT 5546 SELECT DSIP PORTFOLIO 3RD QUARTER 2015 SERIES	WHEATON, IL	7/8/2015	00740266-05	Registered
FT 5575 GLOBAL COMMODITIES PORTFOLIO SERIES 28	WHEATON, IL	7/8/2015	00740268-05	Registered
FT 5576 EUROPEAN TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 6	WHEATON, IL	7/8/2015	00740264-05	Registered
FT 5576 TARGET DIVIDEND BLEND PORTFOLIO SERIES 6	WHEATON, IL	7/8/2015	00740262-05	Registered
FT 5576 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 11	WHEATON, IL	7/8/2015	00740263-05	Registered
FT 5577 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 3Q 15 TERM 10 14 2016	WHEATON, IL	7/8/2015	00740270-05	Registered
FT 5580 DOW TARGET 5 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740272-05	Registered
FT 5580 DOW TARGET DVD 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740285-05	Registered
FT 5580 GLOBAL TARGET 15 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740283-05	Registered
FT 5580 S&P TARGET 24 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740284-05	Registered
FT 5580 S&P TARGET SMID 60 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740276-05	Registered
FT 5580 TARGET DBL PLAY 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740279-05	Registered
FT 5580 TARGET DIVSD DVD 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740277-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5580 TARGET DVD MULTI STRAT 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740278-05	Registered
FT 5580 TARGET FOCUS 4 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740280-05	Registered
FT 5580 TARGET GLOBAL DVD LEADERS 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740275-05	Registered
FT 5580 TARGET GROWTH 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740282-05	Registered
FT 5580 TARGET TRIAD 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740281-05	Registered
FT 5580 TARGET VIP 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740273-05	Registered
FT 5580 VALUE LINE TARGET 25 3Q 15 TERM 10 7 16	WHEATON, IL	7/8/2015	00740274-05	Registered
INCAPITAL UNIT TR SERIES 86 NUVEEN 2015 MID YEAR EQUITY OUTLOOK PORTFOLIO	CHICAGO, IL	7/8/2015	00740469-05	Registered
ELKHORN UNIT TR SERIES 2 ELKHORN DEEP VALUE ENERGY PORTFOLIO	WHEATON, IL	7/7/2015	00740239-05	Registered
ELKHORN UNIT TR SERIES 2 ELKHORN HIGH YIELD DIVIDEND ARISTOCRATS SELECT PORTFOLIO	WHEATON, IL	7/7/2015	00740240-05	Registered
ELKHORN UNIT TR SERIES 3 ELKHORN MULTI ASSET INCOME PORTFOLIO OF ETFS	WHEATON, IL	7/7/2015	00740241-05	Registered
ELKHORN UNIT TR SERIES 4 ELKHORN LUNT GLOBAL STRONG DOLLAR PORTFOLIO OF ETFS	WHEATON, IL	7/7/2015	00740238-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5540 GLOBAL BOND FUND INCOME CLOSED END PORTFOLIO SERIES 18	WHEATON, IL	7/7/2015	00740236-05	Registered
FT 5568 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 9	WHEATON, IL	7/7/2015	00740237-05	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 3 PRUDENTIAL UNCONSTRAINED BOND FUND CLASS A	NEWARK, NJ	7/6/2015	00740136-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 3 PRUDENTIAL UNCONSTRAINED BOND FUND CLASS Z	NEWARK, NJ	7/6/2015	00740138-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 3 PRUDENTIAL UNCONSTRAINED BOND FUND CLASS C	NEWARK, NJ	7/6/2015	00740137-03	Registered
TCW FUNDS INC TCW DEVELOPING MARKETS EQUITY FUND CLASS I	LOS ANGELES, CA	7/6/2015	00740139-03	Registered
TCW FUNDS INC TCW DEVELOPING MARKETS EQUITY FUND CLASS N	LOS ANGELES, CA	7/6/2015	00740140-03	Registered
Number of Investment Company Filings: 51				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HGI KF08 SELECT LLC	NORFOLK, VA	7/10/2015	00740475-07	Filed
MILITELLO REALTY PARTNERS 2 LLC	LANSDOWNE, VA	7/10/2015	00740477-07	Filed
MSU ASPEN VENTURE LLC	NORTHRIDGE, CA	7/10/2015	00740476-07	Filed
PALLADIAN PARTNERS 8 A LP	MEMPHIS, TN	7/10/2015	00740473-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WHITEBOX MULTI STRATEGY FUND LTD	ROAD TOWN TORTOLA, AA	7/10/2015	00740474-07	Filed
PENTWATER EVENT FUND LLC	EVANSTON, IL	7/9/2015	00740470-07	Filed
ALGOMEDICA INC	PALO ALTO, CA	7/8/2015	00740302-07	Filed
ALTAIR HEALTHCARE REAL ESTATE FUND LLC	LOS ANGELES, CA	7/8/2015	00740261-07	Filed
ARC HOUSTON HEALTHCARE DST	CLIFTON, NJ	7/8/2015	00740305-07	Filed
ASH CREEK ORCHARDS LLC	BOWIE, AZ	7/8/2015	00740308-07	Filed
ATLAS EAGLE FORD 2015 LP	PITTSBURGH, PA	7/8/2015	00740306-07	Filed
BTAS 2015 PRIVATE INVESTORS US LP	NEW YORK, NY	7/8/2015	00740301-07	Filed
CONNECT FINANCIAL SOFTWARE SOLUTIONS LLC	MURRAY, UT	7/8/2015	00740464-07	Filed
MV CFS FUND 1 LLC	AUSTIN, TX	7/8/2015	00740307-07	Filed
PATRIOT ENERGY INC	DALLAS, TX	7/8/2015	00740304-07	Filed
PEARL UNLTD HOLDINGS INC	ATLANTA, GA	7/8/2015	00740303-07	Filed
VITAL LABS INC	BURLINGAME, CA	7/8/2015	00740309-07	Filed
13 GIANT OAK MAGIC SHAW LLC	LOUISVILLE, KY	7/7/2015	00740300-07	Filed
PICKUP LLC	PLANO, TX	7/7/2015	00740286-07	Filed
Number of Regulation D Notice Filings: 19				

