

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/26/2015 through 2/6/2015**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GREATER TRAVELERS REST BAPTIST CHURCH INC	DECATUR, GA	1/30/2015	00729145-02	Pending
LIFE CHURCH	WALLA WALLA, WA	1/30/2015	00729144-02	Pending
FOUNDATION HEALTHCARE INC	OKLAHOMA CITY, OK	1/26/2015	00728855-01	Pending
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR MUNICIPAL SERIES 1227 QUALITY MUNICIPAL INCOME TR 10 20 YEAR SERIES 85	DOWNERS GROVE, IL	2/3/2015	00729213-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1228 QUALITY MUNICIPAL INCOME TR 10 20 YEAR SERIES 86	DOWNERS GROVE, IL	2/3/2015	00729214-05	Pending-Cleared
INVESCO UNIT TR SERIES 1516 NEW WORLD LEADERS PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729217-05	Pending-Cleared
INVESCO UNIT TR SERIES 1517 SELECT 10 INDUSTRIAL PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729218-05	Pending-Cleared
INVESCO UNIT TR SERIES 1518 ETF ALLOCATION PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729219-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1518 ETF DIVERSIFIED INCOME PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729220-05	Pending-Cleared
INVESCO UNIT TR SERIES 1519 DEFENSIVE EQUITY & INCOME PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729221-05	Pending-Cleared
INVESCO UNIT TR SERIES 1519 EMERGING MARKETS DIVIDEND PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729222-05	Pending-Cleared
INVESCO UNIT TR SERIES 1520 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2015 2	DOWNERS GROVE, IL	2/3/2015	00729223-05	Pending-Cleared
INVESCO UNIT TR SERIES 1520 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729224-05	Pending-Cleared
INVESCO UNIT TR SERIES 1521 GLOBAL WATER PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729225-05	Pending-Cleared
INVESCO UNIT TR SERIES 1522 AMERICAN INNOVATION LEADERS PORTFOLIO 2015 1	DOWNERS GROVE, IL	2/3/2015	00729226-05	Pending-Cleared
INVESCO UNIT TR SERIES 1523 GLOBAL TECHNOLOGY LEADERS PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729227-05	Pending-Cleared
INVESCO UNIT TR SERIES 1524 POWERSHARES SMART BETA GROWTH PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729230-05	Pending-Cleared
INVESCO UNIT TR SERIES 1524 POWERSHARES SMART BETA GROWTH & INCOME PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729229-05	Pending-Cleared
INVESCO UNIT TR SERIES 1524 POWERSHARES SMART BETA INCOME PORTFOLIO 2015 2	DOWNERS GROVE, IL	2/3/2015	00729228-05	Pending-Cleared

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INVESCO UNIT TR TAXABLE INCOME SERIES 499 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 8	DOWNERS GROVE, IL	2/3/2015	00729215-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 500 INVESTMENT GRADE CORPORATE TR 5 8 YEAR SERIES 9	DOWNERS GROVE, IL	2/3/2015	00729216-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1371 STEELPATH MLP & INCOME PORTFOLIO SERIES 2015 1	MONUMENT, CO	2/2/2015	00729197-05	Pending-Cleared
BLACKROCK BASIC VALUE FUND INC CLASS K SHARES	WILMINGTON, DE	2/2/2015	00729244-03	Registered
FT 5270 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 4	WHEATON, IL	2/2/2015	00729231-05	Registered
FT 5271 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 24	WHEATON, IL	2/2/2015	00729235-05	Registered
FT 5272 US ENERGY INDEPENDENCE PORTFOLIO SERIES 7	WHEATON, IL	2/2/2015	00729232-05	Registered
FT 5273 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	2/2/2015	00729236-05	Pending-Cleared
FT 5274 SMID HIGH DIVIDEND PORTFOLIO SERIES 16	WHEATON, IL	2/2/2015	00729238-05	Pending-Cleared
