

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/1/2015 through 6/12/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MINOOKA BIBLE CHURCH MINOOKA IL	MINOOKA, IL	6/3/2015	00738605-02	Pending
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GLENMEDE FUND INC INTERNATIONAL PORTFOLIO	BOSTON, MA	6/8/2015	00738581-03	Registered
GLENMEDE FUND INC MID CAP EQUITY PORTFOLIO ADVISOR SHARES	BOSTON, MA	6/8/2015	00738582-03	Registered
GLENMEDE FUND INC MID CAP EQUITY PORTFOLIO INSTITUTIONAL SHARES	BOSTON, MA	6/8/2015	00738580-03	Registered
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL HIGH INCOME FUND CLASS C	NEW YORK, NY	6/8/2015	00738585-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL HIGH INCOME FUND CLASS A	NEW YORK, NY	6/8/2015	00738584-03	Pending-Cleared
NEUBERGER BERMAN INCOME FUNDS NEUBERGER BERMAN MUNICIPAL HIGH INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	6/8/2015	00738583-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SANFORD C BERNSTEIN FUND INC AB INTERMEDIATE DIVERSIFIED MUNICIPAL PORTFOLIO ADVISOR CLASS	NEW YORK, NY	6/8/2015	00738586-03	Pending-Cleared
ADVISORS DISCIPLINED TR 1446 SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 2 YR SERIES 2015 2	MONUMENT, CO	6/5/2015	00738598-05	Registered
FORUM FUNDS 2 BDC INCOME FUND A SHARES	PORTLAND, ME	6/5/2015	00738578-03	Registered
FT 5517 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 10	WHEATON, IL	6/5/2015	00738600-05	Registered
FT 5521 TARGET GLOBAL VALUE DIVIDEND PORTFOLIO SERIES 4	WHEATON, IL	6/5/2015	00738601-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1308 UBS CHECK A MONTH LIST EQUITY ADVISORY GROUP 2015 1 SERIES 1	LISLE, IL	6/5/2015	00738595-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1321 BULLETSHARES HIGH YIELD LOW DURATION 2017 2020 BOND LADDER PORTFOLIO EFTS SERI	LISLE, IL	6/5/2015	00738597-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1322 COVERED CALL & INCOME PORTFOLIO OF CEFS SERIES 35	LISLE, IL	6/5/2015	00738599-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1322 EQUITY & INCOME PORTFOLIO OF CEFS SERIES 38	LISLE, IL	6/5/2015	00738596-05	Registered
INCAPITAL UNIT TR SERIES 81 EUROPEAN DIVIDEND BUILDERS PORTFOLIO 2Q 2015	CHICAGO, IL	6/5/2015	00738594-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS CREDIT OPPORTUNITIES FUND CLASS A	GREENFIELD, MA	6/3/2015	00738562-03	Registered
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS CREDIT OPPORTUNITIES FUND CLASS I	GREENFIELD, MA	6/3/2015	00738564-03	Registered
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS CREDIT OPPORTUNITIES FUND CLASS R6	GREENFIELD, MA	6/3/2015	00738565-03	Registered
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS CREDIT OPPORTUNITIES FUND CLASS C	GREENFIELD, MA	6/3/2015	00738563-03	Registered
BLACKROCK FUNDS BLACKROCK MSCI ASIA EX JAPAN INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	6/2/2015	00738561-03	Registered
DIREXION FUNDS DIREXION HILTON TACTICAL INCOME FUND CLASS C	MILWAUKEE, WI	6/2/2015	00738507-03	Registered
FT 5454 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 5	WHEATON, IL	6/2/2015	00738491-05	Registered
FT 5461 BOFA MERRILL LYNCH GLOBAL LONGEVITY PORTFOLIO SERIES 2	WHEATON, IL	6/2/2015	00738490-05	Registered
FT 5475 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 13	WHEATON, IL	6/2/2015	00738489-05	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL REAL ESTATE INCOME FUND CLASS A	NEWARK, NJ	6/2/2015	00738558-03	Registered

