

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/23/2015 through 4/3/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
RESOURCE CREDIT INCOME FUND CLASS A SHARES	PHILADELPHIA, PA	3/30/2015	00733386-01	Pending-Cleared
RESOURCE CREDIT INCOME FUND CLASS C SHARES	PHILADELPHIA, PA	3/30/2015	00733387-01	Pending-Cleared
RESOURCE CREDIT INCOME FUND CLASS D SHARES	PHILADELPHIA, PA	3/30/2015	00733388-01	Pending-Cleared
RESOURCE CREDIT INCOME FUND CLASS I SHARES	PHILADELPHIA, PA	3/30/2015	00733391-01	Pending-Cleared
RESOURCE CREDIT INCOME FUND CLASS T SHARES	PHILADELPHIA, PA	3/30/2015	00733389-01	Pending-Cleared
RESOURCE CREDIT INCOME FUND CLASS U SHARES	PHILADELPHIA, PA	3/30/2015	00733390-01	Pending-Cleared
RESOURCE CREDIT INCOME FUND CLASS W SHARES	PHILADELPHIA, PA	3/30/2015	00733392-01	Pending-Cleared
Number of Registration Filings: 7				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY HEREFORD STREET TR FIDELITY GOVERNMENT MONEY MARKET FUND PREMIUM CLASS	BOSTON, MA	4/1/2015	00733465-03	Registered
FIDELITY HEREFORD STREET TR FIDELITY GOVERNMENT MONEY MARKET FUND CAPITAL RESERVES CLASS	BOSTON, MA	4/1/2015	00733464-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY HEREFORD STREET TR FIDELITY GOVERNMENT MONEY MARKET FUND DAILY MONEY CLASS	BOSTON, MA	4/1/2015	00733463-03	Registered
FIDELITY HEREFORD STREET TR FIDELITY MONEY MARKET FUND PREMIUM CLASS	BOSTON, MA	4/1/2015	00733462-03	Registered
FIDELITY NEWBURY STREET TR TREASURY FUND FIDELITY TREASURY FUND	BOSTON, MA	4/1/2015	00733460-03	Registered
FIDELITY NEWBURY STREET TR TX EX FUND PREMIUM CLASS	BOSTON, MA	4/1/2015	00733461-03	Registered
FUNDVANTAGE TR GOTHAM INDEX PLUS FUND INSTITUTIONAL CLASS SHARES	WILMINGTON, DE	3/31/2015	00733550-03	Pending-Cleared
FUNDVANTAGE TR GOTHAM TOTAL RETURN FUND INSTITUTIONAL CLASS SHARES	WILMINGTON, DE	3/31/2015	00733549-03	Pending-Cleared
SEI INSTITUTIONAL INVESTMENTS TR INTERMEDIATE DURATION CREDIT FUND CLASS A SHARES	OAKS, PA	3/30/2015	00733393-03	Registered
FORUM FUNDS 2 NWS INTERNATIONAL PROPERTY FUND INSTITUTIONAL SHARES	PORTLAND, ME	3/27/2015	00733295-03	Registered
GOLDMAN SACHS TR 2 GOLDMAN SACHS MULTI MANAGER NON CORE FIXED INCOME FUND INSTITUTIONAL SHARES	NEW YORK, NY	3/27/2015	00733293-03	Registered
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE SUSTAINABILITY LEADERS FUND CLASS FI	NEW YORK, NY	3/27/2015	00733292-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE SUSTAINABILITY LEADERS FUND CLASS I	NEW YORK, NY	3/27/2015	00733290-03	Pending-Cleared
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE SUSTAINABILITY LEADERS FUND CLASS IS	NEW YORK, NY	3/27/2015	00733291-03	Pending-Cleared
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS R 1	LOS ANGELES, CA	3/26/2015	00733283-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS R6	LOS ANGELES, CA	3/26/2015	00733289-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS F 1	LOS ANGELES, CA	3/26/2015	00733280-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS B	LOS ANGELES, CA	3/26/2015	00733279-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS F 2	LOS ANGELES, CA	3/26/2015	00733282-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS R 2	LOS ANGELES, CA	3/26/2015	00733288-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS R 3	LOS ANGELES, CA	3/26/2015	00733284-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS R 4	LOS ANGELES, CA	3/26/2015	00733285-03	Registered

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Firm Name	Location	Date Received	File Number	Status
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS C	LOS ANGELES, CA	3/26/2015	00733281-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS R 2E	LOS ANGELES, CA	3/26/2015	00733286-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS R 5	LOS ANGELES, CA	3/26/2015	00733287-03	Registered
AMERICAN FUNDS TARGET DATE RETIREMENT SERIES AMERICAN FUNDS 2060 TARGET DATE RETIREMENT FUND CLASS A	LOS ANGELES, CA	3/26/2015	00733278-03	Registered
DREYFUS PREMIER INVESTMENT FUNDS INC DREYFUS GLOBAL INFRASTRUCTURE FUND CLASS A	NEW YORK, NY	3/26/2015	00733272-03	Registered
DREYFUS PREMIER INVESTMENT FUNDS INC DREYFUS GLOBAL INFRASTRUCTURE FUND CLASS Y	NEW YORK, NY	3/26/2015	00733275-03	Registered
DREYFUS PREMIER INVESTMENT FUNDS INC DREYFUS GLOBAL INFRASTRUCTURE FUND CLASS I	NEW YORK, NY	3/26/2015	00733273-03	Registered
DREYFUS PREMIER INVESTMENT FUNDS INC DREYFUS GLOBAL INFRASTRUCTURE FUND CLASS C	NEW YORK, NY	3/26/2015	00733274-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED FUND CLASS I	RADNOR, PA	3/26/2015	00733325-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD CORE EQUITY FUND CLASS I	RADNOR, PA	3/26/2015	00733321-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HARTFORD MUTUAL FUNDS INC HARTFORD CORE EQUITY FUND CLASS R6	RADNOR, PA	3/26/2015	00733316-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD SMALL MID CAP EQUITY FUND CLASS I	RADNOR, PA	3/26/2015	00733315-03	Registered
RIVERPARK FUNDS TR RIVERPARK FOCUSED VALUE FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	3/26/2015	00733276-03	Registered
RIVERPARK FUNDS TR RIVERPARK FOCUSED VALUE FUND RETAIL CLASS SHARES	NEW YORK, NY	3/26/2015	00733277-03	Registered
ADVISORS DISCIPLINED TR 1437 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 94	MONUMENT, CO	3/25/2015	00733415-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1298 DOW 10 PORTFOLIO SERIES 21	LISLE, IL	3/25/2015	00733414-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1298 GUGGENHEIM INTERNATIONAL DIVIDEND STRATEGY PORTFOLIO SERIES 27	LISLE, IL	3/25/2015	00733419-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1298 QUALITY DIVIDEND STRATEGY PORTFOLIO SERIES 12	LISLE, IL	3/25/2015	00733420-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1298 ZACKS RANK STRONG BUY 50 STRATEGY PORTFOLIO SERIES 3	LISLE, IL	3/25/2015	00733421-05	Registered
SIT MUTUAL FUNDS INC SIT SMALL CAP DIVIDEND GROWTH FUND CLASS S	MINNEAPOLIS, MN	3/25/2015	00733216-03	Pending-Cleared
SIT MUTUAL FUNDS INC SIT SMALL CAP DIVIDEND GROWTH FUND CLASS I	MINNEAPOLIS, MN	3/25/2015	00733215-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