FT 5275 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 14	WHEATON, IL	2/2/2015	00729237-05	Pending-Cleared
FT 5276 CAPITAL STRENGTH PORTFOLIO SERIES 28	WHEATON, IL	2/2/2015	00729233-05	Pending-Cleared
FT 5282 SABRIENT SMALL CAP GROWTH PORTFOLIO SERIES 5	WHEATON, IL	2/2/2015	00729234-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5283 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 55	WHEATON, IL	2/2/2015	00729240-05	Pending-Cleared
FT 5300 EQUITY INCOME SELECT PORTFOLIO SERIES 43	WHEATON, IL	2/2/2015	00729239-05	Pending-Cleared
FT 5301 DEFENSIVE EQUITY PORTFOLIO SERIES 31	WHEATON, IL	2/2/2015	00729241-05	Pending-Cleared
RAINIER INVESTMENT MANAGEMENT MUTUAL FUNDS RAINIER INTERMEDIATE FIXED INCOME FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	2/2/2015	00729196-03	Registered
ALTMFX TR CASTLERIGG EQUITY EVENT & ARBITRAGE FUND INSTITUTIONAL SHARES	PORTLAND, ME	1/30/2015	00728728-03	Registered
ALTMFX TR CASTLERIGG EQUITY EVENT & ARBITRAGE FUND INVESTOR SHARES	PORTLAND, ME	1/30/2015	00728729-03	Registered
AQR FUNDS AQR TM EMERGING MULTI STYLE FUNDS CLASS L SHARES	GREENWICH, CT	1/30/2015	00728739-03	Registered
AQR FUNDS AQR TM EMERGING MULTI STYLE FUNDS CLASS N SHARES	GREENWICH, CT	1/30/2015	00728741-03	Registered
AQR FUNDS AQR TM EMERGING MULTI STYLE FUNDS CLASS R6 SHARES	GREENWICH, CT	1/30/2015	00728743-03	Registered
AQR FUNDS AQR TM INTERNATIONAL MULTI STYLE FUND CLASS L SHARES	GREENWICH, CT	1/30/2015	00728742-03	Registered
AQR FUNDS AQR TM INTERNATIONAL MULTI STYLE FUND CLASS N SHARES	GREENWICH, CT	1/30/2015	00728736-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AQR FUNDS AQR TM INTERNATIONAL MULTI STYLE FUND CLASS R6 SHARES	GREENWICH, CT	1/30/2015	00728737-03	Registered
AQR FUNDS AQR TM LARGE CAP MULTI STYLE FUND CLASS L SHARES	GREENWICH, CT	1/30/2015	00728732-03	Registered
AQR FUNDS AQR TM LARGE CAP MULTI STYLE FUND CLASS N SHARES	GREENWICH, CT	1/30/2015	00728733-03	Registered
AQR FUNDS AQR TM LARGE CAP MULTI STYLE FUND CLASS R6 SHARES	GREENWICH, CT	1/30/2015	00728734-03	Registered
AQR FUNDS AQR TM SMALL CAP MULTI STYLE FUND CLASS L SHARES	GREENWICH, CT	1/30/2015	00728735-03	Registered
AQR FUNDS AQR TM SMALL CAP MULTI STYLE FUND CLASS N SHARES	GREENWICH, CT	1/30/2015	00728740-03	Registered
AQR FUNDS AQR TM SMALL CAP MULTI STYLE FUND CLASS R6 SHARES	GREENWICH, CT	1/30/2015	00728738-03	Registered
DEUTSCHE INCOME TR DEUTSCHE GNMA FUND R6 SHARES	CHICAGO, IL	1/30/2015	00728726-03	Pending-Cleared
DEUTSCHE INCOME TR DEUTSCHE ULTRA SHORT DURATION FUND CLASS R6 SHARES	CHICAGO, IL	1/30/2015	00728727-03	Pending-Cleared
DEUTSCHE INTERNATIONAL FUND INC DEUTSCHE LATIN AMERICA EQUITY FUND INSTITUTIONAL CLASS	CHICAGO, IL	1/30/2015	00728725-03	Pending-Cleared
FEDERATED MANAGED POOL SERIES FEDERATED INTERNATIONAL DIVIDEND STRATEGY PORTFOLIO	WARRENDALE, PA	1/30/2015	00728730-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1370 INFLATION INCOME STRATEGY PORTFOLIO SERIES 2015 1	MONUMENT, CO	1/29/2015	00729074-05	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI EUROPE EQUITY DIVIDEND FUND CLASS A	NEW YORK, NY	1/29/2015	00728717-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI EUROPE EQUITY DIVIDEND FUND CLASS P	NEW YORK, NY	1/29/2015	00728714-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI EUROPE EQUITY DIVIDEND FUND CLASS C	NEW YORK, NY	1/29/2015	00728715-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI EUROPE EQUITY DIVIDEND FUND INSTITUTIONAL CLASS	NEW YORK, NY	1/29/2015	00728713-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI GLOBAL MEGATRENDS FUND CLASS P	NEW YORK, NY	1/29/2015	00728712-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI GLOBAL MEGATRENDS FUND CLASS A	NEW YORK, NY	1/29/2015	00728710-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI GLOBAL MEGATRENDS FUND INSTITUTIONAL CLASS	NEW YORK, NY	1/29/2015	00728711-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI INTERNATIONAL GROWTH FUND INSTITUTIONAL CLASS	NEW YORK, NY	1/29/2015	00728718-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI INTERNATIONAL GROWTH FUND CLASS A	NEW YORK, NY	1/29/2015	00728716-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1275 BOFA MERRILL LYNCH HEALTH & WELLNESS PORTFOLIO SERIES 2	LISLE, IL	1/29/2015	00729072-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1276 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 10	LISLE, IL	1/29/2015	00729073-05	Registered
IVY FUNDS IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS R6 SHARES	SHAWNEE MISSION, KS	1/29/2015	00728474-03	Pending-Cleared
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET CLARION GLOBAL INFRASTRUCTURE & MLP FUND CLASS I	BOSTON, MA	1/29/2015	00729068-03	Registered
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET CLARION GLOBAL INFRASTRUCTURE & MLP FUND CLASS A	BOSTON, MA	1/29/2015	00729067-03	Registered
VANGUARD FIXED INCOME SECURITIES FUNDS VANGUARD ULTRA SHORT TERM BOND FUND INVESTOR SHARES	VALLEY FORGE, PA	1/29/2015	00729071-03	Pending-Cleared
VANGUARD FIXED INCOME SECURITIES FUNDS VANGUARD ULTRA SHORT TERM BOND FUND ADMIRAL SHARES	VALLEY FORGE, PA	1/29/2015	00729070-03	Pending-Cleared
ADVISORS SERIES TR SCHARF FUND RETAIL CLASS	MILWAUKEE, WI	1/28/2015	00728867-03	Registered
JANUS ASPEN SERIES GLOBAL UNCONSTRAINED BOND PORTFOLIO INSTITUTIONAL SHARES	DENVER, CO	1/28/2015	00728472-03	Registered
JANUS ASPEN SERIES GLOBAL UNCONSTRAINED BOND PORTFOLIO SERVICE SHARES	DENVER, CO	1/28/2015	00728473-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MASSMUTUAL PREMIER FUNDS MASSMUTUAL BARINGS DYNAMIC ALLOCATION FUND CLASS R5	ENFIELD, CT	1/28/2015	00729059-03	Registered
MASSMUTUAL PREMIER FUNDS MASSMUTUAL PREMIER GLOBAL FUND CLASS R5	ENFIELD, CT	1/28/2015	00729057-03	Registered
MASSMUTUAL PREMIER FUNDS MASSMUTUAL PREMIER MAIN ST FUND CLASS R5	ENFIELD, CT	1/28/2015	00729058-03	Registered
MASSMUTUAL PREMIER FUNDS MASSMUTUAL PREMIER VALUE FUND CLASS R5	ENFIELD, CT	1/28/2015	00729056-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL RETIRESMART CONSERVATION FUND CLASS R5	ENFIELD, CT	1/28/2015	00729037-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL RETIRESMART GROWTH FUND CLASS R5	ENFIELD, CT	1/28/2015	00729040-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL RETIRESMART MODERATE GROWTH FUND CLASS R5	ENFIELD, CT	1/28/2015	00729039-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL RETIRESMART MODERATE FUND CLASS R5	ENFIELD, CT	1/28/2015	00729038-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT BLUE CHIP GROWTH FUND CLASS R5	ENFIELD, CT	1/28/2015	00729049-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT DIVERSIFIED INTERNATIONAL FUND CLASS R5	ENFIELD, CT	1/28/2015	00728909-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT DIVERSIFIED VALUE FUND CLASS R5	ENFIELD, CT	1/28/2015	00729054-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT FUNDAMENTAL GROWTH FUND CLASS R5	ENFIELD, CT	1/28/2015	00729047-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT FUNDAMENTAL VALUE FUND CLASS R5	ENFIELD, CT	1/28/2015	00729051-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT GROWTH OPPORTUNITIES FUND CLASS R5	ENFIELD, CT	1/28/2015	00729046-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT LARGE CAP VALUE FUND CLASS R5	ENFIELD, CT	1/28/2015	00729045-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT MID CAP GROWTH EQUITY 2 FUND SERVICE CLASS	ENFIELD, CT	1/28/2015	00729048-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT MID CAP VALUE FUND CLASS R5	ENFIELD, CT	1/28/2015	00728894-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT OVERSEAS FUND CLASS R5	ENFIELD, CT	1/28/2015	00729050-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT SMALL CAP GROWTH EQUITY FUND CLASS R5	ENFIELD, CT	1/28/2015	00729044-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT SMALL CAP VALUE EQUITY FUND CLASS R5	ENFIELD, CT	1/28/2015	00728908-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT SMALL CO GROWTH FUND CLASS R5	ENFIELD, CT	1/28/2015	00729053-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT SMALL CO VALUE FUND CLASS R5	ENFIELD, CT	1/28/2015	00729052-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT STRATEGIC BOND FUND CLASS R5	ENFIELD, CT	1/28/2015	00729035-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT TOTAL RETURN BOND FUND CLASS R5	ENFIELD, CT	1/28/2015	00729036-03	Registered
MASSMUTUAL SELECT FUNDS MM MSCI EAFE INTERNATIONAL INDEX FUND CLASS R5	ENFIELD, CT	1/28/2015	00729043-03	Registered
MASSMUTUAL SELECT FUNDS MM RUSSELL 2000 SMALL CAP INDEX FUND CLASS R5	ENFIELD, CT	1/28/2015	00729042-03	Registered
MASSMUTUAL SELECT FUNDS MM S&P 500 INDEX FUND CLASS R5	ENFIELD, CT	1/28/2015	00728944-03	Registered
MASSMUTUAL SELECT FUNDS MM S&P MID CAP INDEX FUND CLASS R5	ENFIELD, CT	1/28/2015	00729041-03	Registered
VALUE LINE FUNDS INVESTMENT TR WORTHINGTON VALUE LINE EQUITY ADVANTAGE FUND	NEW YORK, NY	1/28/2015	00728996-03	Pending-Cleared
1ST TR COMBINED SERIES 469 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 15	WHEATON, IL	1/27/2015	00728858-05	Registered
ADVISORS DISCIPLINED TR 1365 ADVISORS CORPORATE TR HIGH YIELD BOND PORTFOLIO SERIES 16 HIMCO PORTFOLIO	MONUMENT, CO	1/27/2015	00727923-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1373 SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 2 YR SERIES 2015 1	MONUMENT, CO	1/27/2015	00727924-05	Registered
FT 5244 ALTERNATIVE INCOME PORTFOLIO SERIES 11	WHEATON, IL	1/27/2015	00728856-05	Registered
FT 5249 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 9	WHEATON, IL	1/27/2015	00728857-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1271 GLOBAL COMMODITY PRODUCERS PORTFOLIO SERIES 3	LISLE, IL	1/27/2015	00727928-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1271 HEALTH CARE PORTFOLIO SERIES 18	LISLE, IL	1/27/2015	00727927-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1271 UTILITIES PORTFOLIO SERIES 21	LISLE, IL	1/27/2015	00727929-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1272 GLOBAL BALANCED INCOME BUILDER PORTFOLIO SERIES 5	LISLE, IL	1/27/2015	00727925-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1273 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 41	LISLE, IL	1/27/2015	00727926-05	Registered
PROFESSIONALLY MANAGED PORTFOLIOS BALTER LONG SHORT EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/27/2015	00728860-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE ALTERNATIVES FUND CLASS A	BOSTON, MA	1/26/2015	00727910-03	Pending-Cleared

