

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/11/2015 through 5/22/2015**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TWIN CITIES POWER HOLDINGS LLC	LAKEVILLE, MN	5/12/2015	00736531-01	Pending
LIFE FELLOWSHIP OF CO	WESTMINSTER, CO	5/11/2015	00736527-02	Pending-Cleared
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FORUM FUNDS EXCEED STRUCTURED SHIELD INDEX STRATEGY FUND INVESTOR SHARES	PORTLAND, ME	5/19/2015	00736747-03	Registered
ADVISORS DISCIPLINED TR 1435 TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2015 2	MONUMENT, CO	5/18/2015	00736718-05	Registered
ADVISORS DISCIPLINED TR 1436 VALUE ARCHITECTS RECURRING REVENUE SCORE PORTFOLIO SERIES 2015 1	MONUMENT, CO	5/18/2015	00736717-05	Registered
ADVISORS DISCIPLINED TR 1439 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 96	MONUMENT, CO	5/18/2015	00736716-05	Registered
ADVISORS DISCIPLINED TR 1444 AEROSPACE DEFENSE OPPORTUNITIES PORTFOLIO SERIES 2015 1	MONUMENT, CO	5/18/2015	00736715-05	Registered

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ADVISORS DISCIPLINED TR 1479 BAHL & GAYNOR INCOME GROWTH PORTFOLIO SERIES 2015 2Q	MONUMENT, CO	5/18/2015	00736714-05	Pending-Cleared
DOUBLELINE FUNDS TR DOUBLELINE STRATEGIC COMMODITY FUND CLASS I SHARES	WEST ALLIS, WI	5/18/2015	00736739-03	Registered
DOUBLELINE FUNDS TR DOUBLELINE STRATEGIC COMMODITY FUND CLASS N SHARES	WEST ALLIS, WI	5/18/2015	00736740-03	Registered
FT 5436 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 56	WHEATON, IL	5/18/2015	00736742-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1287 ZEBRA CAPITAL LOW VOLUME LEADERS STRATEGY PORTFOLIO SERIES 1	LISLE, IL	5/18/2015	00736711-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1312 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 36	LISLE, IL	5/18/2015	00736713-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1313 GLOBAL WATER EQUITIES PORTFOLIO SERIES 28	LISLE, IL	5/18/2015	00736721-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1313 GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 7	LISLE, IL	5/18/2015	00736708-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1313 GUGGENHEIM US CAPITAL STRENGTH PORTFOLIO SERIES 7	LISLE, IL	5/18/2015	00736709-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1314 FLOATING RATE & DIVIDEND GROWTH PORTFOLIO SERIES 8	LISLE, IL	5/18/2015	00736720-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1315 COMPASS UNDERVALUED TOP PICKS PORTFOLIO SERIES 5	LISLE, IL	5/18/2015	00736710-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1315 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 14	LISLE, IL	5/18/2015	00736719-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 79 PROSPECT ENERGY & POWER INCOME PORTFOLIO SERIES 2	CHICAGO, IL	5/18/2015	00736712-05	Registered