TR FOR PROFESSIONAL MANAGERS MD SASS EQUITY INCOME PLUS FUND CLASS C SHARES	MILWAUKEE, WI	3/25/2015	00733413-03	Registered
TSC UITS 11 TSC DOW STRATEGIC 10 PORTFOLIO 2Q 2015	BOSTON, MA	3/25/2015	00733417-05	Registered
TSC UITS 11 TSC SABRIENT EARNINGS ADVANTAGE PORTFOLIO 2Q 2015	BOSTON, MA	3/25/2015	00733418-05	Registered
TSC UITS 11 TSC ZACKS DIVIDEND LEADERS PORTFOLIO 2Q 2015	BOSTON, MA	3/25/2015	00733416-05	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA MULTI ASSET INCOME FUND CLASS R4 SHARES	BOSTON, MA	3/24/2015	00733105-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA MULTI ASSET INCOME FUND CLASS Z SHARES	BOSTON, MA	3/24/2015	00733107-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA MULTI ASSET INCOME FUND CLASS C SHARES	BOSTON, MA	3/24/2015	00733108-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA MULTI ASSET INCOME FUND CLASS W SHARES	BOSTON, MA	3/24/2015	00733106-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA MULTI ASSET INCOME FUND CLASS A SHARES	BOSTON, MA	3/24/2015	00733114-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA MULTI ASSET INCOME FUND CLASS R5 SHARES	BOSTON, MA	3/24/2015	00733110-03	Registered

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Firm Name	Location	Date Received	File Number	Status
COLUMBIA FUNDS SERIES TR I COLUMBIA US SOCIAL BOND FUND CLASS C SHARES	BOSTON, MA	3/24/2015	00733112-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA US SOCIAL BOND FUND CLASS A SHARES	BOSTON, MA	3/24/2015	00733109-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA US SOCIAL BOND FUND CLASS Z SHARES	BOSTON, MA	3/24/2015	00733111-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA US SOCIAL BOND FUND CLASS R5 SHARES	BOSTON, MA	3/24/2015	00733115-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA US SOCIAL BOND FUND CLASS R4 SHARES	BOSTON, MA	3/24/2015	00733113-03	Registered
FT 5333 SABRIENT DEFENSIVE EQUITY PORTFOLIO SERIES 3	WHEATON, IL	3/24/2015	00733234-05	Registered
FT 5362 DIVIDEND OPPORTUNITY TR MARCH 2015 SERIES	WHEATON, IL	3/24/2015	00733236-05	Registered
FT 5404 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 47	WHEATON, IL	3/24/2015	00733235-05	Registered
FT 5409 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES EUROPE OPPORTUNITIES PORTFOLIO 2015 1	WHEATON, IL	3/24/2015	00733233-05	Registered
HANCOCK JOHN BOND TR HANCOCK JOHN INVESTMENT GRADE BOND FUND CLASS R2	BOSTON, MA	3/24/2015	00733117-03	Registered
HANCOCK JOHN BOND TR HANCOCK JOHN INVESTMENT GRADE BOND FUND CLASS R4	BOSTON, MA	3/24/2015	00733118-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN BOND TR HANCOCK JOHN INVESTMENT GRADE BOND FUND CLASS R6	BOSTON, MA	3/24/2015	00733119-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN ABSOLUTE RETURN CURRENCY FUND CLASS R4	BOSTON, MA	3/24/2015	00733141-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN ABSOLUTE RETURN CURRENCY FUND CLASS R2	BOSTON, MA	3/24/2015	00733139-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN EMERGING MARKETS DEBT FUND CLASS R6	BOSTON, MA	3/24/2015	00733142-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN EMERGING MARKETS DEBT FUND CLASS R2	BOSTON, MA	3/24/2015	00733151-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN EMERGING MARKETS DEBT FUND CLASS R4	BOSTON, MA	3/24/2015	00733140-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDAMENTAL ALL CAP CORE FUND CLASS R2	BOSTON, MA	3/24/2015	00733157-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDAMENTAL ALL CAP CORE FUND CLASS R4	BOSTON, MA	3/24/2015	00733143-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDAMENTAL ALL CAP CORE FUND CLASS R6	BOSTON, MA	3/24/2015	00733153-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDAMENTAL LARGE CAP VALUE FUND CLASS R6	BOSTON, MA	3/24/2015	00733147-03	Registered

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Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDAMENTAL LARGE CAP VALUE FUND CLASS R2	BOSTON, MA	3/24/2015	00733145-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDAMENTAL LARGE CAP VALUE FUND CLASS R4	BOSTON, MA	3/24/2015	00733161-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 BLUE CHIP GROWTH FUND CLASS A	BOSTON, MA	3/24/2015	00733160-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 BLUE CHIP GROWTH FUND CLASS C	BOSTON, MA	3/24/2015	00733162-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 EQUITY INCOME FUND CLASS C	BOSTON, MA	3/24/2015	00733158-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 EQUITY INCOME FUND CLASS A	BOSTON, MA	3/24/2015	00733156-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 GLOBAL EQUITY FUND CLASS R6	BOSTON, MA	3/24/2015	00733150-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 GLOBAL EQUITY FUND CLASS R4	BOSTON, MA	3/24/2015	00733148-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 GLOBAL EQUITY FUND CLASS R2	BOSTON, MA	3/24/2015	00733146-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 GLOBAL EQUITY FUND CLASS C	BOSTON, MA	3/24/2015	00733144-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 RETIREMENT LIVING THROUGH 2055 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733132-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 SPECTRUM INCOME FUND CLASS C	BOSTON, MA	3/24/2015	00733154-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 SPECTRUM INCOME FUND CLASS A	BOSTON, MA	3/24/2015	00733152-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2015 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733124-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2045 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733128-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2050 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733130-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2035 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733129-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2040 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733131-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2020 