

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/9/2015 through 3/20/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
A&Q AGGREGATED ALPHA STRATEGIES FUND LLC	STAMFORD, CT	3/16/2015	00732605-01	Registered
CF BANCORP	CINCINNATI, OH	3/13/2015	00732590-01	Pending
POMONA INVESTMENT FUND CLASS A SHARES	NEW YORK, NY	3/10/2015	00732343-01	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRISTIAN HERITAGE ACADEMY	NORTHFIELD, IL	3/9/2015	00732441-08	Not Disallowed
SANDALS CHURCH	RIVERSIDE, CA	3/9/2015	00732351-10	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JAMES ADVANTAGE FUNDS JAMES LONG SHORT FUND	DENVER, CO	3/18/2015	00732659-03	Registered
JAMES ADVANTAGE FUNDS JAMES MICRO CAP FUND	DENVER, CO	3/18/2015	00732660-03	Registered
1ST TR COMBINED SERIES 474 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 17	WHEATON, IL	3/17/2015	00732664-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1398 Q GARP PORTFOLIO SERIES 2015 1Q	MONUMENT, CO	3/17/2015	00732665-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMG FUNDS AMG TRILOGY EMERGING WEALTH EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/17/2015	00732675-03	Registered
AMG FUNDS AMG TRILOGY EMERGING WEALTH EQUITY FUND INVESTOR CLASS	MILWAUKEE, WI	3/17/2015	00732677-03	Registered
AMG FUNDS AMG TRILOGY EMERGING WEALTH EQUITY FUND SERVICE CLASS	MILWAUKEE, WI	3/17/2015	00732676-03	Registered
FT 5323 INNOVATIVE TECHNOLOGY PORTFOLIO SERIES 7	WHEATON, IL	3/17/2015	00732662-05	Pending-Cleared
FT 5327 MARKET STRENGTH ALLOCATION SELECT PORTFOLIO SERIES 17	WHEATON, IL	3/17/2015	00732667-05	Pending-Cleared
FT 5329 SABRIENT DIVIDEND PORTFOLIO SERIES 9	WHEATON, IL	3/17/2015	00732663-05	Pending-Cleared
FT 5345 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES JAPAN OPPORTUNITIES PORTFOLIO 2015 1	WHEATON, IL	3/17/2015	00732661-05	Pending-Cleared
FT 5369 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	3/17/2015	00732666-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1233 QUALITY MUNICIPAL INCOME TR 20 PLUS YEAR SERIES 189	DOWNERS GROVE, IL	3/17/2015	00732674-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1234 QUALITY MUNICIPAL INCOME TR 20 PLUS YEAR SERIES 190	DOWNERS GROVE, IL	3/17/2015	00732673-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1235 INVESTMENT GRADE MUNICIPAL TR 10 20 YEAR SERIES 5	DOWNERS GROVE, IL	3/17/2015	00732671-05	Pending-Cleared

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INVESCO UNIT TR MUNICIPAL SERIES 1236 INVESTMENT GRADE MUNICIPAL TR 10 20 YEAR SERIES 6	DOWNERS GROVE, IL	3/17/2015	00732672-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 508 INVESTMENT GRADE CORPORATE VARIABLE & FIXED RATE TR 3 6 YEAR SERIES 6	DOWNERS GROVE, IL	3/17/2015	00732670-05	Pending-Cleared
MORGAN STANLEY GLOBAL INVESTMENT SOLUTIONS THEMATIC STRATEGIES GLOBAL RECOVERY SERIES 1	PURCHASE, NY	3/17/2015	00732669-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1391 CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 2015 1	MONUMENT, CO	3/16/2015	00732642-05	Registered
ADVISORS DISCIPLINED TR 1392 GLOBAL BASIC MATERIALS PORTFOLIO SERIES 2015 1	MONUMENT, CO	3/16/2015	00732641-05	Registered
ADVISORS DISCIPLINED TR 1393 UTILITIES PORTFOLIO SERIES 2015 1 HIMCO PORTFOLIO	MONUMENT, CO	3/16/2015	00732647-05	Registered
ADVISORS DISCIPLINED TR 1394 REIT PORTFOLIO SERIES 2015 1 HIMCO PORTFOLIO	MONUMENT, CO	3/16/2015	00732649-05	Registered
