

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/27/2015 through 5/8/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERIPRISE CERTIFICATE CO AMERIPRISE STEP UP RATE CERTIFICATE	MINNEAPOLIS, MN	5/6/2015	00736316-01	Pending-Cleared
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CALVERT SOCIAL INVESTMENT FOUNDATION INC	BETHESDA, MD	4/28/2015	00735941-08	Pending
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GOLDMAN SACHS TR GOLDMAN SACHS GLOBAL MANAGED BETA FUND INSTITUTIONAL SHARES	CHICAGO, IL	5/5/2015	00736311-03	Registered
AB CAP FUND INC AB ALL MARKET INCOME PORTFOLIO ADVISOR CLASS	NEW YORK, N.	5/4/2015	00736293-03	Registered
AB CAP FUND INC AB ALL MARKET INCOME PORTFOLIO CLASS A	NEW YORK, N.	5/4/2015	00736294-03	Registered
AB CAP FUND INC AB ALL MARKET INCOME PORTFOLIO CLASS C	NEW YORK, N.	5/4/2015	00736295-03	Registered
ADVISORS DISCIPLINED TR 1425 INFLATION INCOME STRATEGY PORTFOLIO SERIES 2015 2	MONUMENT, CO	5/4/2015	00736332-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1443 VALUE ARCHITECTS FLEX CORE PORTFOLIO SERIES 2015 1	MONUMENT, CO	5/4/2015	00736330-05	Pending-Cleared
FUNDEVANTAGE TR POLEN GLOBAL GROWTH FUND RETAIL CLASS	WILMINGTON, DE	5/4/2015	00736296-03	Registered
FUNDEVANTAGE TR WHV ACUITY TACTICAL CREDIT LONG SHORT FUND CLASS C SHARES	WILMINGTON, DE	5/4/2015	00736297-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1297 MULTI ASSET GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 1	LISLE, IL	5/4/2015	00736331-05	Pending-Cleared
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET HEDGED INTERNATIONAL DEVELOPED EQUITY INDEX FUND CLASS K	BOSTON, MA	5/4/2015	00736292-03	Pending-Cleared
DRIEHAUS MUTUAL FUNDS DRIEHAUS FRONTIER EMERGING MARKETS FUND	CHICAGO, IL	5/1/2015	00735974-03	Registered
EATON VANCE MUNICIPALS TR 2 EATON VANCE TABS 1 TO 10 YEAR LADDERED MUNICIPAL BOND FUND CLASS C	BOSTON, MA	5/1/2015	00735970-03	Pending-Cleared
EATON VANCE MUNICIPALS TR 2 EATON VANCE TABS 1 TO 10 YEAR LADDERED MUNICIPAL BOND FUND CLASS A	BOSTON, MA	5/1/2015	00735968-03	Pending-Cleared
EATON VANCE MUNICIPALS TR 2 EATON VANCE TABS 1 TO 10 YEAR LADDERED MUNICIPAL BOND FUND CLASS I	BOSTON, MA	5/1/2015	00735972-03	Pending-Cleared
EATON VANCE MUNICIPALS TR 2 EATON VANCE TABS 10 TO 20 YEAR LADDERED MUNICIPAL BOND FUND CLASS A	BOSTON, MA	5/1/2015	00735969-03	Pending-Cleared

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EATON VANCE MUNICIPALS TR 2 EATON VANCE TABS 10 TO 20 YEAR LADDERED MUNICIPAL BOND FUND CLASS I	BOSTON, MA	5/1/2015	00735973-03	Pending-Cleared
EATON VANCE MUNICIPALS TR 2 EATON VANCE TABS 10 TO 20 YEAR LADDERED MUNICIPAL BOND FUND CLASS C	BOSTON, MA	5/1/2015	00735971-03	Pending-Cleared
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND CLASS R6	SAN MATEO, CA	5/1/2015	00735975-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND CLASS R	SAN MATEO, CA	5/1/2015	00735979-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND CLASS A	SAN MATEO, CA	5/1/2015	00735977-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND CLASS C	SAN MATEO, CA	5/1/2015	00735978-03	Registered
FRANKLIN FUND ALLOCATOR SERIES FRANKLIN LIFESMART 2055 RETIREMENT TARGET FUND ADVISOR CLASS	SAN MATEO, CA	5/1/2015	00735976-03	Registered
FT 5269 PACIFIC NORTHWEST PORTFOLIO SERIES	WHEATON, IL	5/1/2015	00736264-05	Registered
FT 5431 US ENERGY INDEPENDENCE PORTFOLIO SERIES 8	WHEATON, IL	5/1/2015	00736263-05	Pending-Cleared
FT 5432 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	5/1/2015	00736260-05	Pending-Cleared
SMART TR ENHANCED VALUE 2 TR SERIES 12	PARSIPPANY, NJ	5/1/2015	00736265-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
HIGHLAND FUNDS 2 HIGHLAND FIXED INCOME FUND CLASS R SHARES	DALLAS, TX	4/30/2015	00736250-03	Registered
HIGHLAND FUNDS 2 HIGHLAND FIXED INCOME FUND CLASS Y SHARES	DALLAS, TX	4/30/2015	00736252-03	Registered
HIGHLAND FUNDS 2 HIGHLAND TAX EX FUND CLASS C SHARES	DALLAS, TX	4/30/2015	00736254-03	Registered
HIGHLAND FUNDS 2 HIGHLAND TAX EX FUND CLASS Y SHARES	DALLAS, TX	4/30/2015	00736255-03	Registered
HIGHLAND FUNDS 2 HIGHLAND TOTAL RETURN FUND CLASS R SHARES	DALLAS, TX	4/30/2015	00736251-03	Registered
HIGHLAND FUNDS 2 HIGHLAND TOTAL RETURN FUND CLASS Y SHARES	DALLAS, TX	4/30/2015	00736253-03	Registered
PNC FUNDS PNC BALANCED ALLOCATION FUND CLASS T SHARES	BALTIMORE, MD	4/30/2015	00735865-03	Registered
PNC FUNDS PNC RETIREMENT INCOME FUND CLASS T SHARES	BALTIMORE, MD	4/30/2015	00735870-03	Registered
PNC FUNDS PNC TARGET 2020 FUND CLASS T SHARES	BALTIMORE, MD	4/30/2015	00735866-03	Registered
PNC FUNDS PNC TARGET 2030 FUND CLASS T SHARES	BALTIMORE, MD	4/30/2015	00735867-03	Registered
PNC FUNDS PNC TARGET 2040 FUND CLASS T SHARES	BALTIMORE, MD	4/30/2015	00735869-03	Registered