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733125-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2030 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733127-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2025 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733126-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN RETIREMENT LIVING THROUGH 2010 PORTFOLIO CLASS I	BOSTON, MA	3/24/2015	00733123-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN SHORT DURATION CREDIT OPPORTUNITIES FUND CLASS R4	BOSTON, MA	3/24/2015	00733149-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN SHORT DURATION CREDIT OPPORTUNITIES FUND CLASS R2	BOSTON, MA	3/24/2015	00733155-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN SHORT DURATION CREDIT OPPORTUNITIES FUND CLASS R6	BOSTON, MA	3/24/2015	00733159-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN CORE HIGH YIELD FUND CLASS R6	BOSTON, MA	3/24/2015	00733138-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN CORE HIGH YIELD FUND CLASS R2	BOSTON, MA	3/24/2015	00733136-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN CORE HIGH YIELD FUND CLASS R4	BOSTON, MA	3/24/2015	00733137-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN STRATEGIC GROWTH FUND CLASS R4	BOSTON, MA	3/24/2015	00733121-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN STRATEGIC GROWTH FUND CLASS R6	BOSTON, MA	3/24/2015	00733122-03	Registered
HANCOCK JOHN FUNDS 3 HANCOCK JOHN STRATEGIC GROWTH FUND CLASS R2	BOSTON, MA	3/24/2015	00733120-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HANCOCK JOHN FUNDS 3 INTERNATIONAL GROWTH FUND CLASS R2	BOSTON, MA	3/24/2015	00733133-03	Registered
HANCOCK JOHN FUNDS 3 INTERNATIONAL GROWTH FUND CLASS R4	BOSTON, MA	3/24/2015	00733134-03	Registered
HANCOCK JOHN FUNDS 3 INTERNATIONAL GROWTH FUND CLASS R6	BOSTON, MA	3/24/2015	00733135-03	Registered
HANCOCK JOHN SOVEREIGN BOND FUND HANCOCK JOHN BOND FUND CLASS R4	BOSTON, MA	3/24/2015	00733116-03	Pending-Cleared
FRONTIER FUNDS FRONTIER MFG GLOBAL PLUS FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	3/23/2015	00733166-03	Registered
JPMORGAN TR I JPMORGAN EMERGING MARKETS EQUITY INCOME FUND CLASS A SHARES	NEW YORK, NY	3/23/2015	00733220-03	Registered
JPMORGAN TR I JPMORGAN EMERGING MARKETS EQUITY INCOME FUND SELECT CLASS SHARES	NEW YORK, NY	3/23/2015	00733224-03	Registered
JPMORGAN TR I JPMORGAN EMERGING MARKETS EQUITY INCOME FUND CLASS R6 SHARES	NEW YORK, NY	3/23/2015	00733223-03	Registered
JPMORGAN TR I JPMORGAN EMERGING MARKETS EQUITY INCOME FUND CLASS R5 SHARES	NEW YORK, NY	3/23/2015	00733222-03	Registered
JPMORGAN TR I JPMORGAN EMERGING MARKETS EQUITY INCOME FUND CLASS C SHARES	NEW YORK, NY	3/23/2015	00733221-03	Registered

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NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN LONG SHORT CREDIT FUND CLASS C	NEW YORK, NY	3/23/2015	00733225-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN LONG SHORT CREDIT FUND INSTITUTIONAL CLASS	NEW YORK, NY	3/23/2015	00733226-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN LONG SHORT CREDIT FUND CLASS R6	NEW YORK, NY	3/23/2015	00733227-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN LONG SHORT CREDIT FUND CLASS A	NEW YORK, NY	3/23/2015	00733219-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN MULTI ASSET INCOME FUND CLASS R6	NEW YORK, NY	3/23/2015	00733231-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN MULTI ASSET INCOME FUND CLASS A	NEW YORK, NY	3/23/2015	00733228-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN MULTI ASSET INCOME FUND CLASS C	NEW YORK, NY	3/23/2015	00733230-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN MULTI ASSET INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	3/23/2015	00733229-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS ROTHSCHILD US LARGE CAP CORE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/23/2015	00733165-03	Registered

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Firm Name	Location	Date Received	File Number	Status
VIRTUS OPPORTUNITIES TR VIRTUS ESSENTIAL RESOURCES FUND CLASS C	GREENFIELD, MA	3/23/2015	00733094-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS ESSENTIAL RESOURCES FUND CLASS I	GREENFIELD, MA	3/23/2015	00733095-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS ESSENTIAL RESOURCES FUND CLASS A	GREENFIELD, MA	3/23/2015	00733093-03	Registered
Number of Investment Company Filings: 127				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HEALTHFINCH INC	MADISON, WI	4/2/2015	00733454-07	Filed
KEYSTONE SOLAR PARTNERS LLC	SAN RAMON, CA	4/2/2015	00733456-07	Filed
RCS CAPITAL CORP	NEW YORK, NY	4/2/2015	00733457-07	Filed
WHEELER REAL ESTATE INVESTMENT TR INC	VIRGINIA BCH, VA	4/2/2015	00733455-07	Filed
BROOKWOOD CB INVESTORS LLC	BEVERLY, MA	3/27/2015	00733332-07	Filed
CROWLEY FIELD DEVELOPMENT JOINT VENTURE	SAN ANTONIO, TX	3/27/2015	00733329-07	Filed
LEONARD MERON BIOSCIENCES INC	CRANFORD, NJ	3/27/2015	00733326-07	Filed
LITTLE HARBOR ADVISORS LLC	MARBLEHEAD, MA	3/27/2015	00733330-07	Filed
PEARL STREET TECHNOLOGY FINANCE 1 ONSHORE LP	BOULDER, CO	3/27/2015	00733331-07	Filed
SYNSEL ENERGY	ELMHURST, IL	3/27/2015	00733328-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
YOUMAIL INC	IRVINE, CA	3/27/2015	00733327-07	Filed
ACP POWER & ENERGY 6 REAL ASSET TR	NEW YORK, NY	3/26/2015	00733397-07	Filed
BIONIK LABORATORIES CORP	TORONTO, ON	3/26/2015	00733395-07	Filed
BREVET DIRECT LENDING SHORT DURATION FUND LP	NEW YORK, NY	3/26/2015	00733400-07	Filed
BROADMARK REAL ESTATE LENDING FUND 2 LLC	SEATTLE, WA	3/26/2015	00733394-07	Filed
FMB EQUIBANC INC	STATESBORO, GA	3/26/2015	00733423-07	Filed
GEMINI HEALTH LLC	SAUSALITO, CA	3/26/2015	00733396-07	Filed
INTUITIVE CALIENT 3 LLC	LAKE COMO, NJ	3/26/2015	00733399-07	Filed
SOMETHING ROTTEN LTD LIABILITY CO	NEW YORK, NY	3/26/2015	00733313-07	Filed
GUARDIENT MARCO FUND LP	SARASOTA, FL	3/25/2015	00733422-07	Filed
BUILDING ENERGY INC	PORTLAND, OR	3/24/2015	00733239-07	Filed
GOLDEN CACAO INC	CENTENNIAL, CO	3/24/2015	00733237-07	Filed
REGAL RESOURCES LTD	PERTH, AA	3/24/2015	00733411-07	Filed