ADVISORS DISCIPLINED TR 1395 SMALL MID CAP ADVANTAGE PORTFOLIO SERIES 2015 1	MONUMENT, CO	3/16/2015	00732648-05	Registered
ADVISORS DISCIPLINED TR 1396 AGRI BUSINESS OPPORTUNITIES PORTFOLIO SERIES 2015 1	MONUMENT, CO	3/16/2015	00732645-05	Registered
ADVISORS DISCIPLINED TR 1397 PREFERRED INCOME OPPORTUNITIES PORTFOLIO 2 YR SERIES 2015 1	MONUMENT, CO	3/16/2015	00732643-05	Registered

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Firm Name	Location	Date Received	File Number	Status
CENTRE FUNDS CENTRE ACTIVE US TX EX FUND INSTITUTIONAL CLASS	NEW YORK, NY	3/16/2015	00732583-03	Registered
CENTRE FUNDS CENTRE ACTIVE US TX EX FUND INVESTOR CLASS	NEW YORK, NY	3/16/2015	00732584-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1292 TECHNOLOGICAL INNOVATION PORTFOLIO SERIES 4	LISLE, IL	3/16/2015	00732646-05	Registered
INCAPITAL UNIT TR SERIES 73 NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO 1Q 2015	CHICAGO, IL	3/16/2015	00732644-05	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE SANDS CAPITAL EMERGING MARKETS GROWTH FUND CLASS Y	CINCINNATI, OH	3/16/2015	00732585-03	Registered
FT 5322 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 20	WHEATON, IL	3/13/2015	00732588-05	Registered
TR FOR PROFESSIONAL MANAGERS SCHOONER HEDGED ALTERNATIVE INCOME FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	3/13/2015	00732589-03	Registered
ADVISORS DISCIPLINED TR 1388 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2015 1Q	MONUMENT, CO	3/11/2015	00732538-05	Registered
ADVISORS DISCIPLINED TR 1389 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2015 1Q	MONUMENT, CO	3/11/2015	00732537-05	Registered
ADVISORS DISCIPLINED TR 1390 PERONI GROWTH PORTFOLIO SPRING 2015 SERIES	MONUMENT, CO	3/11/2015	00732536-05	Registered
FT 5316 MEGA CAP PORTFOLIO SERIES 35	WHEATON, IL	3/11/2015	00732526-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5317 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 17	WHEATON, IL	3/11/2015	00732523-05	Registered
FT 5319 EQUITY INCOME PORTFOLIO SERIES 46	WHEATON, IL	3/11/2015	00732532-05	Registered
FT 5320 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 23	WHEATON, IL	3/11/2015	00732527-05	Registered
FT 5324 BROOKMONT EQUITY DIVIDEND PORTFOLIO SERIES 3	WHEATON, IL	3/11/2015	00732531-05	Registered
FT 5343 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 13	WHEATON, IL	3/11/2015	00732525-05	Registered
FT 5356 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 21	WHEATON, IL	3/11/2015	00732524-05	Registered
FT 5364 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 22	WHEATON, IL	3/11/2015	00732529-05	Registered
FT 5365 US REVENUE PORTFOLIO SERIES 2	WHEATON, IL	3/11/2015	00732530-05	Registered
FT 5403 BALANCED INCOME SELECT PORTFOLIO SERIES 52	WHEATON, IL	3/11/2015	00732528-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1291 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 20	LISLE, IL	3/11/2015	00732539-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1291 GLOBAL 100 DIVIDEND STRATEGY PORTFOLIO SERIES 2	LISLE, IL	3/11/2015	00732535-05	Registered
SATURNA INVESTMENT TR SATURNA SUSTAINABLE BOND FUND	BELLINGHAM, WA	3/11/2015	00732542-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
SATURNA INVESTMENT TR SATURNA SUSTAINABLE EQUITY FUND	BELLINGHAM, WA	3/11/2015	00732541-03	Pending-Cleared
SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 10	PARSIPPANY, NJ	3/11/2015	00732533-05	Registered