PNC FUNDS PNC TARGET 2050 FUND CLASS T SHARES	BALTIMORE, MD	4/30/2015	00735868-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS INNER CIRCLE FUND WESTWOOD MARKET NEUTRAL INCOME FUND ULTRA SHARES	BOSTON, MA	4/29/2015	00735788-03	Registered
ADVISORS INNER CIRCLE FUND WESTWOOD MARKET NEUTRAL INCOME FUND INSTITUTIONAL SHARES	BOSTON, MA	4/29/2015	00735787-03	Registered
ADVISORS INNER CIRCLE FUND WESTWOOD STRATEGIC GLOBAL CONVERTIBLES FUND INSTITUTIONAL SHARES	BOSTON, MA	4/29/2015	00735789-03	Registered
ADVISORS INNER CIRCLE FUND WESTWOOD WORLDWIDE INCOME OPPORTUNITY FUND INSTITUTIONAL SHARES	BOSTON, MA	4/29/2015	00735790-03	Registered
INDEX FUNDS INDEX FUNDS S&P 500 EQUAL WEIGHT	COLORADO SPRINGS, CO	4/29/2015	00735792-03	Registered
MATTHEWS INTERNATIONAL FUNDS MATTHEWS ASIA ESG FUND INSTITUTIONAL CLASS SHARES	SAN FRANCISCO, CA	4/29/2015	00735793-03	Pending-Cleared
MATTHEWS INTERNATIONAL FUNDS MATTHEWS ASIA ESG FUND INVESTOR CLASS SHARES	SAN FRANCISCO, CA	4/29/2015	00735794-03	Pending-Cleared
PRUDENTIAL INVESTMENT PORTFOLIOS 3 PRUDENTIAL STRATEGIC VALUE FUND CLASS R	NEWARK, NJ	4/29/2015	00735791-03	Pending-Cleared
SEI CATHOLIC VALUES TR CATHOLIC VALUES EQUITY FUND CLASS A SHARES	OAKS, PA	4/29/2015	00735785-03	Registered
SEI CATHOLIC VALUES TR CATHOLIC VALUES FIXED INCOME FUND CLASS A SHARES	OAKS, PA	4/29/2015	00735786-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVANTAGE FUNDS INC DREYFUS OPPORTUNISTIC US STOCK FUND CLASS Y	NEW YORK, NY	4/28/2015	00735633-03	Registered
GUIDESTONE FUNDS GLOBAL BOND FUND INSTITUTIONAL CLASS	DALLAS, TX	4/28/2015	00735630-03	Registered
GUIDESTONE FUNDS INFLATION PROTECTED BOND FUND INSTITUTIONAL CLASS	DALLAS, TX	4/28/2015	00735632-03	Registered
GUIDESTONE FUNDS REAL ESTATE SECURITIES FUND INSTITUTIONAL CLASS	DALLAS, TX	4/28/2015	00735631-03	Registered
HANCOCK JOHN FUNDS 2 LIFESTYLE AGGRESSIVE PORTFOLIO CLASS I	BOSTON, MA	4/28/2015	00735625-03	Registered
HANCOCK JOHN FUNDS 2 LIFESTYLE BALANCED PORTFOLIO CLASS I	BOSTON, MA	4/28/2015	00735626-03	Registered
HANCOCK JOHN FUNDS 2 LIFESTYLE CONSERVATIVE PORTFOLIO CLASS I	BOSTON, MA	4/28/2015	00735628-03	Registered
HANCOCK JOHN FUNDS 2 LIFESTYLE GROWTH PORTFOLIO CLASS I	BOSTON, MA	4/28/2015	00735627-03	Registered
HANCOCK JOHN FUNDS 2 LIFESTYLE MODERATE PORTFOLIO CLASS I	BOSTON, MA	4/28/2015	00735629-03	Registered
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE INTERNATIONAL SMALL CAP FUND CLASS IS	NEW YORK, NY	4/28/2015	00735638-03	Registered
STRATEGIC FUNDS INC DREYFUS MLP FUND CLASS A	NEW YORK, NY	4/28/2015	00735634-03	Registered
STRATEGIC FUNDS INC DREYFUS MLP FUND CLASS C	NEW YORK, NY	4/28/2015	00735636-03	Registered

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STRATEGIC FUNDS INC DREYFUS MLP FUND CLASS I	NEW YORK, NY	4/28/2015	00735635-03	Registered
STRATEGIC FUNDS INC DREYFUS MLP FUND CLASS Y	NEW YORK, NY	4/28/2015	00735637-03	Registered
ADVISORS DISCIPLINED TR 1423 STEELPATH MLP & INCOME PORTFOLIO SERIES 2015 2 OFI STEELPATH PORTFOLIO	MONUMENT, CO	4/27/2015	00735889-05	Registered
ADVISORS DISCIPLINED TR 1424 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2015 1 HIMCO PORTFOLIO	MONUMENT, CO	4/27/2015	00735890-05	Registered
BRIDGE BUILDER TR BRIDGE BUILDER LARGE CAP GROWTH FUND	MILWAUKEE, WI	4/27/2015	00735886-03	Registered
BRIDGE BUILDER TR BRIDGE BUILDER LARGE CAP VALUE FUND	MILWAUKEE, WI	4/27/2015	00735885-03	Registered
BRIDGE BUILDER TR BRIDGE BUILDER SMALL MID CAP GROWTH FUND	MILWAUKEE, WI	4/27/2015	00735888-03	Registered
BRIDGE BUILDER TR BRIDGE BUILDER SMALL MID CAP VALUE FUND	MILWAUKEE, WI	4/27/2015	00735887-03	Registered
CAVANAL HILL FUNDS US LARGE CAP EQUITY FUND A	COLUMBUS, OH	4/27/2015	00735584-03	Registered
CAVANAL HILL FUNDS US LARGE CAP EQUITY FUND INSTITUTIONAL	COLUMBUS, OH	4/27/2015	00735585-03	Registered
FT 5381 BALANCED INCOME PORTFOLIO SERIES 48	WHEATON, IL	4/27/2015	00735881-05	Registered
FT 5382 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 61	WHEATON, IL	4/27/2015	00735933-05	Registered

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FT 5387 MLP CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	4/27/2015	00735877-05	Registered
FT 5388 ALTERNATIVE INCOME PORTFOLIO SERIES 12	WHEATON, IL	4/27/2015	00735875-05	Registered
FT 5415 STRUCTURED PORTFOLIO PLAN DEFINED PORTFOLIO APRIL 2015 SERIES	WHEATON, IL	4/27/2015	00735930-05	Registered
FT 5427 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 25	WHEATON, IL	4/27/2015	00735874-05	Registered
FT 5428 SMID HIGH DIVIDEND PORTFOLIO SERIES 17	WHEATON, IL	4/27/2015	00735934-05	Registered
FT 5429 CAPITAL STRENGTH PORTFOLIO SERIES 29	WHEATON, IL	4/27/2015	00735935-05	Registered