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PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL REAL ESTATE INCOME FUND CLASS C	NEWARK, NJ	6/2/2015	00738559-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL REAL ESTATE INCOME FUND CLASS Z	NEWARK, NJ	6/2/2015	00738560-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2017 FUND ADVISOR CLASS	SAN MATEO, CA	6/1/2015	00738427-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2017 FUND CLASS R6	SAN MATEO, CA	6/1/2015	00738424-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2018 FUND CLASS R6	SAN MATEO, CA	6/1/2015	00738419-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2018 FUND ADVISOR CLASS	SAN MATEO, CA	6/1/2015	00738428-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2019 FUND CLASS R6	SAN MATEO, CA	6/1/2015	00738422-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2019 FUND ADVISOR CLASS	SAN MATEO, CA	6/1/2015	00738423-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2020 FUND CLASS R6	SAN MATEO, CA	6/1/2015	00738425-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2020 FUND ADVISOR CLASS	SAN MATEO, CA	6/1/2015	00738420-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2021 FUND ADVISOR CLASS	SAN MATEO, CA	6/1/2015	00738421-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN PAYOUT 2021 FUND CLASS R6	SAN MATEO, CA	6/1/2015	00738426-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 5467 SABRIENT RISING RATE PORTFOLIO SERIES	WHEATON, IL	6/1/2015	00738548-05	Registered
FT 5468 GLOBAL DEEP VALUE DIVIDEND PORTFOLIO SERIES 6	WHEATON, IL	6/1/2015	00738549-05	Registered
FT 5469 UTILITIES SELECT PORTFOLIO SERIES 34	WHEATON, IL	6/1/2015	00738547-05	Registered
FT 5470 MULTI SECTOR INCOME PORTFOLIO SERIES 27	WHEATON, IL	6/1/2015	00738556-05	Registered
FT 5471 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 14	WHEATON, IL	6/1/2015	00738551-05	Registered
FT 5472 EUROPEAN DEEP VALUE DIVIDEND PORTFOLIO SERIES 6	WHEATON, IL	6/1/2015	00738545-05	Registered
FT 5473 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 33	WHEATON, IL	6/1/2015	00738552-05	Registered
FT 5474 HEALTH CARE SELECT PORTFOLIO SERIES 37	WHEATON, IL	6/1/2015	00738544-05	Registered
FT 5476 FINANCIALS SELECT PORTFOLIO SERIES 51	WHEATON, IL	6/1/2015	00738553-05	Registered
FT 5477 EQUITY INCOME PORTFOLIO SERIES 47	WHEATON, IL	6/1/2015	00738543-05	Registered
FT 5504 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 43	WHEATON, IL	6/1/2015	00738555-05	Registered
FT 5514 BOFA MERRILL LYNCH CYBERSECURITY PORTFOLIO SERIES 3	WHEATON, IL	6/1/2015	00738546-05	Registered
FT 5519 DOW TARGET 10 JUNE 15 TERM 7 8 16	WHEATON, IL	6/1/2015	00738554-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
FT 5531 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 99	WHEATON, IL	6/1/2015	00738557-05	Registered
FT 5558 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 49	WHEATON, IL	6/1/2015	00738550-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1222 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 41	LISLE, IL	6/1/2015	00738483-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1319 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 44	LISLE, IL	6/1/2015	00738481-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1320 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 54	LISLE, IL	6/1/2015	00738484-05	Registered