ZSPACE INC	SUNNYVALE, CA	3/24/2015	00733240-07	Filed
NEC APPLIED FIBER HOLDINGS LLC	LITTLETON, CO	3/23/2015	00733217-07	Filed
OA CAPITAL PARTNERS LP	AFTON, WY	3/23/2015	00733218-07	Filed
Number of Regulation D Notice Filings: 26				

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Best In Class Education Center, LLC / Best In Class Education Center	Mercer Island, WA	4/3/2015	609181	Registered
NEW HORIZONS FRANCHISING GROUP INC / NEW HORIZONS COMPUTER LEARNING CENTERS; NEW HORIZONS	ANAHEIM, CA	4/3/2015	609177	Registered
Regal Nails, Salon & Spa, LLC / Regal Nails Salon & Spa	Baton Rouge, LA	4/3/2015	609180	Registered
Fish Window Cleaning Services, Inc. / FISH WINDOW CLEANING	Manchester, MO	4/3/2015	609163	Registered
7-Eleven, Inc.	Dallas, TX	4/3/2015	609162	Registered
RAPREC FRANCHISING INC / Rapid Recovery	PEORIA, AZ	4/3/2015	609161	Registered
LAWN DOCTOR, INC. / Lawn Doctor	HOLMDEL, NJ	4/3/2015	609160	Registered
Rainbow International LLC / Rainbow International	Waco, TX	4/3/2015	609159	Registered
Mr. Rooter LLC / Mr. Rooter	Waco, TX	4/3/2015	609158	Registered
Mr. Electric LLC / Mr. Electric	Waco, TX	4/3/2015	609117	Registered
Two Men and a Truck/International, Inc. / Two Men and a Truck	Lansing, MI	4/3/2015	609157	Registered
Device Pitstop, LLC / Device Pitstop	Minnetonka, MN	4/3/2015	609170	Registered
FLOORCOVERINGS INTERNATIONAL LTD / FCI	Norcross, GA	4/3/2015	609175	Registered
GAC FRANCHISING, LLC / Great American Cookies	NORCROSS, GA	4/3/2015	609176	Registered
Go Mini's Franchising, LLC / Go Mini's	Simi Valley, CA	4/3/2015	609179	Registered
HUNGRY HOWIES PIZZA & SUBS INC / Hungry Howie's Pizza & Subs, Inc.	MADISON HEIGHTS, MI	4/3/2015	609178	Registered
KFC CORP / Express	LOUISVILLE, KY	4/3/2015	609165	Registered
KFC CORP / Kentucky Fried Chicken	LOUISVILLE, KY	4/3/2015	609166	Registered
TapSnap Ventures, Inc. / TapSnap	North Vancouver, BC	4/3/2015	609164	Registered
ZAGG Retail Inc.	Salt Lake City, UT	4/2/2015	609156	Registered
Just Between Friends Franchise System Inc. / Just Between Friends	Broken Arrow, OK	4/2/2015	609151	Registered
Pro Martial Arts Franchise Corporation / Pro Martial Arts	King of Prussia, PA	4/2/2015	609136	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
9Round Franchising, LLC / 9Round	Greenville, SC	4/2/2015	609127	Registered
GuestHouse International, L.L.C. / Settle Inn and Settle Inn & Suites	Hendersonville, TN	4/2/2015	609123	Registered
The HomeTeam Inspection Service, Inc. / The HomeTeam Inspection Service	Milford, OH	4/2/2015	609122	Registered
GuestHouse International, L.L.C.	Hendersonville, TN	4/2/2015	609121	Registered
Camp Bow Wow Franchising, Inc. / "Camp Bow Wow" "Behavior Buddies" "Home Buddies by Camp Bow Wow"	Broomfield, CO	4/2/2015	609120	Registered
Bowl of Heaven Franchise Group LLC / Bowl of Heaven	Rancho Santa Margarita, CA	4/2/2015	609119	Registered
Money Mailer Franchise Corp. / Money Mailer	Garden Grove, CA	4/2/2015	609118	Registered
Archadeck Franchising Corporation / Archadeck	Richmond, VA	4/2/2015	609169	Registered
COLLEGE PRO PAINTERS (U.S.) LTD	WOBURN, MA	4/2/2015	609172	Registered
College Pro Window Cleaning, Inc. / College Pro Window Cleaning	Woburn, MA	4/2/2015	609174	Registered
Enviro-Master International Franchise, LLC / Enviro-Master International Franchise, LLC	Charlotte, NC	4/2/2015	609171	Registered
FRESHII DEVELOPMENT LLC / Freshii	Chicago, IL	4/2/2015	609167	Registered
ORIGINAL PANCAKE HOUSE FRANCHISING INC / The Original Pancake House	PORTLAND, OR	4/2/2015	609173	Registered
Red Roof Franchising, LLC / Red Roof Inn	Columbus, OH	4/2/2015	609168	Registered
Mr. Appliance LLC / Mr. Appliance	Waco, TX	4/1/2015	609116	Registered
Synergistic International LLC d/b/a Glass Doctor / Glass Doctor	Waco, TX	4/1/2015	609115	Registered
The Grounds Guys LLC / The Grounds Guys	Waco, TX	4/1/2015	609114	Registered
Five Star Painting, Inc. / Five Star Panting	Waco, TX	4/1/2015	609113	Registered
Aire Serv LLC / Aire Serv	Waco, TX	4/1/2015	609112	Registered
SCHLOTZSKY'S FRANCHISE LLC / Schlotzsky's	Atlanta, GA	4/1/2015	609111	Registered
Auntie Anne's, Inc. / Auntie Anne's	Lancaster, PA	4/1/2015	609110	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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McAlister's Corporation / McAlister's Deli	Atlanta, GA	4/1/2015	609109	Registered
MOE'S FRANCHISOR LLC / MOE'S SOUTHWEST GRILL	ATLANTA, GA	4/1/2015	609108	Registered
Cinnabon, Inc. / Cinnabon	Atlanta, GA	4/1/2015	609107	Registered
Carvel Corporation / Carvel	Atlanta, GA	4/1/2015	609106	Registered
Planet Beach Franchising Corporation / Planet Beach	Marrero, LA	4/1/2015	609105	Registered
Transworld Business Advisors, LLC / Transworld	West Palm Beach, FL	4/1/2015	609104	Registered
ALLOVER MEDIA FRANCHISING LLC / AllOver Media	Plymouth, MN	4/1/2015	609103	Registered
Crunch Franchising, LLC / CRUNCH	Carlsbad, CA	4/1/2015	609102	Registered
Franchise Concepts, Inc. / Deck The Walls	St. Peters, MO	4/1/2015	609101	Registered
Franchise Concepts, Inc. / The Great Frame Up	St. Peters, MO	4/1/2015	609100	Registered
Sport Clips, Inc. / Sport Clips Single Unit	Georgetown, TX	4/1/2015	609099	Registered
Sweet CeCe's Franchising, LLC / Sweet CeCe's	Nashville, TN	4/1/2015	609097	Registered
YogaFit Franchising, LLC / YogaFit Studio	Chanhassen, MN	4/1/2015	609096	Registered
Snap Fitness, Inc. / Snap Fitness	Chanhassen, MN	4/1/2015	609085	Registered
MOLLY MAID INC / Molly Maid	ANN ARBOR, MI	4/1/2015	609078	Registered
Rita's Water Ice Franchise Company, LLC / Rita's Water Ice Franchise Company and Rita's Ice-Custard Happiness	Trevose, PA	4/1/2015	609082	Registered
ACFN FRANCHISED INC / ACFN	SAN JOSE, CA	4/1/2015	609126	Registered
BAYMONT FRANCHISE SYSTEMS INC / Baymont Inn & Suites	PARSIPPANY, NJ	4/1/2015	609141	Registered
CHECKERS DRIVE IN RESTAURANTS INC / Checkers Restaurants	TAMPA, FL	4/1/2015	609134	Registered
DAYS INNS WORLDWIDE INC / Days Inn, Days Hotel and Days Inn & Suites	PARSIPPANY, NJ	4/1/2015	609135	Registered
ESCAPE ENTERPRISES LTD / Steak Escape	COLUMBUS, OH	4/1/2015	609130	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
