

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 6/15/2015 through 6/26/2015**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALTEGRIS KKR COMMITMENTS FUND	LAJOLLA, CA	6/17/2015	00739438-02	Registered
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1ST TR COMBINED SERIES 481 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO INTERMEDIATE SERIES 10	WHEATON, IL	6/23/2015	00739410-05	Pending-Cleared
FT 5496 EQUITY INCOME SELECT PORTFOLIO SERIES 45	WHEATON, IL	6/23/2015	00739415-05	Pending-Cleared
FT 5510 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 23	WHEATON, IL	6/23/2015	00739409-05	Pending-Cleared
FT 5511 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES EUROPE OPPORTUNITIES PORTFOLIO 2015 2	WHEATON, IL	6/23/2015	00739411-05	Pending-Cleared
FT 5512 PROFOUND ISRAELI EQUITY PORTFOLIO SERIES 2	WHEATON, IL	6/23/2015	00739417-05	Pending-Cleared
FT 5513 TARGET BUYBACK PORTFOLIO SERIES	WHEATON, IL	6/23/2015	00739413-05	Pending-Cleared
FT 5523 TECHNOLOGY SELECT PORTFOLIO SERIES 57	WHEATON, IL	6/23/2015	00739412-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 5534 DEEP VALUE DIVIDEND PORTFOLIO SERIES 7	WHEATON, IL	6/23/2015	00739418-05	Pending-Cleared
FT 5535 DIVIDEND STRENGTH PORTFOLIO SERIES 28	WHEATON, IL	6/23/2015	00739416-05	Pending-Cleared
FT 5561 ALTERNATIVE INCOME PORTFOLIO SERIES 13	WHEATON, IL	6/23/2015	00739414-05	Pending-Cleared
ARTISAN PARTNERS FUNDS INC ARTISAN DEVELOPING WORLD FUND INVESTOR SHARES	MILWAUKEE, WI	6/22/2015	00739378-03	Registered
ARTISAN PARTNERS FUNDS INC ARTISAN DEVELOPING WORLD FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	6/22/2015	00739379-03	Registered
ARTISAN PARTNERS FUNDS INC ARTISAN DEVELOPING WORLD FUND ADVISOR SHARES	MILWAUKEE, WI	6/22/2015	00739377-03	Registered
BABSON CAPITAL FUNDS TR BABSON ACTIVE SHORT DURATION BOND FUND CLASS A	CHARLOTTE, NC	6/22/2015	00739370-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON ACTIVE SHORT DURATION BOND FUND CLASS I	CHARLOTTE, NC	6/22/2015	00739372-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON ACTIVE SHORT DURATION BOND FUND CLASS C	CHARLOTTE, NC	6/22/2015	00739371-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON ACTIVE SHORT DURATION BOND FUND CLASS Y	CHARLOTTE, NC	6/22/2015	00739373-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BABSON CAPITAL FUNDS TR BABSON EMERGING MARKETS DEBT BLENDED TOTAL RETURN FUND CLASS A	CHARLOTTE, NC	6/22/2015	00739381-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON EMERGING MARKETS DEBT BLENDED TOTAL RETURN FUND CLASS C	CHARLOTTE, NC	6/22/2015	00739382-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON EMERGING MARKETS DEBT BLENDED TOTAL RETURN FUND CLASS I	CHARLOTTE, NC	6/22/2015	00739383-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON EMERGING MARKETS DEBT BLENDED TOTAL RETURN FUND CLASS Y	CHARLOTTE, NC	6/22/2015	00739384-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS A	CHARLOTTE, NC	6/22/2015	00739385-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS Y	CHARLOTTE, NC	6/22/2015	00739388-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS C	CHARLOTTE, NC	6/22/2015	00739386-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS I	CHARLOTTE, NC	6/22/2015	00739387-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON GLOBAL HIGH YIELD FUND CLASS A	CHARLOTTE, NC	6/22/2015	00739389-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON GLOBAL HIGH YIELD FUND CLASS C	CHARLOTTE, NC	6/22/2015	00739390-03	Pending-Cleared

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BABSON CAPITAL FUNDS TR BABSON GLOBAL HIGH YIELD FUND CLASS Y	CHARLOTTE, NC	6/22/2015	00739392-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON GLOBAL HIGH YIELD FUND CLASS I	CHARLOTTE, NC	6/22/2015	00739391-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON TOTAL RETURN BOND FUND CLASS I	CHARLOTTE, NC	6/22/2015	00739376-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON TOTAL RETURN BOND FUND CLASS A	CHARLOTTE, NC	6/22/2015	00739374-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON TOTAL RETURN BOND FUND CLASS C	CHARLOTTE, NC	6/22/2015	00739375-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON TOTAL RETURN BOND FUND CLASS Y	CHARLOTTE, NC	6/22/2015	00739380-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON US HIGH YIELD FUND CLASS A	CHARLOTTE, NC	6/22/2015	00739393-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON US HIGH YIELD FUND CLASS C	CHARLOTTE, NC	6/22/2015	00739394-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON US HIGH YIELD FUND CLASS I	CHARLOTTE, NC	6/22/2015	00739395-03	Pending-Cleared
