

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5245 DIVERSIFIED INCOME & GROWTH PORTFOLIO SPRING 2015 SERIES	WHEATON, IL	2/10/2015	00729792-05	Pending-Cleared
FT 5277 TARGET DIVIDEND BLEND PORTFOLIO SERIES 4	WHEATON, IL	2/10/2015	00729787-05	Pending-Cleared
FT 5278 INCOME ALLOCATION CLOSED END FEB 15	WHEATON, IL	2/10/2015	00729789-05	Pending-Cleared
FT 5279 DOW TARGET 10 FEB 15 TERM 3 9 16	WHEATON, IL	2/10/2015	00729788-05	Pending-Cleared
FT 5281 SCS ADVISORS EQUITY TR MAY 2016 SERIES	WHEATON, IL	2/10/2015	00729795-05	Pending-Cleared
FT 5284 LTD DURATION & HIGH YIELD FIXED INCOME ETF PORTFOLIO SERIES 5	WHEATON, IL	2/10/2015	00729797-05	Pending-Cleared
FT 5285 HIGH DIVIDEND EMERGING MARKETS EQUITY PORTFOLIO SERIES 9	WHEATON, IL	2/10/2015	00729791-05	Pending-Cleared
FT 5297 TACTICAL INCOME PORTFOLIO SERIES 35	WHEATON, IL	2/10/2015	00729790-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5303 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 56	WHEATON, IL	2/10/2015	00729798-05	Pending-Cleared
FT 5304 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	2/10/2015	00729796-05	Pending-Cleared
FT 5321 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	2/10/2015	00729794-05	Pending-Cleared
FT 5346 BALANCED INCOME SELECT PORTFOLIO SERIES 51	WHEATON, IL	2/10/2015	00729786-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1283 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 35	LISLE, IL	2/10/2015	00729777-05	Pending-Cleared
SMART TR RISING INTEREST RATES HEDGE TR SERIES 4	PARSIPPANY, NJ	2/10/2015	00729793-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1401 VALUE ARCHITECTS NEW MEDIA SCORE PORTFOLIO SERIES 2015 1	MONUMENT, CO	2/9/2015	00729733-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1277 CORPORATE HIGH YIELD & INCOME PORTFOLIO OF CEFS SERIES 27	LISLE, IL	2/9/2015	00729736-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1277 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 57	LISLE, IL	2/9/2015	00729732-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1277 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 21	LISLE, IL	2/9/2015	00729735-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 70 ZACKS SELECT EQUITIES BLEND PORTFOLIO 1Q 2015	CHICAGO, IL	2/9/2015	00729737-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INCAPITAL UNIT TR SERIES 70 ZACKS SELECT EQUITY INCOME PORTFOLIO 1Q 2015	CHICAGO, IL	2/9/2015	00729734-05	Pending-Cleared
DOMINI SOCIAL INVESTMENT TR DOMINI INTERNATIONAL SOCIAL EQUITY FUND INSTITUTIONAL SHARES	NEW YORK, NY	2/6/2015	00729602-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE LARGE CAP FUND CLASS C	CINCINNATI, OH	2/6/2015	00729603-03	Registered
GUGGENHEIM FUNDS TR GUGGENHEIM ALPHA OPPORTUNITY FUND INSTITUTIONAL CLASS	ROCKVILLE, MD	2/5/2015	00729484-03	Registered
GUGGENHEIM FUNDS TR GUGGENHEIM ALPHA OPPORTUNITY FUND A CLASS	ROCKVILLE, MD	2/5/2015	00729483-03	Registered
GUGGENHEIM FUNDS TR GUGGENHEIM ALPHA OPPORTUNITY FUND C CLASS	ROCKVILLE, MD	2/5/2015	00729485-03	Registered
HARTFORD HLS SERIES FUND 2 INC HARTFORD GROWTH OPPORTUNITIES HLS FUND CLASS IC SHARES	RADNOR, PA	2/4/2015	00729696-03	Registered
HARTFORD HLS SERIES FUND 2 INC HARTFORD SMALL MID CAP EQUITY HLS FUND CLASS IB SHARES	RADNOR, PA	2/4/2015	00729695-03	Registered
HARTFORD HLS SERIES FUND 2 INC HARTFORD US GOVERNMENT SECURITIES HLS FUND CLASS IA SHARES	RADNOR, PA	2/4/2015	00729693-03	Registered
HARTFORD HLS SERIES FUND 2 INC HARTFORD US GOVERNMENT SECURITIES HLS FUND CLASS IB SHARES	RADNOR, PA	2/4/2015	00729694-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HARTFORD SERIES FUND INC HARTFORD CAPITAL APPRECIATION HLS FUND CLASS IC SHARES	RADNOR, PA	2/4/2015	00729692-03	Registered
TOUCHSTONE STRATEGIC TR TOUCHSTONE LARGE CAP FUND CLASS Y	CINCINNATI, OH	2/4/2015	00729476-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1227 QUALITY MUNICIPAL INCOME TR 10 20 YEAR SERIES 85	DOWNERS GROVE, IL	2/3/2015	00729213-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1228 QUALITY MUNICIPAL INCOME TR 10 20 YEAR SERIES 86	DOWNERS GROVE, IL	2/3/2015	00729214-05	Pending-Cleared
