

**WI Securities Law and Franchise Law Filings  
for 5/18/2015 through 5/29/2015**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
DOUBLELINE FUNDS TR DOUBLELINE STRATEGIC COMMODITY FUND CLASS N SHARES	WEST ALLIS, WI	5/18/2015	00736740-03	Registered
DOUBLELINE FUNDS TR DOUBLELINE STRATEGIC COMMODITY FUND CLASS I SHARES	WEST ALLIS, WI	5/18/2015	00736739-03	Registered
INVESTMENT MANAGERS SERIES TR OAK RIDGE DIVIDEND GROWTH FUND CLASS A	MILWAUKEE, WI	5/18/2015	00736741-03	Registered
<b>Number of Investment Company Filings: 3</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				

**WI Securities Law and Franchise Law Filings  
for 5/18/2015 through 5/29/2015**

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	BROOKFIELD, WI	5/26/2015	609766	Registered
<b>Number of Franchise Filings: 1</b>				