

**WI Securities Law and Franchise Law Filings  
for 1/26/2015 through 2/6/2015**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
RAINIER INVESTMENT MANAGEMENT MUTUAL FUNDS RAINIER INTERMEDIATE FIXED INCOME FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	2/2/2015	00729196-03	Registered
ADVISORS SERIES TR SCHARF FUND RETAIL CLASS	MILWAUKEE, WI	1/28/2015	00728867-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS BALTER LONG SHORT EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/27/2015	00728860-03	Registered
<b>Number of Investment Company Filings: 3</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				

**WI Securities Law and Franchise Law Filings  
for 1/26/2015 through 2/6/2015**

<b>Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FTS Holdings, LLC / FOCUSED TAX SOLUTIONS	Brookfield, WI	2/1/2015	608668	Registered
<b>Number of Franchise Filings: 1</b>				