INCAPITAL UNIT TR SERIES 80 NUVEEN NWQ GLOBAL DIVIDEND VALUE PORTFOLIO SERIES 2	CHICAGO, IL	6/1/2015	00738482-05	Registered
INVESCO UNIT TR SERIES 1549 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738471-05	Pending-Cleared
INVESCO UNIT TR SERIES 1549 EAFE SELECT 20 PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738470-05	Pending-Cleared
INVESCO UNIT TR SERIES 1549 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738474-05	Pending-Cleared
INVESCO UNIT TR SERIES 1549 SELECT 10 INDUSTRIAL PORTFOLIO 2015 4	DOWNERS GROVE, IL	6/1/2015	00738475-05	Pending-Cleared
INVESCO UNIT TR SERIES 1549 SELECT S&P CORE PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738473-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1549 SELECT S&P INDUSTRIAL PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738472-05	Pending-Cleared
INVESCO UNIT TR SERIES 1559 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 2015 3	DOWNERS GROVE, IL	6/1/2015	00738476-05	Pending-Cleared
INVESCO UNIT TR SERIES 1560 DIVIDEND INCOME & VALUE PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738477-05	Pending-Cleared
INVESCO UNIT TR SERIES 1561 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738480-05	Pending-Cleared
INVESCO UNIT TR SERIES 1561 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738479-05	Pending-Cleared
INVESCO UNIT TR SERIES 1561 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO 2015 3	DOWNERS GROVE, IL	6/1/2015	00738478-05	Pending-Cleared
STARBOARD INVESTMENT TR RX DIVIDEND INCOME FUND INSTITUTIONAL CLASS SHARES	ROCKY MOUNT, NC	6/1/2015	00738412-03	Registered
STARBOARD INVESTMENT TR RX DYNAMIC GROWTH FUND INSTITUTIONAL CLASS SHARES	ROCKY MOUNT, NC	6/1/2015	00738411-03	Registered
STARBOARD INVESTMENT TR RX DYNAMIC TOTAL RETURN FUND INSTITUTIONAL CLASS SHARES	ROCKY MOUNT, NC	6/1/2015	00738413-03	Registered
STARBOARD INVESTMENT TR RX HIGH INCOME FUND INSTITUTIONAL CLASS SHARES	ROCKY MOUNT, NC	6/1/2015	00738414-03	Registered
STARBOARD INVESTMENT TR RX NON TRADITIONAL FUND INSTITUTIONAL CLASS SHARES	ROCKY MOUNT, NC	6/1/2015	00738415-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STARBOARD INVESTMENT TR RX PREMIER MANAGERS FUND INSTITUTIONAL CLASS SHARES	ROCKY MOUNT, NC	6/1/2015	00738416-03	Registered
STARBOARD INVESTMENT TR RX TRADITIONAL EQUITY FUND INSTITUTIONAL CLASS SHARES	ROCKY MOUNT, NC	6/1/2015	00738417-03	Registered
STARBOARD INVESTMENT TR RX TRADITIONAL FIXED INCOME FUND INSTITUTIONAL CLASS SHARES	ROCKY MOUNT, NC	6/1/2015	00738418-03	Registered
Number of Investment Company Filings: 76				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GRAND COAST CAPITAL FUND 1 LLC	HINGHAM, MA	6/11/2015	00738615-07	Filed
STERIGENICS NORDION TOPCO PARENT LLC	NEW YORK, NY	6/11/2015	00738617-07	Filed
BISMARCK FUTURES LLC	BISMARCK, ND	6/5/2015	00738602-07	Filed
BRANCH2 INC	MIDDLETON, WI	6/4/2015	00738610-07	Filed
BROWN ADVISORY INVESTORS 2015 CRC 4 QP LLLP	BALTIMORE, MD	6/4/2015	00738467-07	Filed
FETCH REWARDS INC	MADISON, WI	6/4/2015	00738465-07	Filed
INTUITIVE BIOSCIENCES INC	MIDDLETON, WI	6/4/2015	00738608-07	Filed
LAZARUS PARTNERS SHOESCOM LLLP	DENVER, CO	6/4/2015	00738468-07	Filed
MLG PF CROSSROADS CO INVESTOR LLC	BROOKFIELD, WI	6/4/2015	00738469-07	Filed
NEUWAVE MEDICAL INC	MADISON, WI	6/4/2015	00738464-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PICOBREW INC	SEATTLE, WA	6/4/2015	00738466-07	Filed