INCAPITAL UNIT TR SERIES 79 PROSPECT INCOME FINANCE PORTFOLIO SERIES 3	CHICAGO, IL	5/18/2015	00736707-05	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1239 INVESTMENT GRADE MUNICIPAL TR 20 PLUS YEAR SERIES 181	DOWNERS GROVE, IL	5/18/2015	00736736-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1240 INVESTMENT GRADE MUNICIPAL TR 20 PLUS YEAR SERIES 182	DOWNERS GROVE, IL	5/18/2015	00736737-05	Pending-Cleared
INVESCO UNIT TR SERIES 1557 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2015 3	DOWNERS GROVE, IL	5/18/2015	00736733-05	Pending-Cleared
INVESCO UNIT TR SERIES 1557 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 2015 3	DOWNERS GROVE, IL	5/18/2015	00736734-05	Pending-Cleared
INVESCO UNIT TR SERIES 1557 CLOSED END STRATEGY MASTER INCOME PORTFOLIO 2015 3	DOWNERS GROVE, IL	5/18/2015	00736732-05	Pending-Cleared
INVESCO UNIT TR SERIES 1557 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2015 3	DOWNERS GROVE, IL	5/18/2015	00736731-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESCO UNIT TR SERIES 1558 ALL CAP CORE STRATEGY 2015 3	DOWNERS GROVE, IL	5/18/2015	00736725-05	Pending-Cleared
INVESCO UNIT TR SERIES 1558 DIVIDEND INCOME LEADERS STRATEGY PORTFOLIO 2015 3	DOWNERS GROVE, IL	5/18/2015	00736730-05	Pending-Cleared
INVESCO UNIT TR SERIES 1558 LARGE CAP CORE STRATEGY 2015 3	DOWNERS GROVE, IL	5/18/2015	00736726-05	Pending-Cleared
INVESCO UNIT TR SERIES 1558 MID CAP CORE STRATEGY 2015 3	DOWNERS GROVE, IL	5/18/2015	00736727-05	Pending-Cleared
INVESCO UNIT TR SERIES 1558 S&P POWERPICKS PORTFOLIO 2015 3	DOWNERS GROVE, IL	5/18/2015	00736729-05	Pending-Cleared
INVESCO UNIT TR SERIES 1558 SMALL CAP CORE STRATEGY 2015 3	DOWNERS GROVE, IL	5/18/2015	00736728-05	Pending-Cleared
INVESCO UNIT TR SERIES 1562 AMERICAN INFRASTRUCTURE GROWTH PORTFOLIO 2015 3	DOWNERS GROVE, IL	5/18/2015	00736724-05	Pending-Cleared
INVESCO UNIT TR SERIES 1563 BUYBACK LEADERS PORTFOLIO 2015 3	DOWNERS GROVE, IL	5/18/2015	00736723-05	Pending-Cleared
INVESCO UNIT TR SERIES 1564 STOCKS FOR 2018 PORTFOLIO	DOWNERS GROVE, IL	5/18/2015	00736722-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 517 HIGH YIELD CORPORATE TR 4 7 YEAR SERIES 3	DOWNERS GROVE, IL	5/18/2015	00736735-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR OAK RIDGE DIVIDEND GROWTH FUND CLASS A	MILWAUKEE, WI	5/18/2015	00736741-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
JPMORGAN TR I JPMORGAN INTREPID INTERNATIONAL FUND CLASS R6 SHARES	NEW YORK, NEW YORK,	5/18/2015	00736679-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL FRANCHISE PORTFOLIO CLASS IS	NEW YORK, N	5/18/2015	00736678-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC MULTI ASSET PORTFOLIO CLASS IS	NEW YORK, N	5/18/2015	00736676-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND TR GLOBAL STRATEGIST PORTFOLIO CLASS IS	NEW YORK, N	5/18/2015	00736677-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE INTERNATIONAL SMALL CAP FUND CLASS C	CINCINNATI, OH	5/18/2015	00736670-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE SMALL CAP GROWTH FUND CLASS C	CINCINNATI, OH	5/18/2015	00736669-03	Registered
BLACKROCK FUNDS BLACKROCK MIDCAP INDEX FUND CLASS K SHARES	WILMINGTON, DE	5/13/2015	00736559-03	Registered