HAWTHORN SUITES FRANCHISING INC / Hawthorn Suites by Wyndham, Hawthorn Suites Hotels and Hawthorn Suites	Parsippany, NJ	4/1/2015	609132	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Crowne Plaza Hotels & Resorts	ATLANTA, GA	4/1/2015	609138	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Staybridge Suites	ATLANTA, GA	4/1/2015	609146	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Candlewood Suites	ATLANTA, GA	4/1/2015	609147	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Holiday Inn; Holiday Inn & Suites; Holiday Inn Express; Holiday Inn Express & Suites; Holiday Inn Resort	ATLANTA, GA	4/1/2015	609148	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Hotel Indigo	ATLANTA, GA	4/1/2015	609149	Registered
HOLIDAY HOSPITALITY FRANCHISING INC / Intercontinental Hotels & Resorts	ATLANTA, GA	4/1/2015	609150	Registered
Holiday Hospitality Franchising, LLC / EVEN Hotels	Atlanta, GA	4/1/2015	609152	Registered
HOWARD JOHNSON INTERNATIONAL INC / Howard Johnson Inn, Express In, Hotel, Plaza Hotel	PARSIPPANY, NJ	4/1/2015	609142	Registered
KNIGHTS FRANCHISE SYSTEMS INC / Knights Inn & Suites	PARSIPPANY, NJ	4/1/2015	609144	Registered
LABOR FINDERS INTERNATIONAL INC / Labor Finders	PALM BEACH GARDENS, FL	4/1/2015	609129	Registered
LITTLE CAESAR ENTERPRISES INC / Little Caesars	DETROIT, MI	4/1/2015	609128	Registered
MICROTEL INNS & SUITES FRANCHISING INC / Microtel Inn & Suites by Wyndham	Parsippany, NJ	4/1/2015	609139	Registered
RAMADA WORLDWIDE INC / Ramada Plaza Hotel	PARSIPPANY, NJ	4/1/2015	609131	Registered
SUPER 8 Worldwide, Inc. / Super 8 Motels, Super Suites and Super 8 Hotels	PARSIPPANY, NJ	4/1/2015	609137	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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SUPERAMERICA FRANCHISING LLC / SuperAmerica	Woodbury, MN	4/1/2015	609124	Registered
TRAVEL LEADERS FRANCHISE GROUP LLC / RESULTS TRAVEL	Plymouth, MN	4/1/2015	609155	Registered
TRAVEL LEADERS FRANCHISE GROUP LLC / Travel Leaders	Plymouth, MN	4/1/2015	609153	Registered
Travel Leaders Franchise Group, LLC / Travel Leaders New Owner Conversion	Plymouth, MN	4/1/2015	609154	Registered
TRAVELODGE HOTELS INC / Travelodge, Travelodge Hotel, Travelodge Inn, Travelodge Suites Hotel and Travelodge Inn & Suites	PARSIPPANY, NJ	4/1/2015	609140	Registered
TRYP Hotels Worldwide, Inc. / TRYP by Wyndham	PARSIPPANY, NJ	4/1/2015	609133	Registered
WINGATE INNS INTERNATIONAL INC / Wingate Inn and Wingate by Wyndham	PARSIPPANY, NJ	4/1/2015	609145	Registered
Wyndham Hotel and Resorts, LLC / Wyndham Hotel, Resort, Grand Hotel, Garden Hotel	Parsippany, NJ	4/1/2015	609143	Registered
YESCO Franchising, LLC / YESCO	Salt Lake City, UT	4/1/2015	609125	Registered
Capriotti's Sandwich Shop, Inc. / Capriotti's Sandwich Shop	Las Vegas, NV	3/31/2015	609046	Registered
Harris Research, Inc. / Chem-Dry	Nashville, TN	3/31/2015	609043	Registered
FASTRACKIDS INTERNATIONAL LTD / Individual Franchises/Enrichment Center	GREENWOOD VILLAGE, CO	3/31/2015	609042	Registered
Handyman Matters Franchise Corporation / Handyman Matters	Lakewood, CO	3/31/2015	609041	Registered
CRESTCOM INTERNATIONAL LLC / Area Representative	GREENWOOD VILLAGE, CO	3/31/2015	609040	Registered
CRESTCOM INTERNATIONAL LLC / Executive Program	GREENWOOD VILLAGE, CO	3/31/2015	609039	Registered
Oxi Fresh Franchising Co., Inc. / Oxi Fresh Carpet Cleaning	Lakewood, CO	3/31/2015	609038	Registered
QFA ROYALTIES LLC / Quiznos and Quiznos Sub	DENVER, CO	3/31/2015	609037	Registered
Smart Cow Franchising, LLC / Smart Cow Yogurt Bar	Denver, CO	3/31/2015	609036	Registered
BRIGHTSTAR FRANCHISING LLC / BrightStar	Gurnee, IL	3/31/2015	609035	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Sign*A*Rama Inc. / Signarama	West Palm Beach, FL	3/31/2015	609032	Registered
Deli Management, Inc. / Jason's Deli	Beaumont, TX	3/31/2015	609031	Registered
United States Seamless, Inc. / United States Seamless	Fargo, ND	3/31/2015	609030	Registered
Arby's Restaurant Group, Inc. / Arby's	Atlanta, GA	3/31/2015	609028	Registered
PuroSystems, Inc. / PuroClean	Tamarac, FL	3/31/2015	609027	Registered
UNISHIPPERS GLOBAL LOGISTICS LLC / Unishippers	SALT LAKE CITY, UT	3/31/2015	609026	Registered
Salon Professional Education Company, LLC / SPEC	Fargo, ND	3/31/2015	609025	Registered
Oasis Senior Advisors Franchise Systems, LLC / Oasis Senior Advisors	Columbia, MD	3/31/2015	609024	Registered
The Intelligent Office System, LLC	Boulder, CO	3/31/2015	609023	Registered
EWC Franchise, LLC / European Wax Center - Unit	Hallandale Beach, FL	3/31/2015	609022	Registered
The Beef Jerky Outlet Franchise, Inc. / Beef Jerky Outlet	Seymour, TN	3/31/2015	609021	Registered
ANYTIME FITNESS, LLC / Anytime Fitness, Anytime Fitness Express	HASTINGS, MN	3/31/2015	609020	Registered
Waxing the City Worldwide, LLC / Waxing the City	Hastings, MN	3/31/2015	609010	Registered
TIM HORTONS USA INC. / Tim Hortons Operator Franchise Program	DUBLIN, OH	3/31/2015	609008	Registered
Westin Hotel Management, L.P. / Westin	Stamford, CT	3/31/2015	609009	Registered
TIM HORTONS USA INC. / Tim Hortons Traditional Franchise Program	DUBLIN, OH	3/31/2015	609006	Registered
The Sheraton LLC / Sheraton	Stamford, CT	3/31/2015	609007	Registered
The Sheraton LLC / The Luxury Collection	Stamford, CT	3/31/2015	609005	Registered
NOVUS FRANCHISING, INC. / Novus, Novus Glass	St. Paul, MN	3/31/2015	609004	Registered
Starwood (M) International, Inc. / Le Meridien	Stamford, CT	3/31/2015	609003	Registered
Radisson Hotels International, Inc. / Radisson Blu	Minneapolis, MN	3/31/2015	609002	Registered
The Sheraton LLC / Four Points	Stamford, CT	3/31/2015	609001	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Westin Hotel Management, L.P. / Element	Stamford, CT	3/31/2015	609000	Registered
The Sheraton LLC / Aloft	Stamford, CT	3/31/2015	608999	Registered
All About People Franchise Services, LLC / All About People	Phoenix, AZ	3/31/2015	609093	Registered
Allen Carr's Easyway (US) Ltd. / Allen Carr's Easyway	London,	3/31/2015	609033	Registered
AmeriCop Franchising, Inc. / AmeriCop	Katy, TX	3/31/2015	609064	Registered