FT 5430 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 15	WHEATON, IL	4/27/2015	00735936-05	Registered
FT 5433 SABRIENT SMALL CAP GROWTH PORTFOLIO SERIES 6	WHEATON, IL	4/27/2015	00735931-05	Registered
FT 5438 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 67	WHEATON, IL	4/27/2015	00735932-05	Registered
FT 5449 EQUITY CLOSED END PORTFOLIO SERIES 36	WHEATON, IL	4/27/2015	00735876-05	Registered
FT 5451 BALANCED INCOME SELECT PORTFOLIO SERIES 53	WHEATON, IL	4/27/2015	00735880-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1296 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 11	LISLE, IL	4/27/2015	00735892-05	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1327 GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 3	LISLE, IL	4/27/2015	00735891-05	Registered
GUGGENHEIM FUNDS TR GUGGENHEIM ALPHA OPPORTUNITY FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735592-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM FLOATING RATE STRATEGIES FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735597-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM HIGH YIELD FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735598-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM INVESTMENT GRADE BOND FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735587-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM LARGE CAP VALUE FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735590-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM LTD DURATION FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735588-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM MACRO OPPORTUNITIES FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735599-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM MID CAP VALUE FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735594-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM MUNICIPAL INCOME FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735600-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM RISK MANAGED REAL ESTATE FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735591-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM FUNDS TR GUGGENHEIM SMALL CAP VALUE FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735595-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM STYLEPLUS LARGE CORE FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735593-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM STYLEPLUS MID GROWTH FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735589-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM TOTAL RETURN BOND FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735586-03	Pending-Cleared
GUGGENHEIM FUNDS TR GUGGENHEIM WORLD EQUITY INCOME FUND P CLASS	ROCKVILLE, MD	4/27/2015	00735596-03	Pending-Cleared
HSBC FUNDS HSBC GLOBAL HIGH INCOME BOND FUND CLASS A	COLUMBUS, OH	4/27/2015	00735572-03	Pending-Cleared
HSBC FUNDS HSBC GLOBAL HIGH INCOME BOND FUND CLASS I	COLUMBUS, OH	4/27/2015	00735574-03	Pending-Cleared
HSBC FUNDS HSBC GLOBAL HIGH YIELD BOND FUND CLASS A	COLUMBUS, OH	4/27/2015	00735571-03	Pending-Cleared
HSBC FUNDS HSBC GLOBAL HIGH YIELD BOND FUND CLASS I	COLUMBUS, OH	4/27/2015	00735573-03	Pending-Cleared
MORGAN STANLEY EUROPEAN EQUITY FUND INC CLASS C	NEW YORK, NY	4/27/2015	00735895-03	Registered
MORGAN STANLEY GLOBAL FIXED INCOME OPPORTUNITIES FUND CLASS C	NEW YORK, NY	4/27/2015	00735893-03	Registered

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MORGAN STANLEY INSTITUTIONAL FUND INC ACTIVE INTERNATIONAL ALLOCATION PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735906-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC ADVANTAGE PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735921-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC ASIAN EQUITY PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735916-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC EMERGING MARKETS EXTERNAL DEBT PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735912-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC EMERGING MARKETS LEADERS PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735909-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC EMERGING MARKETS PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735914-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC FRONTIER EMERGING MARKETS PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735911-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL ADVANTAGE PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735924-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL DISCOVERY PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735925-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL INFRASTRUCTURE PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735923-03	Registered

