

**WI Securities Law and Franchise Law Filings
for 12/29/2014 through 1/9/2015**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMG FUNDS AMG CHICAGO EQUITY PARTNERS SMALL CAP VALUE FUND SERVICE CLASS	MILWAUKEE, WI	1/2/2015	00726293-03	Registered
AMG FUNDS AMG CHICAGO EQUITY PARTNERS SMALL CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	1/2/2015	00726292-03	Registered
AMG FUNDS AMG CHICAGO EQUITY PARTNERS SMALL CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/2/2015	00726294-03	Registered
BRANDES INVESTMENT TR BRANDES GLOBAL OPPORTUNITIES VALUE FUND CLASS C	MILWAUKEE, WI	1/2/2015	00726282-03	Registered
BRANDES INVESTMENT TR BRANDES GLOBAL OPPORTUNITIES VALUE FUND CLASS I	MILWAUKEE, WI	1/2/2015	00726283-03	Registered
BRANDES INVESTMENT TR BRANDES GLOBAL OPPORTUNITIES VALUE FUND CLASS A	MILWAUKEE, WI	1/2/2015	00726284-03	Registered

**WI Securities Law and Franchise Law Filings
for 12/29/2014 through 1/9/2015**

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CALAMOS INVESTMENT TR CALAMOS GLOBAL CONVERTIBLE FUND CLASS C SHARES	MILWAUKEE, WI	1/2/2015	00726301-03	Registered
CALAMOS INVESTMENT TR CALAMOS GLOBAL CONVERTIBLE FUND CLASS A SHARES	MILWAUKEE, WI	1/2/2015	00726302-03	Registered
CALAMOS INVESTMENT TR CALAMOS GLOBAL CONVERTIBLE FUND CLASS I SHARES	MILWAUKEE, WI	1/2/2015	00726300-03	Registered
CALAMOS INVESTMENT TR CALAMOS GLOBAL CONVERTIBLE FUND CLASS R SHARES	MILWAUKEE, WI	1/2/2015	00726299-03	Registered
CALAMOS INVESTMENT TR CALAMOS HEDGED EQUITY INCOME FUND CLASS C SHARES	MILWAUKEE, WI	1/2/2015	00726303-03	Registered
CALAMOS INVESTMENT TR CALAMOS HEDGED EQUITY INCOME FUND CLASS A SHARES	MILWAUKEE, WI	1/2/2015	00726304-03	Registered
CALAMOS INVESTMENT TR CALAMOS HEDGED EQUITY INCOME FUND CLASS R SHARES	MILWAUKEE, WI	1/2/2015	00726306-03	Registered
CALAMOS INVESTMENT TR CALAMOS HEDGED EQUITY INCOME FUND CLASS I SHARES	MILWAUKEE, WI	1/2/2015	00726305-03	Registered
HCIM TR HATTERAS DISCIPLINED OPPORTUNITY FUND CLASS A	MILWAUKEE, WI	1/2/2015	00726295-03	Registered
INTREPID CAPITAL MANAGEMENT FUNDS TR INTREPID INTERNATIONAL FUND INVESTOR CLASS	MILWAUKEE, WI	1/2/2015	00726307-03	Registered

**WI Securities Law and Franchise Law Filings
for 12/29/2014 through 1/9/2015**

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR ARISTOTLE STRATEGIC CREDIT FUND	MILWAUKEE, WI	1/2/2015	00726298-03	Registered
KEELEY FUNDS INC KEELEY INTERNATIONAL SMALL CAP VALUE FUND INVESTOR CLASS A SHARES	MILWAUKEE, WI	1/2/2015	00726308-03	Registered
KEELEY FUNDS INC KEELEY INTERNATIONAL SMALL CAP VALUE FUND INSTITUTIONAL CLASS I SHARES	MILWAUKEE, WI	1/2/2015	00726309-03	Registered
MANAGED PORTFOLIO SERIES TORRAY RESOLUTE SMALL MID CAP GROWTH FUND INVESTOR CLASS SHARES	MILWAUKEE, WI	1/2/2015	00726296-03	Registered
MANAGED PORTFOLIO SERIES TORRAY RESOLUTE SMALL MID CAP GROWTH FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	1/2/2015	00726297-03	Registered
OAKTREE FUNDS OAKTREE EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/2/2015	00726316-03	Registered
OAKTREE FUNDS OAKTREE EMERGING MARKETS EQUITY FUND ADVISOR CLASS	MILWAUKEE, WI	1/2/2015	00726317-03	Registered
OAKTREE FUNDS OAKTREE HIGH YIELD BOND FUND ADVISOR CLASS	MILWAUKEE, WI	1/2/2015	00726315-03	Registered
OAKTREE FUNDS OAKTREE HIGH YIELD BOND FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/2/2015	00726314-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS ROTHSCHILD US LARGE CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	1/2/2015	00726287-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS ROTHSCHILD US LARGE CAP CORE FUND INVESTOR CLASS	MILWAUKEE, WI	1/2/2015	00726288-03	Registered

**WI Securities Law and Franchise Law Filings
for 12/29/2014 through 1/9/2015**

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PROFESSIONALLY MANAGED PORTFOLIOS ROTHSCHILD US SMALL CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/2/2015	00726289-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS ROTHSCHILD US SMALL CAP CORE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/2/2015	00726286-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS ROTHSCHILD US SMALL CAP GROWTH FUND INVESTOR CLASS	MILWAUKEE, WI	1/2/2015	00726290-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS ROTHSCHILD US SMALL MID CAP CORE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/2/2015	00726285-03	Registered
TR FOR ADVISED PORTFOLIOS THOMAS CROWN GLOBAL LONG SHORT EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	1/2/2015	00726310-03	Registered
Number of Investment Company Filings: 32				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WELLBE INC	MADISON, WI	1/5/2015	00726530-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SB Acquisition, LLC / Pizza Pit	Monona, WI	12/30/2014	608586	Registered
Number of Franchise Filings: 1				