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COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE ALTERNATIVES FUND CLASS W	BOSTON, MA	1/26/2015	00727914-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE ALTERNATIVES FUND CLASS R4	BOSTON, MA	1/26/2015	00727909-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE ALTERNATIVES FUND CLASS Z	BOSTON, MA	1/26/2015	00727913-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE ALTERNATIVES FUND CLASS R	BOSTON, MA	1/26/2015	00727912-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE ALTERNATIVES FUND CLASS Y	BOSTON, MA	1/26/2015	00727916-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE ALTERNATIVES FUND CLASS R5	BOSTON, MA	1/26/2015	00727911-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA ADAPTIVE ALTERNATIVES FUND CLASS C	BOSTON, MA	1/26/2015	00727915-03	Pending-Cleared
DREYFUS STOCK FUNDS DREYFUS INTERNATIONAL SMALL CAP FUND CLASS I	NEW YORK, NY	1/26/2015	00727919-03	Registered
DREYFUS STOCK FUNDS DREYFUS INTERNATIONAL SMALL CAP FUND CLASS Y	NEW YORK, NY	1/26/2015	00727920-03	Registered
DREYFUS STOCK FUNDS DREYFUS INTERNATIONAL SMALL CAP FUND CLASS A	NEW YORK, NY	1/26/2015	00727917-03	Registered
DREYFUS STOCK FUNDS DREYFUS INTERNATIONAL SMALL CAP FUND CLASS C	NEW YORK, NY	1/26/2015	00727918-03	Registered
JPMORGAN TR 1 JPMORGAN INTERNATIONAL EQUITY INCOME FUND CLASS R6 SHARES	NEW YORK, NY	1/26/2015	00728854-03	Pending-Cleared

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JPMORGAN TR 3 JPMORGAN MULTI MANAGER ALTERNATIVES FUND SELECT CLASS SHARES	NEW YORK, NY	1/26/2015	00728851-03	Registered
JPMORGAN TR 3 JPMORGAN MULTI MANAGER ALTERNATIVES FUND CLASS R5 SHARES	NEW YORK, NY	1/26/2015	00728850-03	Registered
JPMORGAN TR 3 JPMORGAN MULTI MANAGER ALTERNATIVES FUND CLASS R6 SHARES	NEW YORK, NY	1/26/2015	00728849-03	Registered
JPMORGAN TR 3 JPMORGAN MULTI MANAGER ALTERNATIVES FUND CLASS A SHARES	NEW YORK, NY	1/26/2015	00728853-03	Registered
JPMORGAN TR 3 JPMORGAN MULTI MANAGER ALTERNATIVES FUND CLASS C SHARES	NEW YORK, NY	1/26/2015	00728852-03	Registered
NUVEEN INVESTMENT TR 3 NUVEEN SYMPHONY FLOATING RATE INCOME FUND CLASS R6	CHIGACO, IL	1/26/2015	00727921-03	Registered
SUNAMERICA EQUITY FUNDS SUNAMERICA INTERNATIONAL DIVIDEND STRATEGY FUND CLASS W	JERSEY CITY, NJ	1/26/2015	00727907-03	Registered
SUNAMERICA INCOME FUNDS SUNAMERICA STRATEGIC BOND FUND CLASS W	JERSEY CITY, NJ	1/26/2015	00727908-03	Registered
Number of Investment Company Filings: 131				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ANTRIABIO INC	LOUISVILLE, CO	2/5/2015	00729464-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
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ZAPPY INC	HEATHROW, FL	2/3/2015	00729203-07	Filed
C10CONNECT INC	CARY, NC	2/2/2015	00729201-07	Filed
HEALTHCARE TOYALTIES 2 TR	NEW YORK, NY	2/2/2015	00728862-07	Filed
MANAGED FUTURES PREMIER ENERGY FUND LP 2	NEW YORK, NY	2/2/2015	00729202-07	Filed
MICRONOTES INC	CAMBRIDGE, MA	2/2/2015	00729199-07	Filed
SOZO GLOBAL INC	AUSTIN, TX	2/2/2015	00728861-07	Filed
MORROCROFT NEIGHBORHOOD STABILIZATION FUND 2 LP	CHARLOTTE, NC	1/30/2015	00729078-07	Filed
SKULLY HELMETS INC	SAN FRANCISCO, CA	1/30/2015	00729077-07	Filed
SNUPI TECHNOLOGIES INC	SEATTLE, WA	1/30/2015	00729076-07	Filed
CARROLL BANCORP INC	SYKESVILLE, MD	1/29/2015	00729065-07	Filed
CO MULTIFAMILY PORTFOLIO DST	OAK BROOK, IL	1/29/2015	00729060-07	Filed
JEFFERSON HOTEL GROUP LLC	BOONE, IA	1/29/2015	00729066-07	Filed
KKR EUROPEAN FUND 4 LP	NEW YORK, NY	1/29/2015	00729061-07	Filed
PHARMACY PORTFOLIO 7 DST	OAK BROOK, IL	1/29/2015	00729064-07	Filed
SOFIA ANGEL FUND 2 LLC	SAINT PAUL, MN	1/29/2015	00729063-07	Filed
PLEASANT LAKE ONSHORE FEEDER FUND LP	NEW YORK, NY	1/28/2015	00729245-07	Filed