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MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL INSIGHT PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735913-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL OPPORTUNITY PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735922-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL QUALITY PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735910-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GLOBAL REAL ESTATE PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735919-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC GROWTH PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735905-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC INSIGHT PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735915-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL ADVANTAGE PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735926-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL OPPORTUNITY PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735920-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL EQUITY PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735903-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC INTERNATIONAL REAL ESTATE PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735918-03	Registered

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MORGAN STANLEY INSTITUTIONAL FUND INC MULTI ASSET PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735904-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND INC US REAL ESTATE PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735917-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND TR CORE FIXED INCOME PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735907-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND TR CORE PLUS FIXED INCOME PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735908-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND TR CORPORATE BOND PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735902-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND TR GLOBAL STRATEGIST PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735900-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND TR HIGH YIELD PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735899-03	Registered
MORGAN STANLEY INSTITUTIONAL FUND TR LTD DURATION PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735901-03	Pending-Cleared
MORGAN STANLEY INSTITUTIONAL FUND TR STRATEGIC INCOME PORTFOLIO CLASS C	NEW YORK, NY	4/27/2015	00735898-03	Registered
MORGAN STANLEY MORTGAGE SECURITIES TR CLASS C	NEW YORK, NY	4/27/2015	00735894-03	Registered

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MORGAN STANLEY MULTI CAP GROWTH TR CLASS C	NEW YORK, NY	4/27/2015	00735897-03	Registered
MORGAN STANLEY US GOVERNMENT SECURITIES TR CLASS C	NEW YORK, NY	4/27/2015	00735896-03	Registered
RUSSELL INVESTMENT CO RUSSELL MULTI STRATEGY INCOME FUND CLASS A	SEATTLE, WA	4/27/2015	00735575-03	Registered
RUSSELL INVESTMENT CO RUSSELL MULTI STRATEGY INCOME FUND CLASS C	SEATTLE, WA	4/27/2015	00735576-03	Registered
RUSSELL INVESTMENT CO RUSSELL MULTI STRATEGY INCOME FUND CLASS S	SEATTLE, WA	4/27/2015	00735582-03	Registered
RUSSELL INVESTMENT CO RUSSELL MULTI STRATEGY INCOME FUND CLASS E	SEATTLE, WA	4/27/2015	00735577-03	Registered
RUSSELL INVESTMENT CO RUSSELL MULTI STRATEGY INCOME FUND CLASS Y	SEATTLE, WA	4/27/2015	00735580-03	Registered
RUSSELL INVESTMENT CO RUSSELL TAX MANAGED INTERNATIONAL EQUITY FUND CLASS C	SEATTLE, WA	4/27/2015	00735579-03	Registered
RUSSELL INVESTMENT CO RUSSELL TAX MANAGED INTERNATIONAL EQUITY FUND CLASS A	SEATTLE, WA	4/27/2015	00735578-03	Registered
RUSSELL INVESTMENT CO RUSSELL TAX MANAGED INTERNATIONAL EQUITY FUND CLASS E	SEATTLE, WA	4/27/2015	00735581-03	Registered
RUSSELL INVESTMENT CO RUSSELL TAX MANAGED INTERNATIONAL EQUITY FUND CLASS S	SEATTLE, WA	4/27/2015	00735583-03	Registered
Number of Investment Company Filings: 147				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SUNSTONE INCOME APARTMENTS 2 LLC	WESTLAKE VILLAGE, CA	5/5/2015	00736319-07	Filed
WAVEGUIDE CORP	CAMBRIDGE, MA	5/5/2015	00736317-07	Filed
BEERS ENTERPRISES LLC	OSTERVILLE, MA	5/1/2015	00736261-07	Filed
PORTFOLIO ADVISORS PRIVATE EQUITY FUND 8 OFFSHORE LP	DARIEN, CT	5/1/2015	00736262-07	Filed
ANGELLIST BART FUND SERIES OF ANGELLIST INGO FUNDS LLC	BRIGHTON, UT	4/30/2015	00735702-07	Filed
CONNOR GROUP REAL ESTATE INCOME & GROWTH FUND 12 LLC	MIAMISBURG, OH	4/30/2015	00736215-07	Filed