THERMODATA CORP	WHITEWATER, WI	6/4/2015	00738609-07	Filed
DIVERSIFIED FUND STAGING LLC	OAKLAND, CA	6/3/2015	00738607-07	Filed
ECHELON DIVERSIFIED FUND LP	OAKLAND, CA	6/3/2015	00738606-07	Filed
AK FINANCIAL CO 3 LLC	ANCHORAGE, AK	6/2/2015	00738487-07	Filed
ZEN ENTERPRISES LLC	LAS VEGAS, NV	6/2/2015	00738488-07	Filed
Number of Regulation D Notice Filings: 16				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MELTING POT RESTAURANTS INC / The Melting Pot	TAMPA, FL	6/12/2015	609823	Registered
Ruth's Chris Steak House Franchise, LLC / Ruth's Chris Steak House	Winter Park, FL	6/12/2015	609821	Registered
Precision Concrete Cutting	Provo, UT	6/12/2015	609822	Registered
International Neck and Back Institute LLC / E3 and E3 Elite Rehab Systems	Wheaton, IL	6/12/2015	609820	Registered
HEALTH MART SYSTEMS INC	SAN FRANCISCO, CA	6/11/2015	609819	Registered
BlueFrog Plumbing and Drain, LLC / BlueFrog Plumbing + Drain	Tempe, AR	6/11/2015	609817	Registered
Massage Heights Corporate, LLC / Massage Heights Area Representative	San Antonio, TX	6/9/2015	609811	Registered
Cheba Hut Franchising, Inc. / Cheba Hut Restaurant	Fort Collins, CO	6/8/2015	609808	Registered
The Learning Experience Systems, LLC / The Learning Experience Academy of Early Education (Zone Developer Program)	Boca Raton, FL	6/8/2015	609814	Registered
THE BARBERSHOP A HAIR SALON FOR MEN	DEPERE, WI	6/5/2015	609806	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SCA FRANCHISING CORP / SCA Appraisal Services	BURBANK, CA	6/5/2015	609805	Registered
Orange Leaf Holdings, LLC / Orange Leaf Frozen Yogurt - Territory Development Program	Oklahoma City, OK	6/5/2015	609815	Registered
Yogurt Mountain Franchising, LLC / Yogurt Mountain	Birmingham, AL	6/4/2015	609802	Registered
Exercise Coach USA, LLC / The Exercise Coach	Lake Zurich, IL	6/4/2015	609801	Registered
Servpro Industries, Inc.	Gallatin, TN	6/4/2015	609800	Registered
GWB ENTERPRISES INC / Fantastic Sams Hair Salons	EAU CLAIRE, WI	6/3/2015	609799	Registered
Captain D's, LLC / Captain D's	Nashville, TN	6/3/2015	609798	Registered
Seniors Helping Seniors, LLC / Seniors Helping Seniors	Wyomissing Hills, PA	6/3/2015	609796	Registered
DentalSense, LLC / DentalSense	Charleston, SC	6/3/2015	609816	Registered
GYMBOREE PLAY PROGRAMS INC / GYMBOREE PLAY PROGRAMS, INC.; GYMBOREE	SAN FRANCISCO, CA	6/2/2015	609786	Registered
Krispy Kreme Doughnut Corporation / Krispy Kreme	Winston-Salem, NC	6/2/2015	609813	Registered
1-800-GOT-JUNK? LLC / 1-800-GOT-JUNK?	Vancouver, BC	6/1/2015	609784	Registered
COVERALL NORTH AMERICA, INC.	DEERFIELD BEACH, FL	6/1/2015	609783	Registered
CARL'S JR. RESTAURANTS, LLC / Carl's Jr./Green Burrito - TR	CARPINTERIA, CA	6/1/2015	609788	Registered
CARL'S JR. RESTAURANTS, LLC / Carl's Jr./Green Burrito - NT	CARPINTERIA, CA	6/1/2015	609789	Registered
HARDEES Restaurant, LLC / Hardee's/Red Burrito - AA	ST LOUIS, MO	6/1/2015	609791	Registered
HARDEES Restaurant, LLC / Hardee's/Red Burrito - NT	ST LOUIS, MO	6/1/2015	609790	Registered
HARDEES Restaurant, LLC / Hardee's/Red Burrito - SLA	ST LOUIS, MO	6/1/2015	609792	Registered
Kiosk Concepts, Inc. / The Original Soup MAN	Staten Island, NY	6/1/2015	609787	Registered
Number of Franchise Filings: 29				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received

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Number of Takeover Filings: 0