

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/8/2015 through 6/19/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VANGUARD MALVERN FUNDS VANGUARD INSTITUTIONAL INTERMEDIATE TERM BOND FUND INSTITUTIONAL PLUS SHARES	VALLEY FORGE, PA	6/12/2015	00738746-03	Registered
VANGUARD MALVERN FUNDS VANGUARD INSTITUTIONAL SHORT TERM BOND FUND INSTITUTIONAL PLUS SHARES	VALLEY FORGE, PA	6/12/2015	00738747-03	Registered
ADVISORS DISCIPLINED TR 1448 PERONI GROWTH PORTFOLIO SUMMER 2015 SERIES	MONUMENT, CO	6/11/2015	00738728-05	Registered
ADVISORS DISCIPLINED TR 1449 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2015 2Q	MONUMENT, CO	6/11/2015	00738727-05	Registered
ADVISORS DISCIPLINED TR 1451 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2015 2Q	MONUMENT, CO	6/11/2015	00738726-05	Registered
ADVISORS DISCIPLINED TR 1452 EMERGING MARKETS DIVIDEND PORTFOLIO SERIES 2015 1	MONUMENT, CO	6/11/2015	00738725-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1453 BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES 2015 2	MONUMENT, CO	6/11/2015	00738724-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1323 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 21	LISLE, IL	6/11/2015	00738730-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1323 GLOBAL 100 DIVIDEND STRATEGY PORTFOLIO SERIES 3	LISLE, IL	6/11/2015	00738729-05	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN EMERGING MARKETS EQUITY FUND CLASS R2	BOSTON, MA	6/11/2015	00738669-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN EMERGING MARKETS EQUITY FUND CLASS A	BOSTON, MA	6/11/2015	00738668-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN EMERGING MARKETS EQUITY FUND CLASS R4	BOSTON, MA	6/11/2015	00738671-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN EMERGING MARKETS EQUITY FUND CLASS C	BOSTON, MA	6/11/2015	00738670-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN EMERGING MARKETS EQUITY FUND CLASS R6	BOSTON, MA	6/11/2015	00738673-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN EMERGING MARKETS EQUITY FUND CLASS I	BOSTON, MA	6/11/2015	00738672-03	Registered
1ST TR COMBINED SERIES 482 TX EX MUNICIPAL INCOME TR INTERMEDIATE SERIES 17	WHEATON, IL	6/10/2015	00738713-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5455 CONVERTIBLE & INCOME SELECT CLOSED END & ETF PORTFOLIO SERIES 5	WHEATON, IL	6/10/2015	00738719-05	Registered
FT 5462 GLOBAL EQUITY PURCHASING POWER PORTFOLIO SERIES 2	WHEATON, IL	6/10/2015	00738714-05	Registered
FT 5478 MEGA CAP PORTFOLIO SERIES 36	WHEATON, IL	6/10/2015	00738705-05	Registered
FT 5479 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 18	WHEATON, IL	6/10/2015	00738702-05	Pending-Cleared
FT 5480 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 24	WHEATON, IL	6/10/2015	00738704-05	Registered
FT 5481 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 21	WHEATON, IL	6/10/2015	00738718-05	Registered
FT 5482 MUNICIPAL INCOME CLOSED END PORTFOLIO SERIES 62	WHEATON, IL	6/10/2015	00738708-05	Registered
FT 5483 INNOVATIVE TECHNOLOGY PORTFOLIO SERIES 8	WHEATON, IL	6/10/2015	00738717-05	Registered
FT 5484 SOCIALLY RESPONSIBLE PORTFOLIO SERIES 2	WHEATON, IL	6/10/2015	00738710-05	Registered
FT 5485 US REVENUE PORTFOLIO SERIES 3	WHEATON, IL	6/10/2015	00738709-05	Registered
FT 5486 SABRIENT DIVIDEND PORTFOLIO SERIES 10	WHEATON, IL	6/10/2015	00738712-05	Registered
FT 5493 NORTH AMERICAN SHALE PORTFOLIO SERIES 11	WHEATON, IL	6/10/2015	00738703-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5494 DIVIDEND INCOME PLUS PORTFOLIO SERIES 19	WHEATON, IL	6/10/2015	00738715-05	Registered
FT 5500 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES AMERICAN INDUSTRIAL RENAISSANCE 2015 2	WHEATON, IL	6/10/2015	00738707-05	Registered
FT 5505 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 4	WHEATON, IL	6/10/2015	00738716-05	Registered
FT 5516 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 29	WHEATON, IL	6/10/2015	00738711-05	Registered
FT 5532 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 21	WHEATON, IL	6/10/2015	00738706-05	Registered
PRINCIPAL FUNDS INC REAL ESTATE DEBT INCOME FUND CLASS P	DES MOINES, IA	6/10/2015	00738632-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS R3	BOSTON, MA	6/9/2015	00738625-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS R1	BOSTON, MA	6/9/2015	00738630-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS C	BOSTON, MA	6/9/2015	00738624-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS R6	BOSTON, MA	6/9/2015	00738631-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS I	BOSTON, MA	6/9/2015	00738626-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS R5	BOSTON, MA	6/9/2015	00738629-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS R4	BOSTON, MA	6/9/2015	00738627-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS A	BOSTON, MA	6/9/2015	00738623-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 NEW OPPORTUNITIES FUND CLASS R2	BOSTON, MA	6/9/2015	00738628-03	Registered
FT 5520 TARGET DIVERSIFIED GLOBAL ALLOCATION PORTFOLIO SERIES 16	WHEATON, IL	6/8/2015	00738688-05	Registered
FT 5533 GLOBAL GORILLAS PORTFOLIO SERIES 4	WHEATON, IL	6/8/2015	00738687-05	Registered
GLENMEDE FUND INC INTERNATIONAL PORTFOLIO	BOSTON, MA	6/8/2015	00738581-03	Registered
GLENMEDE FUND INC MID CAP EQUITY PORTFOLIO ADVISOR SHARES	BOSTON, MA	6/8/2015	00738582-03	Registered
GLENMEDE FUND INC MID CAP EQUITY PORTFOLIO INSTITUTIONAL SHARES	BOSTON, MA	6/8/2015	00738580-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL HIGH INCOME FUND CLASS C	NEW YORK, NY	6/8/2015	00738585-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL HIGH INCOME FUND CLASS A	NEW YORK, NY	6/8/2015	00738584-03	Pending-Cleared