LS REAL ESTATE RECOVERY 4 TR	NEW YORK, NY	4/30/2015	00735700-07	Filed
PERSHING GOLD CORP	LAKEWOOD, CO	4/30/2015	00735704-07	Filed
PORTER WOLFCAMP 2 JOINT VENTURE	FRISCO, TX	4/30/2015	00735703-07	Filed
STERLING CO INVESTMENT FUND 1 LLC	CLEVELAND, OH	4/30/2015	00736214-07	Filed
VIRZOOM INC	CAMBRIDGE, MA	4/30/2015	00735701-07	Filed
BA WIEHLE INVESTORS LLC	GREENBELT, MD	4/29/2015	00735699-07	Filed
MOTI INC	NEW YORK, NY	4/29/2015	00735697-07	Filed
SHOPOFF LAND FUND 3 LP	IRVINE, CA	4/29/2015	00735698-07	Filed
SUNSTONE INCOME APARTMENTS LLC	CAMARILLO, CA	4/29/2015	00736212-07	Filed
NB CROSSROADS FUND 21 PLAN ASSET ALLOCATION LP	DALLAS, TX	4/28/2015	00735940-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PB1 LP	CHARLOTTESVILLE, VA	4/28/2015	00735938-07	Filed
BRIAR MEADS SPV 8 LLC	NEW YORK, NY	4/27/2015	00735882-07	Filed
CROWN RODESSA 1 JOINT VENTURE	CARROLLTON, TX	4/27/2015	00735928-07	Filed
ENERVEST ENERGY INSTITUTIONAL FUND 14 A LP	HOUSTON, TX	4/27/2015	00735884-07	Filed
MIDOCEAN PARTNERS 4 LP	NEW YORK, NY	4/27/2015	00735883-07	Filed
Number of Regulation D Notice Filings: 21				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Buji, LLC	Pickerington, OH	5/8/2015	609656	Registered
Get In Shape Franchise, Inc. / Get In Shape For Women	Needham, MA	5/8/2015	609655	Registered
BAM Franchising, Inc. / Bricks and Minifigs	Canby, OR	5/7/2015	609636	Registered
Donatos Pizzeria, LLC	Columbus, OH	5/7/2015	609653	Registered
Plan Ahead Events, Inc. / Plan Ahead Events	West Palm Beach, FL	5/7/2015	609652	Registered
Canine Dimensions Franchising, LLC / Canine Dimensions	Marlton, NJ	5/7/2015	609644	Registered
My House Fitness Franchise, Inc. / My House Fitness	Winter Springs, FL	5/6/2015	609631	Registered
Mosquito Shield Franchise Corporation / Mosquito Shield	North Attleboro, MA	5/6/2015	609630	Registered
TBC International, LLC / Title Boxing Club Fitness Facility	Overland Park, KS	5/6/2015	609628	Registered
Sadkhin Franchising Company, LLC / The Sadkhin Complex	Brooklyn, NY	5/6/2015	609639	Registered
SPHERION STAFFING LLC	Atlanta, GA	5/6/2015	609638	Registered
Xist International, LLC / Xist Fitness	Fenton, MO	5/6/2015	609637	Registered
UG Franchise Operations, LLC / UFC Gym	Santa Ana, CA	5/5/2015	609627	Registered
Home Instead, Inc. / Home Instead Senior Care	Omaha, NE	5/5/2015	609626	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Amazing Lash Studio Franchise, LLC / Amazing Lash Studio area representative	Houston, TX	5/5/2015	609624	Registered
LunchBOX Franchise, LLC / LunchBOX (A WAXING SALON)	Boise, ID	5/5/2015	609623	Registered
Leisure Systems, Inc. / Yogi Bear's Jellystone Park Camp - Resorts	Milford, OH	5/5/2015	609622	Registered
EVERYTHING YOGURT BRANDS LLC / SOUTH PHILLY STEAKS & FRIES	MORRISTOWN, NJ	5/5/2015	609651	Registered
Newk's Franchise Company LLC / Newk's Eatery	Jackson, MS	5/4/2015	609621	Registered
LUXOTTICA RETAIL NORTH AMERICA, INC. / PEARLE VISION	MASON, OH	5/4/2015	609620	Registered
OTRR FRANCHISING, LLC / Crushed Red® Restaurant	St. Louis, MO	5/4/2015	609619	Registered
Monkburger Franchise Group LLC / Monk's Bar and Grill®	Wisconsin Dells, WI	5/4/2015	609618	Registered
COMPLETE NUTRITION FRANCHISING, LLC	OMAHA, NE	5/4/2015	609615	Registered
British Swim Centers Franchising, LLC / British Swim School	Sunrise, FL	5/4/2015	609616	Registered
Suite Management Franchising, LLC / My Salon Suite	Metairie, LA	5/4/2015	609614	Registered
Penn Station, Inc.	Milford, OH	5/4/2015	609613	Registered
Totally Nutz Franchise, LLC / Totally Nutz	St. George, UT	5/4/2015	609612	Registered
GOIN POSTAL FRANCHISE CORP / Goin' Postal Franchise Corporation	ZEPHYRHILLS, FL	5/4/2015	609611	Registered
Curves International, Inc. / Curves and Curves for Women	Woodway, TX	5/4/2015	609610	Registered
ACCELERATION PRODUCTS INC / Athletic Republic	PARK CITY, UT	5/4/2015	609648	Registered
BROADWAY STATION RESTAURANTS INC	WOODBURY, MN	5/4/2015	609650	Registered
CROWN TROPHY INC	HAWTHORNE, NY	5/4/2015	609642	Registered
MAINSTREAM FASHIONS FRANCHISING INC / Mainstream Boutique	Minneapolis, MN	5/4/2015	609646	Registered
Pritikin ICR LLC / Pritikin ICR	Clayton, MO	5/4/2015	609643	Registered
REGENCY FRANCHISE LLC	Raleigh, NC	5/4/2015	609649	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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SBA-TLC, LLC / Merlin 200,000 Mile Shops	Charlotte, NC	5/4/2015	609641	Registered
Sculpture Hospitality, LLC / Sculpture Hospitality	Louisville, KY	5/1/2015	609608	Registered
HCAFRANCHISE CORP / Home Care Assistance 1-866-4-LiveIn	Palo Alto, CA	5/1/2015	609604	Registered
TASTI D-LITE LLC / Tasti D-Lite® and/or Planet Smoothie®	Brentwood, TN	5/1/2015	609602	Registered