BLACKROCK FUNDS BLACKROCK MIDCAP INDEX FUND INSTITUTIONAL SHARES	WILMINGTON, DE	5/13/2015	00736560-03	Registered
FT 5440 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 14	WHEATON, IL	5/13/2015	00736578-05	Registered
FT 5443 ETF GROWTH & INCOME MAY 15	WHEATON, IL	5/13/2015	00736571-05	Registered
FT 5453 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 22	WHEATON, IL	5/13/2015	00736576-05	Registered

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FT 5456 STIFEL BEST PICKS MAY 2015	WHEATON, IL	5/13/2015	00736570-05	Registered
FT 5460 DIVIDEND INCOME TR SERIES 13	WHEATON, IL	5/13/2015	00736577-05	Registered
FT 5464 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 23	WHEATON, IL	5/13/2015	00736573-05	Registered
FT 5502 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	5/13/2015	00736569-05	Registered
FT 5503 INTEREST RATE HEDGE PORTFOLIO SERIES 96	WHEATON, IL	5/13/2015	00736575-05	Registered
PRINCIPAL FUNDS INC TX EX BOND FUND INSTITUTIONAL CLASS	DES MOINES, IA	5/13/2015	00736561-03	Registered
SMART TR NASDAQ INTERNATIONAL DIVIDEND ACHIEVERS INDEX TR SERIES 5	PARSIPPANY, NJ	5/13/2015	00736574-05	Registered
SMART TR SUSTAINABLE IMPACT INVESTING TR SERIES 2	PARSIPPANY, NJ	5/13/2015	00736572-05	Registered
BLACKROCK FUNDS BLACKROCK ALTERNATIVE CAPITAL STRATEGIES FUND INSTITUTIONAL SHARES	WILMINGTON, DE	5/12/2015	00736557-03	Registered
BLACKROCK FUNDS BLACKROCK ALTERNATIVE CAPITAL STRATEGIES FUND INVESTOR C SHARES	WILMINGTON, DE	5/12/2015	00736556-03	Registered
BLACKROCK FUNDS BLACKROCK ALTERNATIVE CAPITAL STRATEGIES FUND INVESTOR A SHARES	WILMINGTON, DE	5/12/2015	00736555-03	Registered

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FINANCIAL INVESTORS TR EMERALD BANKING & FINANCE FUND INSTITUTIONAL CLASS	DENVER, CO	5/12/2015	00736554-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1311 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 30	LISLE, IL	5/12/2015	00736593-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1311 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO 2 YEAR SERIES 12	LISLE, IL	5/12/2015	00736594-05	Registered
LEGG MASON PARTNERS EQUITY TR QS BATTERYMARCH US LARGE CAP EQUITY FUND CLASS I	NEW YORK, NY	5/12/2015	00736558-03	Registered
AMG FUNDS 1 AMG GW&K CORE BOND FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/11/2015	00736592-03	Registered
AMG FUNDS 1 AMG GW&K CORE BOND FUND INVESTOR CLASS	MILWAUKEE, WI	5/11/2015	00736591-03	Registered
OLSTEIN FUNDS OLSTEIN STRATEGIC OPPORTUNITIES FUND ADVISOR CLASS	MILWAUKEE, WI	5/11/2015	00736524-03	Registered
<b>Number of Investment Company Filings: 65</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INDIO 3D DEVELOPMENT LP	BOWLING GREEN, KY	5/18/2015	00736700-07	Filed
MYDX INC	SAN DIEGO, CA	5/18/2015	00736738-07	Filed
ANGELLIST CHA32 FUND SERIES OF ANGELLIST JG FUNDS LLC	SALT LAKE CITY, UT	5/14/2015	00736634-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ARCITERRA STRATEGIC REATIL WHEATLAND IL LLC	PHOENIX, AZ	5/14/2015	00736630-07	Filed
