

**WI Securities Law and Franchise Law Filings
for 12/1/2014 through 12/12/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR RIVERBRIDGE ECO LEADERS FUND INVESTOR CLASS	MILWAUKEE, WI	12/4/2014	00720787-03	Registered
INVESTMENT MANAGERS SERIES TR RIVERBRIDGE ECO LEADERS FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/4/2014	00720786-03	Registered
Number of Investment Company Filings: 2				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Success Business Solutions, Inc. / Midwest Labor Services	Madison, WI	12/4/2014	608537	Registered
Legend Larry's Franchising, LLC / Legend Larry's	Sheboygan Falls, WI	12/1/2014	608531	Registered
Number of Franchise Filings: 2				

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