360BRANDS, INC. / 360clean Complete Facility Care	Daniel Island, SC	5/1/2015	609601	Registered
BIG BOY FRANCHISE MANAGEMENT LLC	WARREN, MI	5/1/2015	609600	Registered
In Home Personal Services Development, L.L.C.	Fox River Grove, IL	5/1/2015	609592	Registered
POTBELLY FRANCHISING, LLC / Potbelly Sandwich Works	CHICAGO, IL	5/1/2015	609583	Registered
WINGSTOP RESTAURANTS INC / Wing-Stop®	Dallas, TX	5/1/2015	609582	Registered
Digital Doc Franchising, LLC / Digital Doc®	Glenview, IL	5/1/2015	609580	Registered
SEVA Beauty, LLC	Highland Park, IL	5/1/2015	609581	Registered
CruiseOne, Inc. / CruiseOne	Ft Lauderdale, FL	5/1/2015	609573	Registered
TUFFY ASSOC CORP / Tuffy Auto Service Centers/Tuffy Tire & Auto Serv	Toledo, OH	5/1/2015	609572	Registered
Global Orange Development, LLC / BIGGBY COFFEE	East Lansing, MI	5/1/2015	609571	Registered
Coffee News USA, Inc. / Coffee News	Bangor, ME	5/1/2015	609634	Registered
JET BLACK INTERNATIONAL INC / Jet-Black	Savage, MN	5/1/2015	609635	Registered
MESSAGE LUXE INTERNATIONAL LLC / MassageLuXe	FENTON, MO	5/1/2015	609640	Registered
MTC ENTERPRISES INC / American Prosperity Group	Pompton Plains, NJ	5/1/2015	609632	Registered
STANLEY STEEMER INTERNATIONAL INC / Stanley Steemer Carpet Cleaner	DUBLIN, OH	5/1/2015	609633	Registered
Aussie Pet Mobile Inc / Aussie Pet Mobile	Aliso Viejo, CA	4/30/2015	609570	Registered
PRIMROSE SCHOOL FRANCHISING CO / PRIMROSE SCHOOLS	ACWORTH, GA	4/30/2015	609569	Registered
Christian Bros Automotive Corp / Christian Brothers Automotive	Houston, TX	4/30/2015	609567	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Cajun Global LLC / Church's Chicken	Atlanta, GA	4/30/2015	609566	Registered
Saltire Brands LLC / Saltire Brands LLC; House Doctors; House Medic	Milford, OH	4/30/2015	609565	Registered
ZO Skin Health Group, LLC / ZO Skin Centre	Laguna Hills, CA	4/30/2015	609564	Registered
Good Feet Worldwide, LLC / GOOD FEET®	CARLSBAD, CA	4/30/2015	609551	Registered
Astral Health & Beauty, Inc. / ALOETTE	Atlanta, GA	4/30/2015	609537	Registered
Yogurt Lab Franchising LLC / Yogurt Lab	Minneapolis, MN	4/30/2015	609541	Registered
360BRANDS, INC. / 360clean Complete Facility Care	Daniel Island, SC	4/30/2015	609534	Registered
Zaxby's Franchising, Inc. / Zaxby's	Athens, GA	4/30/2015	609536	Registered
Sears Home & Business Franchises, Inc. / Sears Maid Services	Lewis Center, OH	4/30/2015	609535	Registered
Made In The Shade Blinds & More, LLC / Made In The Shade Blinds And More, LLC	San Antonio, TX	4/30/2015	609532	Registered
Sears Home & Business Franchises, Inc. / Sears Handyman Solutions	Lewis Center, OH	4/30/2015	609533	Registered
MENCHIES GROUP INC / Menchie's	ENCINO, CA	4/30/2015	609530	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Garage Door	Lewis Center, OH	4/30/2015	609531	Registered
VPC Pizza Franchise, LLC / Giordano's® Restaurants	Chicago, IL	4/30/2015	609526	Registered
Buffalo Wings & Rings, LLC	Cincinnati, OH	4/30/2015	609528	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Carpet & Upholstery Care	Lewis Center, OH	4/30/2015	609529	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Air Duct Cleaning	Lewis Center, OH	4/30/2015	609525	Registered
Executive Home Care Franchising LLC / Executive Care Your Home Care Company	Hackensack, NJ	4/30/2015	609524	Registered
Vanguard Cleaning Systems Inc	San Mateo, CA	4/30/2015	609519	Registered
RETROFITNESS LLC	COLTS NECK, NJ	4/30/2015	609518	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Tom and Chee Worldwide LLC / Tom and Chee	Cincinnati, OH	4/30/2015	609517	Registered
MVP Motorcycle Value Program, Inc. / MVP Motorcycle Value Program	St. Paul, MN	4/30/2015	609515	Registered
M.G.M. LIQUOR STORES, INC. / MGM WINE & SPIRITS	ST PAUL, MN	4/30/2015	609514	Registered
Synergy HomeCare Franchising, LLC	Gilbert, AZ	4/30/2015	609510	Registered
CULLIGAN INTERNATIONAL CO / CULLIGAN	Rosemont, IL	4/30/2015	609511	Registered
Elite Tutoring Place, Inc. / Elite Tutoring Place	Naperville, IL	4/30/2015	609509	Registered
Kona Ice, Inc. / Kona Ice	Florence, KY	4/30/2015	609508	Registered
Our Town America, A Franchising Corporation / Our Town America (Regional Development Offering)	Pinellas Park, FL	4/30/2015	609507	Registered
Our Town America, A Franchising Corporation / Our Town America (Unit Franchise Offering)	Pinellas Park, FL	4/30/2015	609506	Registered
Welcyon Franchising, LLC / Welcyon	Edina, MN	4/30/2015	609505	Registered
BB Franchising LLC	Walnut Creek, CA	4/30/2015	609504	Registered
AUTOMOTIVE TECHNOLOGIES, INC. / WIRELESS ZONE	MIDDLETOWN, CT	4/30/2015	609503	Registered
The UPS Store, Inc. / The UPS Store® (Non-Traditional)	SAN DIEGO, CA	4/30/2015	609502	Registered