PASSCO TWENTY25 DST	IRVINE, CA	1/27/2015	00728859-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/26/2015 through 2/6/2015**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CDK CAPITAL BERMUDA LTD BROWN ADVISORY GLOBAL MANAGERS FUND	BALTIMORE, MD	1/26/2015	00728843-07	Filed
DELOITTE & TOUCHE LLP	NEW YORK, NY	1/26/2015	00728841-07	Filed
DELOITTE CONSULTING LLP	NEW YORK, NY	1/26/2015	00728840-07	Filed
DELOITTE TAX LLP	NEW YORK, NY	1/26/2015	00728842-07	Filed
Number of Regulation D Notice Filings: 22				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
GREAT HARVEST FRANCHISING, INC / Great Harvest Bread Co.	DILLON, MT	2/5/2015	608684	Registered
Priceless Rent-A-Car, LLC / NextCar	Kensington, MD	2/5/2015	608683	Registered
Hyatt Franchising, L.L.C. / Hyatt CentricT	Chicago, IL	2/3/2015	608682	Registered
Certa ProPainters, Ltd. / CertaPro Painters	Oaks, PA	2/3/2015	608672	Registered
Zounds Hearing Franchising, LLC / Zounds Hearing Center	Tempe, AZ	2/2/2015	608671	Registered
Cellairis Franchise, Inc. / Cellairis	Alpharetta, GA	2/2/2015	608669	Registered
ABM Franchising Group, LLC / Linc Service	Canonsburg, PA	2/2/2015	608678	Registered
ABM Franchising Group, LLC / CurrentSAFE	Canonsburg, PA	2/2/2015	608679	Registered
ABM Franchising Group, LLC / TEGG	Canonsburg, PA	2/2/2015	608677	Registered
ABM Franchising Group, LLC / GreenHomes America	Canonsburg, PA	2/2/2015	608680	Registered
Signal 88 Franchise Group, Inc. / Signal 88 Security	Omaha, NE	2/2/2015	608681	Registered
FTS Holdings, LLC / FOCUSED TAX SOLUTIONS	Brookfield, WI	2/1/2015	608668	Registered
DISCOVERY MAP INTERNATIONAL, INC. / Discovery Map	Waitsfield, VA	1/30/2015	608674	Registered
InFrasys, Inc. / SealMaster	Sandusky, OH	1/30/2015	608675	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
TDM Franchising, LLC / Taco Del mar	Milford, CT	1/30/2015	608676	Registered
JDog Franchises, LLC / J Dog junk Removal & Hauling	Berwyn, PA	1/29/2015	608659	Registered
KONO USA LLC / KONO PIZZA	Manalapan, NJ	1/29/2015	608657	Registered
Metal Supermarkets Franchising America, Inc. / Metal Supermarkets	Mississauga ON L6T 4Z5, ON	1/29/2015	608673	Registered
BrightStar Senior Living Franchising, LLC / BrightStar Senior Living	Gurnee, IL	1/28/2015	608656	Registered
MATCAL INC / Wings Over	Westfield, MA	1/28/2015	608655	Registered
Absolute Results Training Systems, Inc.	Surrey, BC	1/28/2015	608654	Registered
Pizzeria Uno Corporation / Uno Chicago Grill and Uno Due Go	West Roxbury, MA	1/28/2015	608653	Registered
Happy Joe's Franchising, Inc. / Bolt On	Bettendorf, IA	1/28/2015	608664	Registered
Little Sunshine's Enterprises, Inc. / Little Sunshine's Playhouse & Preschool	Springfield, MO	1/28/2015	608667	Registered
Integrity Brands, LLC / Uncle Maddio's Pizza Joint	Atlanta, GA	1/27/2015	608642	Registered
Safeguard Franchise Sales, Inc. / Safeguard	Dallas, TX	1/27/2015	608662	Registered
MidiCi Group LLC / MIDICI	Encino, CA	1/26/2015	608640	Registered
Houlihan's Restaurants, Inc. / J. Gilbert's Wood-Fired Steaks & Seafood	Leawood, KS	1/26/2015	608639	Registered
HOULIHAN'S RESTAURANTS, INC	LEAWOOD, KS	1/26/2015	608638	Registered
BTS Franchise LLC / Bounce! Trampoline Sports	Cottage Valley, NY	1/26/2015	608651	Registered
COLOR ME MINE FRANCHISING INC / Color Me Mine	Glendale, CA	1/26/2015	608660	Registered
HBH FRANCHISE CO LLC / HoneyBaked Ham and The Honey Baked Ham Co. and Cafe	Alphretta, GA	1/26/2015	608649	Registered
Number of Franchise Filings: 32				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/26/2015 through 2/6/2015**

Number of Takeover Filings: 0