INVESCO UNIT TR SERIES 1516 NEW WORLD LEADERS PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729217-05	Pending-Cleared
INVESCO UNIT TR SERIES 1517 SELECT 10 INDUSTRIAL PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729218-05	Pending-Cleared
INVESCO UNIT TR SERIES 1518 ETF ALLOCATION PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729219-05	Pending-Cleared
INVESCO UNIT TR SERIES 1518 ETF DIVERSIFIED INCOME PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729220-05	Pending-Cleared
INVESCO UNIT TR SERIES 1519 DEFENSIVE EQUITY & INCOME PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729221-05	Pending-Cleared
INVESCO UNIT TR SERIES 1519 EMERGING MARKETS DIVIDEND PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729222-05	Pending-Cleared
INVESCO UNIT TR SERIES 1520 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2015 2	DOWNERS GROVE, IL	2/3/2015	00729223-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1520 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729224-05	Pending-Cleared
INVESCO UNIT TR SERIES 1521 GLOBAL WATER PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729225-05	Pending-Cleared
INVESCO UNIT TR SERIES 1522 AMERICAN INNOVATION LEADERS PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729226-05	Pending-Cleared
INVESCO UNIT TR SERIES 1523 GLOBAL TECHNOLOGY LEADERS PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729227-05	Pending-Cleared
INVESCO UNIT TR SERIES 1524 POWERSHARES SMART BETA GROWTH PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729230-05	Pending-Cleared
INVESCO UNIT TR SERIES 1524 POWERSHARES SMART BETA GROWTH & INCOME PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729229-05	Pending-Cleared
INVESCO UNIT TR SERIES 1524 POWERSHARES SMART BETA INCOME PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729228-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 499 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 8	DOWNERS GROVE, IL	2/3/2015	00729215-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 500 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 9	DOWNERS GROVE, IL	2/3/2015	00729216-05	Pending-Cleared
PROFESSIONALLY MANAGED PORTFOLIOS BP CAPITAL TWINLINE ENERGY FUND CLASS I	MILWAUKEE, WI	2/3/2015	00729649-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PROFESSIONALLY MANAGED PORTFOLIOS BP CAPITAL TWINLINE MLP FUND CLASS I	MILWAUKEE, WI	2/3/2015	00729648-03	Registered
ADVISORS DISCIPLINED TR 1371 STEELPATH MLP & INCOME PORTFOLIO SERIES 2015 1	MONUMENT, CO	2/2/2015	00729197-05	Registered
BLACKROCK BASIC VALUE FUND INC CLASS K SHARES	WILMINGTON, DE	2/2/2015	00729244-03	Registered
FT 5270 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 4	WHEATON, IL	2/2/2015	00729231-05	Registered
FT 5271 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 24	WHEATON, IL	2/2/2015	00729235-05	Registered
FT 5272 US ENERGY INDEPENDENCE PORTFOLIO SERIES 7	WHEATON, IL	2/2/2015	00729232-05	Registered
FT 5273 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	2/2/2015	00729236-05	Registered
FT 5274 SMID HIGH DIVIDEND PORTFOLIO SERIES 16	WHEATON, IL	2/2/2015	00729238-05	Registered
FT 5275 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 14	WHEATON, IL	2/2/2015	00729237-05	Registered
FT 5276 CAPITAL STRENGTH PORTFOLIO SERIES 28	WHEATON, IL	2/2/2015	00729233-05	Registered
FT 5282 SABRIENT SMALL CAP GROWTH PORTFOLIO SERIES 5	WHEATON, IL	2/2/2015	00729234-05	Registered
FT 5283 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 55	WHEATON, IL	2/2/2015	00729240-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5300 EQUITY INCOME SELECT PORTFOLIO SERIES 43	WHEATON, IL	2/2/2015	00729239-05	Registered
FT 5301 DEFENSIVE EQUITY PORTFOLIO SERIES 31	WHEATON, IL	2/2/2015	00729241-05	Registered