UPS Store Inc. / The UPS Store® (Traditional)	SAN DIEGO, CA	4/30/2015	609501	Registered
AAMCO TRANSMISSIONS INC	HORSHAM, PA	4/30/2015	609549	Registered
ABRA AUTOMOTIVE SYSTEMS INC / ABRA	Brooklyn Park, MN	4/30/2015	609598	Registered
AdvantaClean Systems, Inc.	Huntersville, NC	4/30/2015	609547	Registered
BEN & JERRYS FRANCHISING INC / Special Venue Scoop Shop Program	SOUTH BURLINGTON, VT	4/30/2015	609578	Registered
BEN & JERRYS FRANCHISING INC / Ben & Jerry's Scoop Shop	SOUTH BURLINGTON, VT	4/30/2015	609594	Registered
Bin There USA, LLC / Bin There...Dump That	Mississauga, ON	4/30/2015	609579	Registered
Brass Tap Franchisor, LLC / Brass Tap	Tampa, FL	4/30/2015	609548	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BURGER KING CORP / Burger King	MIAMI, FL	4/30/2015	609543	Registered
COTTMAN TRANSMISSION SYSTEMS, LLC / Cottman Transmission Systems, LLC	HORSHAM, PA	4/30/2015	609550	Registered
COUNSELOR REALTY FRANCHISING INC / Counselor	Minneapolis, MN	4/30/2015	609558	Registered
DEL TACO LLC / Del Taco	LAKE FOREST, CA	4/30/2015	609589	Registered
DUNN BROS COFFEE FRANCHISING INC / Dunn Bros Coffee	MINNEAPOLIS, MN	4/30/2015	609588	Registered
EINSTEIN & NOAH CORP / Einstein Bros. Bagels	Lakewood, CO	4/30/2015	609591	Registered
FocalPoint Coaching, Inc. / FocalPoint unit business franchise	Henderson, NV	4/30/2015	609553	Registered
FocalPoint Coaching, Inc. / FocalPoint Area Representative Franchise Business	Henderson, NV	4/30/2015	609557	Registered
GENERAL NUTRITION CORP / GNC	PITTSBURG, PA	4/30/2015	609546	Registered
JC Franchising, LLC / Green Home Solutions - Area Representative	Suwanee, GA	4/30/2015	609586	Registered
JC Franchising, LLC / Green Home Solutions	Suwanee, GA	4/30/2015	609584	Registered
JIFFY LUBE INTERNATIONAL INC / Jiffy Lube	HOUSTON, TX	4/30/2015	609597	Registered
KWIK KOPY BUSINESS CENTERS INC	CYPRESS, TX	4/30/2015	609556	Registered
LGN Franchise System, LLC / Trumi	Stillwater, MN	4/30/2015	609593	Registered
MAACO Franchising, Inc. / MAACO	CHARLOTTE, NC	4/30/2015	609599	Registered
MEINEKE CAR CARE CENTERS INC / Meineke	CHARLOTTE, NC	4/30/2015	609590	Registered
My Goodness! Games, Inc. / Games2U Entertainment	Austin, TX	4/30/2015	609575	Registered
NORMAN MERLE COSMETICS INC / MERLE NORMAN COSMETICS INC	LOS ANGELES, CA	4/30/2015	609544	Registered
SHOWHOMES FRANCHISE CORP / Showhomes	NASHVILLE, TN	4/30/2015	609587	Registered
Slumberland Franchising, Inc. / Slumberland	Little Canada, MN	4/30/2015	609576	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Smiling Moose Deli Franchise Company / Smiling Moose Deli	Denver, CO	4/30/2015	609577	Registered
SMOOTHIE KING FRANCHISES INC / Smoothie King	Metairie, LA	4/30/2015	609596	Registered
Spavia International LLC / Spavia	Lone Tree, CO	4/30/2015	609545	Registered
SPICE & TEA EXCHANGE FRANCHISING LLC / The Spice & Tea Exchange Stores	Palm Harbor, FL	4/30/2015	609554	Registered
Tiger-Rock MAI Systems, Inc. / Tiger-Rock Martial Arts and Kisado Fitness	Dakota Dunes, SD	4/30/2015	609585	Registered
We Olive Franchising, LLC / We Olive	Paso Robles, CA	4/30/2015	609555	Registered
WORKOUT ANYTIME FRANCHISING SYSTEMS LLC / WORKOUT ANYTIME	Dunwoody, GA	4/30/2015	609595	Registered
Big Frog Custom T-Shirts, Inc. / Big frog	Dunedin, FL	4/29/2015	609500	Registered
GLOBAL RECRUITERS NETWORK, INC. / GRN Global Recruiters	Chicago, IL	4/29/2015	609499	Registered
800 DC, LLC / Bizzie	Berkley, MI	4/29/2015	609498	Registered
Aerus Franchising, LLC / Aerus, Aerus Electrolux, Lux	Dallas, TX	4/29/2015	609497	Registered
FASTSIGNS International, Inc. / FASTSIGNS	Carrollton, TX	4/29/2015	609486	Registered
Club Metro USA Franchising, LLC / Club Metro Fitness Facility	Toms River, NJ	4/29/2015	609485	Registered
G M P INC / Gina Maria's Pizza	Eden Prairie, MN	4/29/2015	609484	Registered
ZIPS Franchising, LLC / ZIPS Dry Cleaners	Greenbelt, MD	4/29/2015	609483	Registered
TSS Photography, LLC	Oklahoma City, OK	4/29/2015	609475	Registered
Cleaners Depot Franchise, LLC / CD One Price Cleans	Westchester, IL	4/29/2015	609469	Registered
Doc Popcorn Franchising L.L.C. / Doc Popcorn	Paducah, KY	4/29/2015	609468	Registered
Assisting Hands Home Care, LLC / Assisting Hands Home Care	Nampa, ID	4/29/2015	609467	Registered
Rita's Water Ice Franchise Company, LLC / Rita's Water Ice Franchise Company and Rita's Ice-Custard Happiness	Treose, PA	4/29/2015	609466	Registered

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Vantage Franchising, Inc. / America's Best Value Inn or Americas Best Value Inn & Suites	Coral Springs, FL	4/29/2015	609465	Registered
Vantage Franchising, Inc. / Country Hearth Inns, Country Hearth Suites or Country Hearth Inn & Suites	Coral Springs, FL	4/29/2015	609464	Registered