BATTERIES PLUS LLC / Batteries Plus Bulbs	HARTLAND, WI	3/31/2015	609073	Registered
BETTER HOMES & GARDENS REAL ESTATE LLC / Better Homes and Gardens Real Estate	Madison, NJ	3/31/2015	609058	Registered
BYRIDER FRANCHISING LLC / J.D. Byrider and CNAC (CarNow Acceptance Co.)	CARMEL, IN	3/31/2015	609060	Registered
California Closet Company, Inc. / California Closets	Berkeley, CA	3/31/2015	609095	Registered
CENTURY 21 REAL ESTATE LLC / Century 21 Real Estate	Madison, NJ	3/31/2015	609052	Registered
CHOICE HOTELS INTERNATIONAL INC / Comfort Inn; Comfort Inn & Suites; Comfort Suites	Rockville, MD	3/31/2015	609063	Registered
CHOICE HOTELS INTERNATIONAL INC / Sleep Inn; Sleep Inn & Suites	Rockville, MD	3/31/2015	609047	Registered
CHOICE HOTELS INTERNATIONAL INC / Clarion Inn; Clarion Inn & Suites; Clarion Hotel; Clarion Suites; Clarion Resort	Rockville, MD	3/31/2015	609048	Registered
CHOICE HOTELS INTERNATIONAL INC / Rodeway Inn; Rodeway Inn & Suites	Rockville, MD	3/31/2015	609054	Registered
CHOICE HOTELS INTERNATIONAL INC / Suburban Extended Stay	Rockville, MD	3/31/2015	609056	Registered
CHOICE HOTELS INTERNATIONAL INC / Ascend Collection	Rockville, MD	3/31/2015	609074	Registered
CHOICE HOTELS INTERNATIONAL INC / Quality Inn; Quality Inn & Suites; Quality Suites; Quality Hotel; Quality Resort	Rockville, MD	3/31/2015	609067	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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CHOICE HOTELS INTERNATIONAL INC / Cambria Hotels & Suites	Rockville, MD	3/31/2015	609068	Registered
CHOICE HOTELS INTERNATIONAL INC / MainStay Suites	Rockville, MD	3/31/2015	609069	Registered
CHOICE HOTELS INTERNATIONAL INC / Econo Lodge	Rockville, MD	3/31/2015	609066	Registered
Coastal Angler Magazine Franchising Inc / Coastal Angler	Indian Harbour Beach, FL	3/31/2015	609062	Registered
COLDWELL BANKER REAL ESTATE LLC / Coldwell Banker Commercial	Madison, NJ	3/31/2015	609059	Registered
COLDWELL BANKER REAL ESTATE LLC / Coldwell Banker Real Estate	Madison, NJ	3/31/2015	609071	Registered
Decorating Den Systems, Inc. / Decorating Den Interiors	Easton, MD	3/31/2015	609079	Registered
ERA FRANCHISE SYSTEMS LLC / ERA	Madison, NJ	3/31/2015	609057	Registered
FRESHWAY FOOD SYSTEMS INC / Freshway Food Systems; Gladiator Pizza; Emily's Chicken and Spuds	SIOUX FALLS, SD	3/31/2015	609080	Registered
Goddard Systems, Inc. / The Goddard School	King of Prussia, PA	3/31/2015	609081	Registered
JAZZERCISE INC / Jazzercise	CARLSBAD, CA	3/31/2015	609049	Registered
KILWINS CHOCOLATES FRANCHISE INC / Kilwins	PETOSKY, MI	3/31/2015	609055	Registered
LA QUINTA FRANCHISING LLC / La Quinta Inn, La Quinta Inn & Suites	IRVING, TX	3/31/2015	609050	Registered
Patrice & Associates Franchising, Inc. / Patrice & Associates - Regional Development Program	Dunkirk, MD	3/31/2015	609065	Registered
PAUL DAVIS RESTORATION INC / Paul Davis Emergency Services	JACKSONVILLE, FL	3/31/2015	609092	Registered
PAUL DAVIS RESTORATION INC / Paul Davis Restoration	JACKSONVILLE, FL	3/31/2015	609091	Registered
PIZZA RANCH INC / Pizza Ranch	ORANGE CITY, IA	3/31/2015	609053	Registered
SOTHEBYS INTERNATIONAL REALTY AFFILIATES LLC / Sotheby's International Realty Affiliates	Madison, NJ	3/31/2015	609051	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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SPERRY VAN NESS INTERNATIONAL CORP / Sperry Van Ness	Boston, MA	3/31/2015	609061	Registered
SYSTEMFORWARD AMERICA, INC / Pop-A-Lock	LAFAYETTE, LA	3/31/2015	609072	Registered
Watermill Express Franchising, LLC / Watermill Express	Brighton, CO	3/30/2015	608997	Registered
ADVENTURES IN ADVERTISING FRANCHISE LLC	NEENAH, WI	3/30/2015	608996	Registered
HYATT PLACE FRANCHISING LLC / Hyatt Place Hotels	CHICAGO, IL	3/30/2015	608995	Registered
Hyatt House Franchising, LLC / Hyatt House Hotels	CHICAGO, IL	3/30/2015	608994	Registered
HYATT FRANCHISING LLC / Hyatt Regency	CHICAGO, IL	3/30/2015	608993	Registered
Hyatt Franchising, L.L.C. / Hyatt Centric	Chicago, IL	3/30/2015	608992	Registered
Property Management Incorporated Franchise, LLC / Property Management Inc.	Lehi, UT	3/30/2015	608991	Registered
Pandora Franchising, LLC / Pandora	Baltimore, MD	3/30/2015	608990	Registered
Miracle-Ear, Inc.	Plymouth, MN	3/30/2015	608989	Registered
TeamLogic, Inc. / TeamLogicIT	Mission Viejo, CA	3/30/2015	608988	Registered
Taco John's International, Inc. / Taco John's	Cheyenne, WY	3/30/2015	608987	Registered
Charles Schwab & Co., Inc. / Charles Schwab	San Francisco, CA	3/30/2015	608985	Registered
PrideStaff, Inc. / PrideStaff® and PrideStaff Financial®	FRESNO, CA	3/30/2015	608986	Registered
American Leak Detection, Inc.	Palm Springs, CA	3/30/2015	608984	Registered
InXpress LLC	Sandy, UT	3/30/2015	608978	Registered
THE CLEANING AUTHORITY LLC	COLUMBIA, MD	3/30/2015	608977	Registered
COIT SERVICES INC / COIT Services	BURLINGAME, CA	3/30/2015	608976	Registered
Culture of Crust LLC / The Roman Candle Pizza	Madison, WI	3/30/2015	608975	Registered
ProTect Painters International LLC / ProTect Painters	ANN ARBOR, MI	3/30/2015	608974	Registered
ROCKIN' JUMP FRANCHISE, LLC / ROCKIN' JUMP	Pleasanton, CA	3/30/2015	608973	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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WaterWalk Franchise Services LLC / WaterWalk Hotel Apartments	Wichita, KS	3/30/2015	608957	Registered
FITNESS TOGETHER FRANCHISE CORP / Unit Franchise Program	Highlands Ranch, CO	3/30/2015	608961	Registered
ELEMENTS THERAPEUTIC MASSAGE INC / Unit Franchise Program	HIGHLANDS RANCH, CO	3/30/2015	608959	Registered
Fit 36, Inc. / Fit 36 (Unit Program)	Highlands Ranch, CA	3/30/2015	608955	Registered
STC Franchising, LLC / Sun Tan City	Elizabethtown, KY	3/30/2015	608948	Registered
Postal Instant Press, Inc. / PIP MARKETING SIGNS PRINT	Mission Viejo, CA	3/30/2015	608947	Registered
POPEYES LOUISIANA KITCHEN, INC / POPEYES LOUISIANA KITCHEN	ATLANTA, GA	3/30/2015	608946	Registered
Swimtastic Corpoation / Swimtastic Swim School	Waukesha, WI	3/30/2015	608945	Registered
World Inspection Network International, Inc. / WIN Home Inspection	Madison, AL	3/30/2015	608943	Registered