SMART TR ZACKS DIVERSIFIED EQUITY & CORPORATE BOND TR SERIES 8	PARSIPPANY, NJ	3/11/2015	00732534-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR AAM HIMCO UNCONSTRAINED BOND FUND CLASS I	MILWAUKEE, WI	3/10/2015	00732342-03	Registered
Number of Investment Company Filings: 52				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MIDWOOD CAPITAL PARTNERS LP	BOSTON, MA	3/19/2015	00732713-07	Filed
MORGAN STANLEY HEDGEPREMIER DOUBLE BLACK DIAMOND FUND 2 LP	NEW YORK, NY	3/19/2015	00732710-07	Filed
MORGAN STANLEY HEDGEPREMIER HBK MULTI STRATEGY FUND LP	NEW YORK, NY	3/19/2015	00732711-07	Filed
MORGAN STANLEY HEDGEPREMIER TRICADIA CREDIT STRATEGIES OFFSHORE FUND 2 LP	GRAND CAYMAN, AA	3/19/2015	00732709-07	Filed
NSC BIOTECH VENTURE FUND 1 LLC	NEW YORK, NY	3/19/2015	00732712-07	Filed
SE HOLIDAY NEW ORLEANS LLC	CHICAGO, IL	3/19/2015	00732706-07	Filed
SOFT SCIENCE INC	OCEANSIDE, CA	3/19/2015	00732707-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HEDGEFORUM RENAISSANCE EQUITIES LTD	GRAND CAYMAN, AA	3/18/2015	00732690-07	Filed
EIG CORPUS CHRISTI CO INVESTMENT LP	WASHINGTON, DC	3/17/2015	00732678-07	Filed
FRONTIER MARKET SELECT OFFSHORE FUND LTD	LA JOLLA, CA	3/17/2015	00732680-07	Filed
MKP CREDIT OFFSHORE LTD	GRAND CAYMAN, AA	3/17/2015	00732668-07	Filed
BENEFIT STREET CREDIT ALPHA FUND LTD	NEW YORK, NY	3/16/2015	00732604-07	Filed
AEQUITAS CAPITAL OPPORTUNITIES FUND LP	LAKE OSWEGO, OR	3/12/2015	00732550-07	Filed
WAUD CAPITAL CASABLANCA COINVESTMENT LLC	CHICAGO, IL	3/12/2015	00732586-07	Filed
2015 IL SHALE DEVELOPMENT LP	BOWLING GREEN, KY	3/11/2015	00732547-07	Filed
CARMEL MULTIFAMILY DST	OAK BROOK, IL	3/11/2015	00732544-07	Filed
CASTLE PEAK PETROLEUM LP	BOULDER, CO	3/11/2015	00732546-07	Filed
CDX INC	LA JOLLA, CA	3/11/2015	00732548-07	Filed
GREEN BAY PACKAGING INC	GREEN BAY, WI	3/11/2015	00732545-07	Filed
INTREXON CORP	GERMANTOWN, MD	3/11/2015	00732543-07	Filed
ELITE AVIATION PRODUCTS INC	IRVINE, CA	3/10/2015	00732341-07	Filed
HEALTHMYNE INC	MADISON, WI	3/10/2015	00732344-07	Filed
Number of Regulation D Notice Filings: 22				

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Delectus, Ltd. / ReDefy	Aurora, CO	3/20/2015	608817	Registered
Lemon Heaven International, Inc. / Lemon Heaven and/or Cin City Donuts	Delta, BC	3/20/2015	608816	Registered
Budget Blinds, Inc. / Troops in Transition	Orange, CA	3/20/2015	608815	Registered
Certified Restoration DryCleaning Network, LLC / CRDN	Berkley, MI	3/20/2015	608814	Registered
Budget Blinds, Inc. / Budget Blinds	Orange, CA	3/20/2015	608813	Registered
Buck's Pizza Franchising Corporation, Inc. / Buck's Pizza	DuBois, PA	3/20/2015	608811	Registered
National Property Inspections, Inc.	Omaha, NE	3/20/2015	608810	Registered
Breadsmith Franchising, Inc. / Breadsmith	Whitefish Bay, WI	3/20/2015	608809	Registered
JIS Franchising LLC / Johnny's Italian Steakhouse	Moline, IL	3/19/2015	608807	Registered
GOSH ENTERPRISES INC / Charley's	COLUMBUS, OH	3/19/2015	608805	Registered
PB Franchising, LLC / Pure Barre	Spartanburg, SC	3/18/2015	608804	Registered
PizzaRev Franchising, LLC / PizzaRev®	Westgate Village, CA	3/18/2015	608803	Registered
ARCpoint Franchise Group, LLC / ARCpoint Labs	Greenville, SC	3/18/2015	608802	Registered
Experimac Franchising, LLC / Experimac	West Palm Beach, FL	3/17/2015	608800	Registered