BABSON CAPITAL FUNDS TR BABSON US HIGH YIELD FUND CLASS Y	CHARLOTTE, NC	6/22/2015	00739396-03	Pending-Cleared
1290 FUNDS 1290 CONVERTIBLE SECURITIES FUND CLASS A	NEW YORK, NY	6/19/2015	00738795-03	Pending-Cleared
1290 FUNDS 1290 GLOBAL EQUITY MANAGERS FUND CLASS A	NEW YORK, NY	6/19/2015	00738797-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1290 FUNDS 1290 MULTI ALTERNATIVE STRATEGIES FUND CLASS A	NEW YORK, NY	6/19/2015	00738796-03	Pending-Cleared
1290 FUNDS 1290 UNCONSTRAINED BOND MANAGERS FUND CLASS A	NEW YORK, NY	6/19/2015	00738791-03	Pending-Cleared
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2005 FUND CLASS W	BOSTON, MA	6/19/2015	00739359-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2010 FUND CLASS W	BOSTON, MA	6/19/2015	00739361-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2015 FUND CLASS W	BOSTON, MA	6/19/2015	00739369-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2020 FUND CLASS W	BOSTON, MA	6/19/2015	00739362-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2025 FUND CLASS W	BOSTON, MA	6/19/2015	00739364-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2030 FUND CLASS W	BOSTON, MA	6/19/2015	00739368-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2035 FUND CLASS W	BOSTON, MA	6/19/2015	00739366-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2040 FUND CLASS W	BOSTON, MA	6/19/2015	00739363-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2045 FUND CLASS W	BOSTON, MA	6/19/2015	00739365-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2050 FUND CLASS W	BOSTON, MA	6/19/2015	00739367-03	Registered

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FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2055 FUND CLASS W	BOSTON, MA	6/19/2015	00739357-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2060 FUND CLASS W	BOSTON, MA	6/19/2015	00739358-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX INCOME FUND CLASS W	BOSTON, MA	6/19/2015	00739360-03	Registered
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN WORLD EQUITY FUND CLASS A	NEW YORK, NY	6/19/2015	00738792-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN WORLD EQUITY FUND CLASS C	NEW YORK, NY	6/19/2015	00738793-03	Pending-Cleared
NEUBERGER BERMAN EQUITY FUNDS NEUBERGER BERMAN WORLD EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	6/19/2015	00738794-03	Pending-Cleared
FT 5463 SABRIENT FORWARD LOOKING VALUE PORTFOLIO SERIES 3	WHEATON, IL	6/18/2015	00739440-05	Pending-Cleared
FT 5522 RICHARD BERNSTEIN ADVISORS QUALITY INCOME BUY WRITE PORTFOLIO SERIES	WHEATON, IL	6/18/2015	00739442-05	Pending-Cleared
FT 5554 1ST TR MORNINGSTAR WIDE MOAT PORTFOLIO SERIES 3	WHEATON, IL	6/18/2015	00739439-05	Pending-Cleared
MAINSTAY FUNDS TR MAINSTAY ABSOLUTE RETURN MULTI STRATEGY FUND CLASS C	NEW YORK, NY	6/18/2015	00738789-03	Registered
MAINSTAY FUNDS TR MAINSTAY ABSOLUTE RETURN MULTI STRATEGY FUND CLASS I	NEW YORK, NY	6/18/2015	00738788-03	Registered

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MAINSTAY FUNDS TR MAINSTAY ABSOLUTE RETURN MULTI STRATEGY FUND INVESTOR CLASS	NEW YORK, NY	6/18/2015	00738790-03	Registered
MAINSTAY FUNDS TR MAINSTAY ABSOLUTE RETURN MULTI STRATEGY FUND CLASS A	NEW YORK, NY	6/18/2015	00738787-03	Registered
SMART TR DYNAMIC SECTOR INCOME TR SERIES 12	PARSIPPANY, NJ	6/18/2015	00739443-05	Pending-Cleared
SMART TR MORNINGSTAR DIVIDEND YIELD FOCUS TR SERIES 10	PARSIPPANY, NJ	6/18/2015	00739441-05	Pending-Cleared
TARGET PORTFOLIO TR PRUDENTIAL SMALL CAP VALUE FUND CLASS C	NEWARK, NJ	6/18/2015	00738786-03	Registered