Sir Speedy, Inc. / Sir Speedy Printing	Mission Viejo, CA	3/30/2015	608944	Registered
Servpro Industries, Inc.	Gallatin, TN	3/30/2015	608942	Registered
BRIDGESTONE BANDAG, LLC / BANDAG	Nashville, TN	3/30/2015	608940	Registered
Red's Savoy Franchise, LLC / The Original Red's Savoy Pizza	Minneapolis, MN	3/30/2015	608939	Registered
BASKIN ROBBINS FRANCHISING LLC / Baskin-Robbins	CANTON, MA	3/30/2015	609087	Registered
DREAM DINNERS INC / Dream Dinners	SNOHOMISH, WA	3/30/2015	609076	Registered
DUNKIN DONUTS FRANCHISING LLC / Dunkin' Donuts	CANTON, MA	3/30/2015	609086	Registered
Famous Brands Franchising, LLC / Mrs. Fields Cookie Store and/or TCBY Store	Broomfield, CO	3/30/2015	609083	Registered
Hilton Franchise Holding LLC / Canopy and Canopy by Hilton	McLean, VA	3/30/2015	608981	Registered
Hilton Franchise Holding, LLC / Home2 Suites by Hilton	McLean, VA	3/30/2015	608982	Registered
Hilton Franchise Holding, LLC / Waldorf Astoria Hotels & Resorts	McLean, VA	3/30/2015	608980	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Hilton Franchise Holding, LLC / Hilton Hotels & Resorts	McLean, VA	3/30/2015	609014	Registered
Hilton Franchise Holding, LLC / Hilton Garden Inn	McLean, VA	3/30/2015	609015	Registered
Hilton Franchise Holding, LLC / Hampton Inn; Hampton Inn and Suites	McLean, VA	3/30/2015	609019	Registered
Hilton Franchise Holding, LLC / Embassy Suites Hotels	McLean, VA	3/30/2015	609017	Registered
Hilton Franchise Holding, LLC / Conrad; Conrad Hotels and Resorts	McLean, VA	3/30/2015	609018	Registered
Hilton Franchise Holding, LLC / DoubleTree by Hilton	McLean, VA	3/30/2015	609016	Registered
Hilton Worldwide Holdings, Inc. / Curio - A Collection by Hilton	McLean, VA	3/30/2015	609077	Registered
HOMEWOOD SUITES FRANCHISE LLC / Homewood Suites by Hilton	McLean, VA	3/30/2015	608979	Registered
IHOP Franchisor, LLC / IHOP (Non-traditional)	Glendale, CA	3/30/2015	609090	Registered
Image Marketing Enterprises, LLC / John Casablancas Modeling & Career Center	Chesterfield, MO	3/30/2015	609075	Registered
International House Of Pancakes, LLC / IHOP (Traditional)	GLENDALE, CA	3/30/2015	609089	Registered
Pop Franchise LLC / Popcornopolis	Segundo, CA	3/30/2015	609011	Registered
TACO BELL CORP / Taco Bell; Taco Bell Express	IRVINE, CA	3/30/2015	609013	Registered
TACO BELL CORP. / Taco Bell; Taco Bell Express	IRVINE, CA	3/30/2015	609012	Registered
TRIUNE, LLC / Baja Fresh	Irvine, CA	3/30/2015	609070	Registered
Wendy's International, LLC / Wendy's and Wendy's Old Fashioned Hamburgers	DUBLIN, OH	3/30/2015	609084	Registered
Worldwide Refinishing Systems, Inc. / DreamMaker Bath & Kitchen by Worldwide	Waco, TX	3/29/2015	608937	Registered
Right at Home, Inc. / RIGHT AT HOME	Omaha, NE	3/28/2015	608936	Registered
Junk King Franchise Systems, Inc. / Junk King	San Carlos, CA	3/28/2015	608935	Registered
Tailored Living, LLC / Tailored Living	Orange, CA	3/27/2015	608934	Registered

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PANERA, LLC / PANERA BREAD BAKERY-CAFE	St. Louis, MO	3/27/2015	608931	Registered
Sign & Graphics Operations LLC / Image360/Signs By Tomorrow	Columbia, MD	3/27/2015	608930	Registered
COUSINS SUBS SYSTEMS INC / Cousins Subs Shop	MENOMONEE FALLS, WI	3/27/2015	608929	Registered
FRSTeam, Inc. / FRSTeam and Fabric Restoration Service Team	HAYWARD, CA	3/27/2015	608928	Registered
RELAX THE BACK CORP	La Palma, CA	3/27/2015	608927	Registered
EXPRESS SERVICES INC / Express Employment Professionals	OKLAHOMA CITY, OK	3/27/2015	608926	Registered
DURACLEAN INTERNATIONAL, INC. / DURACLEAN	ARLINGTON HEIGHTS, IL	3/27/2015	608923	Registered
COUNTRY VISIONS, INC / Country Visions and Apricot Lane	VACAVILLE, CA	3/27/2015	608925	Registered
TILTED KILT FRANCHISE OPERATING LLC / Unit Restaurant	TEMPE, AZ	3/27/2015	608924	Registered
TILTED KILT FRANCHISE OPERATING LLC / Area Developer Program	TEMPE, AZ	3/27/2015	608922	Registered
PRO ONE JANITORIAL, INC. / Pro One Janitorial	Green Bay, WI	3/27/2015	608921	Registered
Applebee's Franchisor LLC / Applebee's Neighborhood Bar & Grill	Kansas City, MO	3/27/2015	608918	Registered
GrandStay Hospitality, LLC / GrandStay Residential Suites, Crossings by GrandStay, GrandStay conference centers, GrandStay Hotel & Suites	Brooklyn Park, MN	3/27/2015	608919	Registered
NewPoint Franchisor, LLC / Homes & Land; Estates & Homes	TALLAHASSEE, FL	3/27/2015	608920	Registered
TRUE VALUE SPECIALTY COMPANY, LLC / Taylor Rental, Grand Rental Station	CHICAGO, IL	3/27/2015	608917	Registered
MORAN INDUSTRIES INC / Milex Tune Up & Brakes/Milex Complete Auto Care	MIDLOTHIAN, IL	3/27/2015	608916	Registered
BUFFALO WILD WINGS INTERNATIONAL, iNC. / BUFFALO WILD WINGS GRILL & BAR	Minneapolis, MN	3/27/2015	608915	Registered
MORAN INDUSTRIES INC / Mr. Transmission	MIDLOTHIAN, IL	3/27/2015	608914	Registered
NaturaLawn of America, Inc.	Frederick, MD	3/27/2015	608913	Registered

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AMERIPRISE FINANCIAL SERVICES, INC. / The Personal Advisors of Ameriprise Financial	Minneapolis, MN	3/27/2015	608958	Registered
BORDER MAGIC LLC / BORDER MAGIC	RANTOUL, IL	3/27/2015	608964	Registered
Chronic Tacos Enterprises, Inc. / Chronic Tacos	Aliso Viejo, CA	3/27/2015	609029	Registered
CULVER FRANCHISING SYSTEM INC / Culver's	PRAIRIE DU SAC, WI	3/27/2015	608970	Registered
FAITH FRANCHISING COMPANY, INC. / Office Pride	Palm Harbor, FL	3/27/2015	608953	Registered
FLIP FLOP FRANCHISE CO LLC / Flip Flop Shops	KENNESAW, GA	3/27/2015	608952	Registered
PAPA JOHNS INTERNATIONAL INC / Papa John's	LOUISVILLE, KY	3/27/2015	608963	Registered
PAPA MURPHYS INTERNATIONAL INC / Papa Murphy's	VANCOUVER, WA	3/27/2015	608968	Registered
Property Management Business Solutions, LLC / Real Property Management	Salt Lake City, UT	3/27/2015	608954	Registered
Renters Warehouse USA LLC / Renters Warehouse	Bloomington, MN	3/27/2015	608971	Registered
ROCOCO FRANCHISE CORP / Rocky Rococo Pizza & Pasta	OCONOMOWOC, WI	3/27/2015	608967	Registered
ROSATI'S FRANCHISING, INC. / Rosati's Pizza	Elgin, IL	3/27/2015	608960	Registered