CAIS 3RD POINT PARTNERS LLC	NEW YORK, NY	5/14/2015	00736624-07	Filed
GRT BIOEDGE VENTURES LLC	BOSTON, MA	5/14/2015	00736632-07	Filed
LOAN CO OF SAN DIEGO CA LP	SAN DIEGO, CA	5/14/2015	00736623-07	Filed
LONE STAR REAL ESTATE FUND 4 US LP	DALLAS, TX	5/14/2015	00736628-07	Filed
PAPERG INC	SAN FRANCISCO, CA	5/14/2015	00736633-07	Filed
PATCH OF LAND INC	LOS ANGELES, CA	5/14/2015	00736631-07	Filed
RGPC INVESTORS LLC SERIES E	WDM, IA	5/14/2015	00736626-07	Filed
TX OIL INCOME DEVELOPMENT LP	GALLATIN, TN	5/14/2015	00736629-07	Filed
ADVANCED TECHNOLOGY SELECT FUND LLC	STATEN ISLAND, NY	5/13/2015	00736581-07	Filed
ALPHADYNE INTERNATIONAL FUND LTD	NEW YORK, NY	5/13/2015	00736584-07	Filed
BLAZER CAPITAL FUND 2 LP	BROOKLYN, NY	5/13/2015	00736583-07	Filed
ILLUMINATION HOLDINGS CORP	CHICAGO, IL	5/13/2015	00736579-07	Filed
ILLUMINATION INVESTMENTS LLC	CHICAGO, IL	5/13/2015	00736580-07	Filed
DEMEX INC	FT LAUDERDALE, FL	5/12/2015	00736529-07	Filed
SLIDER GROUP LLC	DOVER, NH	5/12/2015	00736530-07	Filed
BUTTERFLY HEALTH INC	LOS GATOS, CA	5/11/2015	00736516-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ICON INFRASTRUCTURE PARTNERS 3 LP	GUERNSEY, AA	5/11/2015	00736523-07	Filed
MCKINSTRY PARTNERS LLC	SEATTLE, WA	5/11/2015	00736522-07	Filed
TUCSON 8 OPPORTUNITY FUND LLLP	SCOTTSDALE, AZ	5/11/2015	00736525-07	Filed
<b>Number of Regulation D Notice Filings: 23</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Living Assistance Services, Inc.	Bryn Mawr, PA	5/22/2015	609758	Registered
PONDEROSA FRANCHISING CO	PLANO, TX	5/22/2015	609757	Registered
BONANZA RESTAURANT CO	PLANO, TX	5/22/2015	609756	Registered
D.I.Y. Restaurant Group Inc. / Trugurt and uBuild	La Grange, IL	5/21/2015	609754	Registered
Mongolian Management and Investment Company, L.L.C. / bd's Mongolian Grill®	Burnsville, MN	5/20/2015	609715	Registered
Medifast Franchise Systems, Inc. / Medifast Weight Control Centers	Owings Mills, MD	5/20/2015	609734	Registered
Bella Bridesmaids Franchise Group, LLC / Bella Bridesmaids	Chicago, IL	5/19/2015	609706	Registered
YOU MOVE ME LLC / YOU MOVE ME	Vancouver, BC	5/19/2015	609705	Registered
Sears Home Appliance Showrooms, LLC / Sears Home Appliance Showroom, Sears Appliance & Hardware Store, Sears Outlet Store, Sears Hometown Store	Hoffman Estates, IL	5/19/2015	609704	Registered
SPAULDING DECON INDUSTRIES, CORP. / SPAULDING DECON	TAMPA, FL	5/19/2015	609703	Registered
Nutrition Zone Franchising, Inc. / Nutrition Zone	Foothill Ranch, CA	5/18/2015	609698	Registered
Anago Franchising, Inc.	Fort Lauderdale, FL	5/18/2015	609697	Registered
Curves International, Inc. / Curves; Jenny Craig	Woodway, TX	5/18/2015	609713	Registered

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EVERYTHING YOGURT BRANDS LLC / Green Leaf's Beyond Great Salads/Bananas Smoothies & Frozen Yogurt	MORRISTOWN, NJ	5/18/2015	609732	Registered
Full House Mortgage Connection, Inc. / Full House Mortgage Lender	Birmingham, MI	5/18/2015	609724	Registered