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Midas International Corporation / Midas Shop	Palm Beach Gardens, FL	7/10/2015	609933	Registered
Midas International Corporation; Speedee Worldwide Corporation / Midas/Speedee Shop	Palm Beach Gardens, FL	7/10/2015	609932	Registered
Big O Tires, LLC / Big O Tires (PDF)	Palm Beach Gardens, FL	7/10/2015	609931	Registered
Big O Tires, LLC / Business Format Franchise	Palm Beach Gardens, FL	7/10/2015	609930	Registered
Uptown Cheapskate, LLC	Salt Lake City, UT	7/9/2015	609926	Registered
TMC FRANCHISE CORP / Circle K	TEMPE, AZ	7/8/2015	609922	Registered
Kahala Franchising, L.L.C. / TacoTime (Area Representative Franchise)	Scottsdale, AZ	7/7/2015	609918	Registered
Kahala Franchising, L.L.C. / Samurai Sam's Teriyaki Grill (Area Representative Franchise)	Scottsdale, AZ	7/7/2015	609917	Registered
Kahala Franchising, L.L.C. / Nrgize Lifestyle Cafe (Area Representative Franchise)	Scottsdale, AZ	7/7/2015	609916	Registered
Kahala Franchising, L.L.C. / Great Steak (Area Representative Franchise)	Scottsdale, AZ	7/7/2015	609915	Registered
Kahala Franchising, L.L.C. / Cold Stone Creamery (Area Representative Franchise)	Scottsdale, AZ	7/7/2015	609914	Registered
Kahala Franchising, L.L.C. / Blimpie (Area Representative Franchise)	Scottsdale, AZ	7/7/2015	609913	Registered
Gigi's Franchising, LLC / Gigi's Cupcakes	Brentwood, TN	7/7/2015	609912	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	7/7/2015	609911	Registered
Dog Wizard Academy, LLC / The Dog Wizard	Charlotte, NC	7/7/2015	609921	Registered
Lighthouse Franchise Systems, LLC / Lighthouse Landscape Lighting	Centerville, OH	7/7/2015	609924	Registered
ROMA FRANCHISE CORP / Tony Roma's	PLANO, TX	7/7/2015	609925	Registered
Number of Franchise Filings: 17				

Corporate Take-Over Law Filings Made with DFI's Securities Division

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Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	