ROTO ROOTER CORP / Roto-Rooter Corporation	WEST DES MOINES, IA	3/27/2015	608969	Registered
The Bar Method Franchising, Inc. / The Bar Method	San Francisco, CA	3/27/2015	608965	Registered
Woodcraft Franchise, LLC / Woodcraft Franchise, LLC	Parkersburg, WV	3/27/2015	608956	Registered
WZ FRANCHISE CORP / Wing Zone	ATLANTA, GA	3/27/2015	608962	Registered
Orange Julius of America / Orange Julius® franchise	Minneapolis, MN	3/26/2015	608912	Registered
American Dairy Queen Corporation / DQ® Treat franchise	Minneapolis, MN	3/26/2015	608911	Registered
American Dairy Queen Corporation / DQ Grill & Chill® franchise	Minneapolis, MN	3/26/2015	608910	Registered
Dryer Vent Wizard International LLC / Dryer Vent Wizard	Farmington Hills, MI	3/26/2015	608909	Registered
Blue-Grace Franchise LLC / Blue-Grace Logistics	Riverview, FL	3/26/2015	608908	Registered

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MAIDPRO FRANCHISE CORPORATION / MAIDPRO	Boston, MA	3/26/2015	608907	Registered
Black Bear Diners, Inc.	Redding, CA	3/26/2015	608906	Registered
Domino's Pizza Franchising LLC / Domino's Pizza	Ann Arbor, MI	3/26/2015	608901	Registered
Marriott International, Inc. / TownePlace Suites by Marriott	Bethesda, MD	3/26/2015	608896	Registered
Marriott International, Inc. / SpringHill Suites by Marriott	Bethesda, MD	3/26/2015	608895	Registered
Marriott International, Inc. / Residence Inn by Marriott	Bethesda, MD	3/26/2015	608894	Registered
Marriott International, Inc. / Fairfield Inn by Marriott/Fairfield Inn & Suites by Marriott	Bethesda, MD	3/26/2015	608893	Registered
MARRIOTT INTERNATIONAL INC / Courtyard by Marriott	BETHESDA, MD	3/26/2015	608891	Registered
Marriott International, Inc. / Moxy Hotels	Bethesda, MD	3/26/2015	608890	Registered
Marriott International, Inc. / AC Hotels by Marriott	Bethesda, MD	3/26/2015	608889	Registered
Marriott International, Inc. / Renaissance Hotels & Resorts	Bethesda, MD	3/26/2015	608888	Registered
Marriott International, Inc. / Marriott Hotels & Resorts	Bethesda, MD	3/26/2015	608887	Registered
Marriott International, Inc. / Autograph Collection	Bethesda, MD	3/26/2015	608886	Registered
Radisson Hotels International, Inc. / Radisson Red	Minneapolis, MN	3/26/2015	608884	Registered
RADISSON HOTELS INTERNATIONAL, INC. / Radisson	Minneapolis, MN	3/26/2015	608883	Registered
PARK HOSPITALITY LLC / Park Inn by Radisson	Minneapolis, MN	3/26/2015	608882	Registered
COUNTRY INNS & SUITES BY CARLSON, INC. / Country Inn & Suites By Carlson	MINNEAPOLIS, MN	3/26/2015	608881	Registered
Restoration Specialties Franchise Group, LLC / ERS	Livonia, MI	3/26/2015	608880	Registered
MONKEY JOES FRANCHISING INC / Monkey Joe's	ATLANTA, GA	3/26/2015	608905	Registered
PIZZA HUT INC / Traditional	Plano, TX	3/26/2015	608949	Registered
PIZZA HUT INC / Express	Plano, TX	3/26/2015	608950	Registered
WEICHERT REAL ESTATE AFFILIATES INC / Weichert Realtors	MORRIS PLAINS, NJ	3/26/2015	608951	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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TAB Boards International, Inc. / The Alternative Board (Area Developer)	Westminster, CO	3/25/2015	608879	Registered
HAAGEN DAZS SHOPPE COMPANY INC	MINNEAPOLIS, MN	3/25/2015	608878	Registered
JumpBunch, Inc.	Annapolis, MD	3/25/2015	608855	Registered
Fitwall Studio Systems, LLC / "Fitwall"	Irvine, CA	3/25/2015	608843	Registered
Great Clips, Inc. / Great Clips	Minneapolis, MN	3/25/2015	608841	Registered
GLORIA JEAN'S GOURMET COFFEES FRANCHISING CORP. / Gloria Jean's Coffees	Santa Fe Springs, CA	3/25/2015	608902	Registered
HEALTHSOURCE CHIROPRACTIC INC / Single-Unit	Avon, OH	3/25/2015	608904	Registered
MASSAGE LUXE INTERNATIONAL LLC / MassageLuXe	FENTON, MO	3/25/2015	608903	Registered
TAB Boards International Inc. / The Alternative Board	Westminster, CO	3/24/2015	608840	Registered
Suspended in Time, Inc. / Suspended in Time	Orem, UT	3/24/2015	608839	Registered
CP Franchising, LLC / Cruise Planners	Coral Springs, FL	3/24/2015	608837	Registered
Dental Fix RX, LLC	Davie, FL	3/24/2015	608834	Registered
EmbroidMe.com, Inc. / EmbroidMe	West Palm Beach, FL	3/24/2015	608833	Registered
ENGEL & VOLKERS US HOLDINGS INC / Engel & Volkers North America and Engel & Volkers Americas	New York, NY	3/24/2015	608832	Registered
SPRING GREEN LAWN CARE CORP	PLAINFIELD, IL	3/24/2015	608831	Registered
ALLEGRA NETWORK LLC / Signs Now	Plymouth, MI	3/24/2015	608830	Registered
ALLEGRA NETWORK LLC / Allegra, American Speedy Printing, Insty-Prints	Plymouth, MI	3/24/2015	608829	Registered
SNIP ITS FRANCHISE COMPANY, LLC / Snip-its	Eden Prairie, MN	3/24/2015	608828	Registered
ABC, INC. / ABC SEAMLESS	FARGO, ND	3/24/2015	608827	Registered
CRUISESHIPCENTERS USA INC / Expedia CruiseShipCenters - Retail Host Agency	BELLEVUE, WA	3/24/2015	608868	Registered
Minuteman Press International, Inc. / Minuteman Press	Farmingdale, NY	3/24/2015	608867	Registered

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Oxford Group International, LLC / GradePower Learning	Farmingdale, NY	3/24/2015	608866	Registered
Quick Mart Franchise Corporation / Quick Mart The Mini Market	Beverly Hills, CA	3/24/2015	608871	Registered
Trident Investment Partners, Inc. / Handyman Connection	Blue Ash, OH	3/24/2015	608869	Registered
Live Basil Franchising LLC / Live Basil	Denver, CO	3/23/2015	608826	Registered
Teriyaki Madness Franchising, LLC / Teriyaki Madness	Denver, CO	3/23/2015	608825	Registered
Pancho's Franchise Corporation / Pancho's	Coralville, IA	3/23/2015	608824	Registered
MOD Super Fast Pizza Franchising, LLC / MOD Pizza	Bellevue, WA	3/23/2015	608823	Registered
BELLACINOS INC / Bellacinos	Portage, MI	3/23/2015	608822	Registered
WKSA, LLC / WKSA	TOMBALL, TX	3/23/2015	608820	Registered
CHILDRENS ORCHARD INC / Children's Orchard	Minnetonk, MN	3/23/2015	608873	Registered
JEI SELF LEARNING SYSTEMS, INC.	LOS ANGELES, CA	3/23/2015	608897	Registered
S Collection, Inc. / Tribute Portfolio, Tribute Portfolio Hotels, Tribute Portfolio Hotels & Resorts	Stamford, CT	3/23/2015	608892	Registered
Number of Franchise Filings: 312				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	