RAINIER INVESTMENT MANAGEMENT MUTUAL FUNDS RAINIER INTERMEDIATE FIXED INCOME FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	2/2/2015	00729196-03	Registered
Number of Investment Company Filings: 65				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CETERA ADVISOR NETWORKS LLC CA	EL SEGUNDO, CA	2/10/2015	00729785-07	Filed
VOYA FINANCIAL ADVISORS INC	DES MOINES, IA	2/10/2015	00729783-07	Filed
ZELLER 1401 LLC	CHICAGO, IL	2/10/2015	00729784-07	Filed
ANACONDA 3 D 2015 JOINT VENTURE	SAN ANTONIO, TX	2/9/2015	00729731-07	Filed
TTCP EXECUTIVE FUND ESOLUTIONS LLC	EDINA, MN	2/9/2015	00729782-07	Filed
MWBC LOT 10 LLLP	WESTMINSTER, CO	2/6/2015	00729622-07	Filed
MWBC LOT 9 LLLP	WESTMINSTER, CO	2/6/2015	00729623-07	Filed
VERITAS VILLAGE LLC	MIDDLETON, WI	2/6/2015	00729730-07	Filed
ANTRIABIO INC	LOUISVILLE, CO	2/5/2015	00729464-07	Filed
CEDAR ROCK CAPITAL PARTNERS LLC	NEW YORK, NY	2/5/2015	00729720-07	Filed
PRIME OPPORTUNITIES PARTNERS LP	BEVERLY HILLS, CA	2/5/2015	00729620-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TWG CLARK 125 LLC	CHICAGO, IL	2/5/2015	00729721-07	Filed
BRANDSPINS LLC	LAS VEGAS, NV	2/4/2015	00729650-07	Filed
GLOBAL TIMBER RESOURCES INVESTOR FUND LP	NEW YORK, NY	2/4/2015	00729651-07	Filed
ALL ENERGY CORP	JOHNSTON, IA	2/3/2015	00729640-07	Filed
SII INVESTMENTS INC WI	APPLETON, WI	2/3/2015	00729641-07	Filed
ZAPPY INC	HEATHROW, FL	2/3/2015	00729203-07	Filed
C10CONNECT INC	CARY, NC	2/2/2015	00729201-07	Filed
HEALTHCARE TOYALTIES 2 TR	NEW YORK, NY	2/2/2015	00728862-07	Filed
MANAGED FUTURES PREMIER ENERGY FUND LP 2	NEW YORK, NY	2/2/2015	00729202-07	Filed
MICRONOTES INC	CAMBRIDGE, MA	2/2/2015	00729199-07	Filed
SOZO GLOBAL INC	AUSTIN, TX	2/2/2015	00728861-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SUPERVALU INC. / Cub Foods	Eden Prairie, MN	2/13/2015	608707	Registered
Snap-on Tools Company LLC / Snap-on Tools	Kenosha, WI	2/13/2015	608706	Registered
CycleBar Franchising, LLC / CycleBar	Cincinnati, OH	2/12/2015	608701	Registered
BAB SYSTEMS INC / Sweetduet Frozen Yogurt & Gourmet Muffins	DEERFIELD, IL	2/12/2015	608702	Registered
OWENS CORNING REMODELING SYSTEMS, LLC / Owens Corning Basement Finishing System	TOLEDO, OH	2/12/2015	608703	Registered
The File Depot, LLC / The File Depot	Slidell, LA	2/12/2015	608704	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/2/2015 through 2/13/2015**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ACE SUSHI FRANCHISE CORP / Ace Sushi	GARDENA, CA	2/11/2015	608699	Registered
Home-Grown Industries of Georgia, Inc. / Mellow Mushroom Pizza	Atlanta, GA	2/10/2015	608696	Registered
Tom's Urban Franchising LLC / Tom's Urban or Tom's Urban 24	Denver, CO	2/9/2015	608694	Registered
Omni Fight Club Franchising, LLC / Omni Fight Club	Athens, GA	2/9/2015	608686	Registered
Zoom Franchise Company, LLC / Zoom Sewer and Drain Cleaning	Norristown, PA	2/6/2015	608691	Registered
GREAT HARVEST FRANCHISING, INC / Great Harvest Bread Co.	DILLON, MT	2/5/2015	608684	Registered
Priceless Rent-A-Car, LLC / NextCar	Kensington, MD	2/5/2015	608683	Registered
Trident Investment Partners, Inc. / Handyman Connection	Blue Ash, OH	2/4/2015	608690	Registered
Hyatt Franchising, L.L.C. / Hyatt CentricT	Chicago, IL	2/3/2015	608682	Registered
Certa ProPainters, Ltd. / CertaPro Painters	Oaks, PA	2/3/2015	608672	Registered
Zounds Hearing Franchising, LLC / Zounds Hearing Center	Tempe, AZ	2/2/2015	608671	Registered
Cellairis Franchise, Inc. / Cellairis	Alpharetta, GA	2/2/2015	608669	Registered
ABM Franchising Group, LLC / Linc Service	Canonsburg, PA	2/2/2015	608678	Registered
ABM Franchising Group, LLC / CurrentSAFE	Canonsburg, PA	2/2/2015	608679	Registered
ABM Franchising Group, LLC / TEGG	Canonsburg, PA	2/2/2015	608677	Registered
ABM Franchising Group, LLC / GreenHomes America	Canonsburg, PA	2/2/2015	608680	Registered
Signal 88 Franchise Group, Inc. / Signal 88 Security	Omaha, NE	2/2/2015	608681	Registered
Number of Franchise Filings: 23				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	