MR HANDYMAN INTERNATIONAL LLC / MR. HANDYMAN	ANN ARBOR, MI	3/17/2015	608799	Registered
Schmizza International, Inc. / Pizza Schmizza	Salem, OR	3/16/2015	608797	Registered
VALPAK DIRECT MARKETING SYSTEMS INC / VALPAK	St. Petersburg, FL	3/16/2015	608796	Registered
Holiday Diversified Services, Inc. / Holiday Stationstores	Minneapolis, MN	3/16/2015	608794	Registered
Drama Kids International, Inc. / Drama Kids	Leesburg, VA	3/16/2015	608793	Registered
SMASHBURGER FRANCHISING LLC / Smashburger	DENVER, CO	3/13/2015	608791	Registered
Nerds On Site Franchising, Inc. / Nerds On Site	West Palm Beach, FL	3/13/2015	608790	Registered
Nerds On Site Franchising, Inc. / Nerds On Site	West Palm Beach, FL	3/13/2015	608789	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Garage Force International, Inc. / Garage Force	Onalaska, WI	3/13/2015	608788	Registered
PRECISION HOLDINGS OF BREVARD INC / Precision Door Service	TITUSVILLE, FL	3/13/2015	608787	Registered
Liquid Capital of America Corp. / Liquid Capital	Irving, TX	3/12/2015	608786	Registered
101 Mobility Franchise Systems, LLC / 101 Mobility	Wilmington, NC	3/12/2015	608774	Registered
Vantage Franchising, Inc. / Lexington by Vantage (Lexington Inn or Lexington Hotel)	Coral Springs, FL	3/11/2015	608773	Registered
Vantage Franchising, Inc. / Signature Inn	Coral Springs, FL	3/11/2015	608772	Registered
Vantage Franchising, Inc. / Jameson Inn or Jameson Inn & Suites	Coral Springs, FL	3/11/2015	608771	Registered
Vantage Franchising, Inc. / Country Hearth Inns, Country Hearth Suites or Country Hearth Inn & Suites	Coral Springs, FL	3/11/2015	608770	Registered
Vantage Franchising, Inc. / America's Best Value Inn or Americas Best Value Inn & Suites	Coral Springs, FL	3/11/2015	608769	Registered
Body and Brain Center, LLC / Body + Brain	Gilbert, AZ	3/11/2015	608768	Registered
Maid Right Franchising LLC / Might Right	Alphretta, GA	3/11/2015	608766	Registered
CLOSET FACTORY FRANCHISE CORP / Closet Factory	LOS ANGELES, CA	3/11/2015	608783	Registered
HAPPY & HEALTHY PRODUCTS INC	BOCA ROTON, FL	3/11/2015	608784	Registered
Hobby Town Unlimited, Inc. / HobbyTown®/HobbyTown USA®	Lincoln, NE	3/10/2015	608764	Registered
Murphy Business & Financial Corporation LLC / Murphy Business & Financial Corporation	Clearwater, FL	3/10/2015	608763	Registered
MTY Franchising USA, Inc. f/k/a The Extreme Pita Franchising USA, Inc. / Extreme Pita	Scottsdale, AZ	3/10/2015	608762	Registered
PACCAR LEASING Company, a division of PACCAR Financial Corp. / PacLease	BELLEVUE, WA	3/10/2015	608761	Registered
Leadership Management, Inc.	Waco, TX	3/10/2015	608760	Registered
Persona Pizza Holdings, LLC / Persona Pizza Holdings, LLC	Miami Beach, FL	3/10/2015	608779	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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PIRTEK USA LLC / Pirtek	ROCKLEDGE, FL	3/10/2015	608780	Registered
TBM Franchise Co., LLC / Beerhead Bar & Eatery	Schaumburg, IL	3/10/2015	608781	Registered
Monster Franchise LLC / Monster Tree Service	Fort Washington, PA	3/9/2015	608759	Registered
G6 Hospitality Franchising LLC / Studio 6	CARROLTON, TX	3/9/2015	608777	Registered
G6 Hospitality Franchising LLC / Motel 6	CARROLTON, TX	3/9/2015	608778	Registered
NMTC INC / Matco Tools	STOW, OH	3/9/2015	608782	Registered
RE/MAX LLC / RE/MAX	Denver, CO	3/9/2015	608776	Registered
Number of Franchise Filings: 48				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	