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2050 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739423-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2020 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739427-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2030 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739431-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2055 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739424-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2060 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739428-03	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2035 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739432-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2010 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739425-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT INCOME FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739429-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2040 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739433-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2015 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739426-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2025 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739430-03	Pending-Cleared
VANGUARD CHESTER FUNDS VANGUARD INSTITUTIONAL TARGET RETIREMENT 2045 FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	6/17/2015	00739434-03	Pending-Cleared
<b>Number of Investment Company Filings: 79</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AMC FUND 3 LLC	NORTHRIDGE, CA	6/17/2015	00739420-07	Filed
JPMORGAN GLOBAL ASSET PORTFOLIO LLC	NEW YORK, NY	6/17/2015	00739419-07	Filed
<b>Number of Regulation D Notice Filings: 2</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 6/15/2015 through 6/26/2015**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	6/25/2015	609862	Registered
AMARNANI FRANCHISE INC / ALOHA	North Brunswick, NJ	6/25/2015	609861	Registered
SUPERVALU INC. / Cub Foods	Eden Prairie, MN	6/25/2015	609860	Registered
Creative World Schools Franchising Company, Inc. / Creative World School	Bonita Springs, FL	6/24/2015	609857	Registered
Drive N Style, LLC / Drive N Style	Charlotte, NC	6/24/2015	609872	Registered
SYLVAN LEARNING INC / Sylvan Learning Center	BALTIMORE, MD	6/24/2015	609873	Registered
Gold's Gym Franchising LLC / Gold's Gym	Dallas, TX	6/23/2015	609855	Registered
Gold's Gym Franchising, LLC / Gold's Gym	Dallas, TX	6/23/2015	609854	Registered
LED Franchising, LLC / LED Source	Wellington, FL	6/23/2015	609853	Registered
Back to Black Driveway Sealcoating Franchising, LLC	Roberts, WI	6/23/2015	609852	Registered
FARRELL'S EXTREME BODYSHAPING, INC. / Farrell's eXtreme Bodyshaping®	WEST DES MOINES, IA	6/23/2015	609851	Registered
Falbo Bros Franchising, LLC / Falbo Bros Pizzeria	Madison, WI	6/23/2015	609850	Registered
TUTORING CLUB LLC / Tutoring Club	HENDERSON, NV	6/22/2015	609849	Registered
Kahala Franchising, L.L.C. / TacoTime	Scottsdale, AZ	6/22/2015	609848	Registered
Kahala Franchising, L.L.C. / Great Steak	Scottsdale, AZ	6/22/2015	609847	Registered
Kahala Franchising, L.L.C. / Surf City Squeeze	Scottsdale, AZ	6/22/2015	609846	Registered
Kahala Franchising, L.L.C. / Samurai Sam's Teriyaki Grill	Scottsdale, AZ	6/22/2015	609845	Registered
Kahala Franchising, L.L.C. / Cold Stone Creamery	Scottsdale, AZ	6/22/2015	609844	Registered
Kahala Franchising, L.L.C. / Nrgize Lifestyle Cafe	Scottsdale, AZ	6/22/2015	609842	Registered
Kahala Franchising, L.L.C. / Blimpie	Scottsdale, AZ	6/22/2015	609841	Registered
DetailXPerts Franchise Systems, LLC / DetailXPerts	Detroit, MI	6/22/2015	609840	Registered
TAF FRANCHISING / THE ATHLETES FOOT	Atlanta, GA	6/22/2015	609871	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
AEEF LLC / Lodie's Shaved Ice Shack	Eau Claire, WI	6/19/2015	609869	Registered
BU HOLDINGS LLC / BOUNCEU	GILBERT, AZ	6/18/2015	609868	Registered
PIU HOLDINGS LLC / Pump It Up	Tempe, AZ	6/18/2015	609867	Registered
ReBath, LLC / Re-Bath	Tempe, AZ	6/18/2015	609866	Registered
STEAMATIC INC	FORT WORTH, TX	6/17/2015	609831	Registered
WOW CAFE AND WINGERY FRANCHISING ACCOUNT LLC / WOW Cafe	COVINGTON, LA	6/17/2015	609829	Registered
Endurance House International, LLC / Endurance House	Middleton, WI	6/16/2015	609826	Registered
Vapor Shark Franchising LLC / Vapor Shark	Miami, FL	6/16/2015	609825	Registered
Cole's Backyard Grill Franchising LLC / Cole's Backyard Grill	Plano, TX	6/16/2015	609824	Registered
LaVida Massage Franchise Development, Inc. / LaVida Massage	Brighton, MI	6/16/2015	609836	Registered
<b>Number of Franchise Filings: 32</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	