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NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL HIGH INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	6/8/2015	00738583-03	Pending-Cleared
SANFORD C BERNSTEIN FUND INC AB INTERMEDIATE DIVERSIFIED MUNICIPAL PORTFOLIO ADVISOR CLASS	NEW YORK, NY	6/8/2015	00738586-03	Pending-Cleared

Number of Investment Company Filings: 52

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALDERWOOD CENTER LENDERS LLC	LYNNWOOD, WA	6/12/2015	00738748-07	Filed
TWAIN NOTE ISSUER 7 LLC	SAINT LOUIS, MO	6/12/2015	00738749-07	Filed
ECM FEEDER FUND 1	SINGAPORE, AA	6/11/2015	00738723-07	Filed
GRAND COAST CAPITAL FUND 1 LLC	HINGHAM, MA	6/11/2015	00738615-07	Filed
STERIGENICS NORDION TOPCO PARENT LLC	NEW YORK, NY	6/11/2015	00738617-07	Filed
CENTROSE LLC	MADISON, WI	6/10/2015	00738722-07	Filed
EQT 7 NO 2 LP	LONDON, AA	6/10/2015	00738721-07	Filed
INSIGHTRA MEDICAL INC	IRVINE, CA	6/10/2015	00738751-07	Filed
NEW WEST GENETICS LLC	FORT COLLINS, CO	6/10/2015	00738720-07	Filed
CWS SAF 7 LLC	NEWPORT BEACH, CA	6/8/2015	00738690-07	Filed
MADRONA VENTURE FUND 6 LP	SEATTLE, WA	6/8/2015	00738689-07	Filed

Number of Regulation D Notice Filings: 11

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
STEAMATIC INC	FORT WORTH, TX	6/17/2015	609831	Registered
WOW CAFE AND WINGERY FRANCHISING ACCOUNT LLC / WOW Cafe	COVINGTON, LA	6/17/2015	609829	Registered
Endurance House International, LLC / Endurance House	Middleton, WI	6/16/2015	609826	Registered
Vapor Shark Franchising LLC / Vapor Shark	Miami, FL	6/16/2015	609825	Registered
Cole's Backyard Grill Franchising LLC / Cole's Backyard Grill	Plano, TX	6/16/2015	609824	Registered
LaVida Massage Franchise Development, Inc. / LaVida Massage	Brighton, MI	6/16/2015	609836	Registered
MELTING POT RESTAURANTS INC / The Melting Pot	TAMPA, FL	6/12/2015	609823	Registered
Ruth's Chris Steak House Franchise, LLC / Ruth's Chris Steak House	Winter Park, FL	6/12/2015	609821	Registered
Precision Concrete Cutting	Provo, UT	6/12/2015	609822	Registered
International Neck and Back Institute LLC / E3 and E3 Elite Rehab Systems	Wheaton, IL	6/12/2015	609820	Registered
HEALTH MART SYSTEMS INC	SAN FRANCISCO, CA	6/11/2015	609819	Registered
BlueFrog Plumbing and Drain, LLC / BlueFrog Plumbing + Drain	Tempe, AR	6/11/2015	609817	Registered
Quality Is Our Recipe, LLC / Wendy's and Wendy's Old Fashioned Hamburgers	DUBLIN, OH	6/11/2015	609834	Registered
Milliken Services, LLC / Millicare Textile & Carpet Care	Spartanburg, SC	6/10/2015	609833	Registered
Massage Heights Corporate, LLC / Massage Heights Area Representative	San Antonio, TX	6/9/2015	609811	Registered
Cheba Hut Franchising, Inc. / Cheba Hut Restaurant	Fort Collins, CO	6/8/2015	609808	Registered
The Learning Experience Systems, LLC / The Learning Experience Academy of Early Education (Zone Developer Program)	Boca Raton, FL	6/8/2015	609814	Registered
Number of Franchise Filings: 17				

Corporate Take-Over Law Filings Made with DFI's Securities Division

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Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	