Fuzzy's Taco Opportunities, LLC / Fuzzy's Taco Shop	Fort Worth, TX	5/18/2015	609712	Registered
Pinot's Palette Franchise LLC / Pinot's Palette	Houston, TX	5/18/2015	609711	Registered
WORLDWIDE EXPRESS OPERATIONS LLC / WORLDWIDE EXPRESS	DALLAS, TX	5/18/2015	609723	Registered
D1 Sports Franchise, LLC / D1	Franklin, TN	5/15/2015	609696	Registered
RedLine Athletics Franchising, LLC / RedLine Athletics (Units)	Scottsdale, AZ	5/15/2015	609695	Registered
RedLine Athletics Franchising, LLC / RedLine Athletics (Regional Developers)	Scottsdale, AZ	5/15/2015	609694	Registered
5 GUYS ENTERPRISES LLC / Five Guys Burgers & Fries	LORTON, VA	5/15/2015	609725	Registered
Caribou Coffee Development Company, Inc. / Caribou Coffee	Minneapolis, MN	5/15/2015	609726	Registered
FirstLight HomeCare Franchising, LLC / FirstLight HomeCare	Cincinnati, OH	5/15/2015	609716	Registered
HAND AND STONE FRANCHISE CORP / Hand & Stone Massage, Facial Spa	Hamilton, NJ	5/15/2015	609714	Registered
Beeline Franchising LLC / Beeline Bikes	San Carlos, CA	5/14/2015	609688	Registered
Body and Brain Center, LLC / Body + Brain	Gilbert, AZ	5/14/2015	609687	Registered
Rebounderz Franchise and Development, Inc. / Rebounderz	Sanford, FL	5/14/2015	609686	Registered
AFC FRANCHISING LLC / DOCTORS EXPRESS	Birmingham, AL	5/14/2015	609718	Registered
Locals Love Franchising, LLC / Loves Love Us	Sioux Falls, ND	5/14/2015	609728	Registered
Taste Buds Kitchen International LLC / Taste Buds Kitchen	Queenstown, MD	5/14/2015	609720	Registered
Tutu School Franchises, LLC / Tutu School	San Francisco, CA	5/13/2015	609683	Registered
OE FRANCHISE, LLC / Office Evolution®	Boulder, CO	5/13/2015	609682	Registered

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EDIBLE ARRANGEMENTS INTERNATIONAL, LLC / EDIBLE ARRANGEMENTS®	WILLINGFORD, CT	5/12/2015	609680	Registered
WOW 1 DAY PAINTING LLC / WOW 1 DAY PAINTING	Vancouver, BC	5/12/2015	609676	Registered
Realty Executives Intl. SVCS. LLC / Realty Executives	Scottsdale, AZ	5/12/2015	609669	Registered
ROCKSOLID GRANIT (USA), INC. / Granite Transformations	Miramar, FL	5/12/2015	609668	Registered
OILERIE USA INC / Oilerie	Egg Harbor, WI	5/12/2015	609729	Registered
US LAWNS INC / U.S. LAWNS	ORLANDO, FL	5/12/2015	609722	Registered
Project Pie Franchising, LLC / Project Pie	Carlsbad, CA	5/11/2015	609660	Registered
Hurricane AMT, LLC / Hurricane Grill and Wings	West Palm Beach, FL	5/11/2015	609658	Registered
Skyhawks Franchise Group, Inc. / Skyhawks	Spokane, WA	5/11/2015	609657	Registered
KAMPGROUNDS OF AMERICA INC / KOA	BILLINGS, MT	5/11/2015	609673	Registered
MUTUAL FUND STORE LLC / THE MUTUAL FUND STORE	OVERLAND PARK, KS	5/11/2015	609662	Registered
MW EQUITY, INC. / MyWay Mobile Storage	Grand Rapids, MI	5/11/2015	609663	Registered
THE WOODHOUSE SPAS CORPORATION / The Woodhouse Day Spa	VICTORIA, TX	5/11/2015	609674	Registered
WOODHOUSE SPAS CORP / Regional Developer	VICTORIA, TX	5/11/2015	609731	Registered
<b>Number of Franchise Filings: 47</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	