Vantage Franchising, Inc. / Jameson Inn or Jameson Inn & Suites	Coral Springs, FL	4/29/2015	609463	Registered
Vantage Franchising, Inc. / Signature Inn	Coral Springs, FL	4/29/2015	609462	Registered
Vantage Franchising, Inc. / Lexington by Vantage (Lexington Inn or Lexington Hotel)	Coral Springs, FL	4/29/2015	609461	Registered
ADVICOACH FRANCHISING, LLC / ADVICOACH	SOUTHBURY, CT	4/29/2015	609459	Registered
DOCTOR'S ASSOCIATES INC / Subway	MIAMI SPRINGS, FL	4/29/2015	609542	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Sandwich Shop	EAU CLAIRE, WI	4/29/2015	609560	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Bistro	EAU CLAIRE, WI	4/29/2015	609563	Registered
Health One Management Company / UVANTA Healthcare	Appleton, WI	4/29/2015	609559	Registered
HOA Franchising, LLC / Hooters	Atlanta, GA	4/29/2015	609540	Registered
JAMBA JUICE CO / Jamba Juice	EMRYVILLE, CA	4/29/2015	609562	Registered
Koala Associates, LLC / Koala Center for Sleep Disorders	Dunlap, IL	4/29/2015	609561	Registered
SARPINOS USA INC	Lincolnshire, IL	4/29/2015	609539	Registered
WORLD GYM INTERNATIONAL INC / World Gym	LOS ANGELES, CA	4/29/2015	609574	Registered
SW Franchise Inc. / Super Wash	Morrison, IL	4/28/2015	609458	Registered
FETCH! Pet Care, Inc. / FETCH! Pet Care; FETCH!	Dayton, OH	4/28/2015	609456	Registered
HUNTINGTON LEARNING CENTERS INC / Huntington Learning Center	ORADELL, NJ	4/28/2015	609455	Registered
SALSARITA'S FRANCHISING, LLC / SALSARITA'S FRESH CANTINA	CHARLOTTE, NC	4/28/2015	609454	Registered
FWR, LLC / VERLO MATTRESS FACTORY STORES LLC	Milwaukee, WI	4/28/2015	609451	Registered
Robeks Franchise Corporation	Los Angeles, CA	4/28/2015	609450	Registered

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R.E.JOHNSEN,LLC / Jan-Pro of Milwaukee	West Allis, WI	4/28/2015	609448	Registered
Greener Energy, LLC / SuperGreen Solutions	West Palm Beach, FL	4/28/2015	609449	Registered
ILKB LLC / iLoveKickboxing.com	Merrick, NY	4/28/2015	609447	Registered
Famiglia-DeBartolo Franchise Systems, LLC / Famous Famiglia	White Plains, NY	4/28/2015	609446	Registered
Bottle & Bottega, Inc. / Bottle & Bottega	Chicago, IL	4/28/2015	609445	Registered
ChiroWay Franchise, LLC / ChiroWay Centers	Woodbury, MN	4/28/2015	609444	Registered
Ziebart Corporation / Ziebart	Troy, MI	4/28/2015	609443	Registered
ACG PIZZA PARTNERS LLC / Stevie B's Pizza	Dunwoody, GA	4/28/2015	609473	Registered
AlignLife Systems, LLC / AlignLife	Peoria, IL	4/28/2015	609477	Registered
Citadel Panda Express, Inc. / Panda Express	Rosemead, CA	4/28/2015	609474	Registered
Crooked Pint LLC / Crooked Pint Ale House	St. Paul, MN	4/28/2015	609471	Registered
EPCON COMMUNITIES FRANCHISING INC / Epcon Communities	DUBLIN, OH	4/28/2015	609492	Registered
FRANLINK INC / Link Staffing Services	HOUSTON, TX	4/28/2015	609495	Registered
GREEN MILL RESTAURANTS LLC / Green Mill Restaurants	St. Paul, MN	4/28/2015	609470	Registered
KELLER WILLIAMS REALTY INC / Regional Representative	AUSTIN, TX	4/28/2015	609493	Registered
KELLER WILLIAMS REALTY INC / Keller Williams Realty - Market Center	AUSTIN, TX	4/28/2015	609494	Registered
SEAL KING INC / Seal King	LAKEVILLE, MN	4/28/2015	609496	Registered
Soccer Shots Franchising, LLC / Soccer Shots	Middletown, PA	4/28/2015	609491	Registered
STRENGTH TIME LLC / STRENGTHTIME	Winnetka, IL	4/28/2015	609478	Registered
IKOR INTERNATIONAL, INC. / IKOR	Kennett Square, PA	4/27/2015	609442	Registered
CALLRN Franchise, LLC / Assisted Living Locators	Scottsdale, AZ	4/27/2015	609441	Registered
CHHJ Franchising LLC / College Hunks Hauling Junk and College Hunks Moving	Tampa, FL	4/27/2015	609438	Registered

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GYM CONSULTING LLC / My Gym & My Gym Children's Fitness Centers	SHERMAN OAKS, CA	4/27/2015	609437	Registered
Shula Burger LLC / Shula Burger and Shula's Bar & Grill	Ft. Lauderdale, FL	4/27/2015	609412	Registered
A CORP / Rooter-Man; Rotor-Man	N BILLERICA, MA	4/27/2015	609489	Registered
A&W RESTAURANTS INC / A&W All American Food; A&W Restaurants	Lexington, KY	4/27/2015	609481	Registered
Biolyst LLC / Realief Neuropathy Centers	St. Louis Park, MN	4/27/2015	609488	Registered
BL Restaurant Franchises, LLC / Bar Louie	Addison, TX	4/27/2015	609480	Registered
BOSTON PIZZA RESTAURANTS LP / Boston's The Gourmet Pizza Restaurant & Sports Bar	DALLAS, TX	4/27/2015	609487	Registered
J N C Real Estate Development, LLC / Salons By JC	San Antonio, TX	4/27/2015	609482	Registered
JL Beers Franchising, Inc. / JL Beers	Fargo, ND	4/27/2015	609490	Registered
Sports Image Franchise, LLC / Sports Image	Miamisburg, OH	4/27/2015	609479	Registered
Number of Franchise Filings: 191				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	