

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/17/2014 through 11/28/2014**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CORSAIR OPPORTUNITY FUND CLASS A SHARES	NEW YORK, NY	11/19/2014	00719876-01	Pending
CORSAIR OPPORTUNITY FUND CLASS I SHARES	NEW YORK, NY	11/19/2014	00719877-01	Pending
TRITON PACIFIC INVESTMENT CORP INC	LOS ANGELES, CA	11/18/2014	00718263-01	Pending
BOARD OF CHURCH EXTENSION OF DISCIPLES OF CHRIST INC	INDIANAPOLIS, IN	11/17/2014	00718149-02	Registered
UNITED CHURCH OF CHRIST CORNERSTONE FUND INC	CLEVELAND, OH	11/17/2014	00718150-02	Registered
Number of Registration Filings: 5				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS INNER CIRCLE FUND 2 GROWTH FUND CLASS C SHARES	BOSTON, MA	11/25/2014	00719927-03	Registered
ADVISORS INNER CIRCLE FUND 2 HANCOCK HORIZON DIVERSIFIED INTERNATIONAL FUND CLASS C SHARES	BOSTON, MA	11/25/2014	00719928-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS INC PRUDENTIAL JENNISON EQUITY OPPORTUNITY FUND CLASS Q	NEWARK, NJ	11/24/2014	00719827-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/17/2014 through 11/28/2014**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DEUTSCHE GLOBAL INTERNATIONAL FUND INC DEUTSCHE EUROPEAN EQUITY FUND CLASS A SHARES	CHICAGO, IL	11/21/2014	00719748-03	Registered
DEUTSCHE GLOBAL INTERNATIONAL FUND INC DEUTSCHE EUROPEAN EQUITY FUND INSTITUTIONAL CLASS SHARES	CHICAGO, IL	11/21/2014	00719749-03	Registered
DEUTSCHE GLOBAL INTERNATIONAL FUND INC DEUTSCHE EUROPEAN EQUITY FUND CLASS S SHARES	CHICAGO, IL	11/21/2014	00719751-03	Registered
DEUTSCHE GLOBAL INTERNATIONAL FUND INC DEUTSCHE EUROPEAN EQUITY FUND CLASS C SHARES	CHICAGO, IL	11/21/2014	00719750-03	Registered
FT 5128 TOP PICKS NOVEMBER 2014 PORTFOLIO	WHEATON, IL	11/21/2014	00719932-05	Pending-Cleared
FT 5137 LIQUID ALTERNATIVES PORTFOLIO SERIES	WHEATON, IL	11/21/2014	00719934-05	Pending-Cleared
FT 5139 MLP CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	11/21/2014	00719936-05	Pending-Cleared
FT 5140 ENERGY SELECT PORTFOLIO SERIES 53	WHEATON, IL	11/21/2014	00719939-05	Pending-Cleared
FT 5140 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 35	WHEATON, IL	11/21/2014	00719940-05	Pending-Cleared
FT 5145 STRATEGIC DIVIDEND PLUS PORTFOLIO SERIES 20	WHEATON, IL	11/21/2014	00719933-05	Pending-Cleared
FT 5151 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 17	WHEATON, IL	11/21/2014	00719935-05	Pending-Cleared
FT 5187 RETAIL PORTFOLIO SERIES 11	WHEATON, IL	11/21/2014	00719938-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/17/2014 through 11/28/2014**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5192 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 44	WHEATON, IL	11/21/2014	00719937-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1244 GUGGENHEIM EMERGING MARKETS DIVDEND STRATEGY PORTFOLIO SERIES 12	LISLE, IL	11/21/2014	00719931-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1245 DIVIDEND GROWTH PORTFOLIO SERIES 6	LISLE, IL	11/21/2014	00719942-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1245 DIVIDEND STRENGTH PORTFOLIO SERIES 15	LISLE, IL	11/21/2014	00719941-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1246 FLOATING RATE & DIVIDEND GROWTH PORTFOLIO SERIES 7	LISLE, IL	11/21/2014	00719943-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1247 COMPASS UNDERVALUED TOP PICKS PORTFOLIO SERIES 3	LISLE, IL	11/21/2014	00719930-05	Pending-Cleared
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS Y	WEST ALLIS, WI	11/18/2014	00718260-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS A	WEST ALLIS, WI	11/18/2014	00718257-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS I	WEST ALLIS, WI	11/18/2014	00718259-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS C	WEST ALLIS, WI	11/18/2014	00718258-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/17/2014 through 11/28/2014**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1238 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 9	LISLE, IL	11/18/2014	00717913-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1358 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 90	MONUMENT, CO	11/17/2014	00718178-05	Registered
ADVISORS DISCIPLINED TR 1369 AEROSPACE DEFENSE OPPORTUNITIES PORTFOLIO SERIES 2014 1	MONUMENT, CO	11/17/2014	00718179-05	Registered
BLACKROCK FUNDS NJ MUNICIPAL MONEY MARKET PORTFOLIO SERVICE SHARES	WILMINGTON, DE	11/17/2014	00717985-03	Registered
BLACKROCK FUNDS OH MUNICIPAL MONEY MARKET PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/17/2014	00717986-03	Registered
BLACKROCK LIQUIDITY FUNDS CA MONEY FUND INSTITUTIONAL SHARES	WILMINGTON, DE	11/17/2014	00717984-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1219 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 38	LISLE, IL	11/17/2014	00718182-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1242 GLOBAL WATER EQUITIES PORTFOLIO SERIES 27	LISLE, IL	11/17/2014	00718184-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1242 GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 6	LISLE, IL	11/17/2014	00718185-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1248 GUGGENHEIM US CAPITAL STRENGTH PORTFOLIO SERIES 6	LISLE, IL	11/17/2014	00718183-05	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/17/2014 through 11/28/2014**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INCAPITAL UNIT TR SERIES 60 PROSPECT ENERGY & POWER INCOME PORTFOLIO SERIES 1	CHICAGO, IL	11/17/2014	00718180-05	Registered
INCAPITAL UNIT TR SERIES 61 PROSPECT INCOME FINANCE PORTFOLIO SERIES 2	CHICAGO, IL	11/17/2014	00718181-05	Registered
MORGAN STANLEY NY MUNICIPAL MONEY MARKET TR RESERVE CLASS	NEW YORK, NY	11/17/2014	00718209-03	Registered
NORTHERN LIGHTS FUND TR POWER DIVIDEND INDEX FUND CLASS C SHARES	HAUPPAUGE, NY	11/17/2014	00718195-03	Registered
NORTHERN LIGHTS FUND TR POWER INCOME FUND CLASS C	HAUPPAUGE, NY	11/17/2014	00718196-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN ABSOLUTE RETURN BOND FUND INVESTOR CLASS	LOS ANGELES, CA	11/17/2014	00718205-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN ABSOLUTE RETURN BOND FUND ADVISER CLASS	LOS ANGELES, CA	11/17/2014	00718204-03	Registered
Number of Investment Company Filings: 42				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BP CAPITAL ENERGY EQUITY FUND 2 LP	DALLAS, TX	11/21/2014	00719907-07	Filed
FMR LLC	BOSTON, MA	11/21/2014	00719906-07	Filed
IIP DES MOINES IA 3915 DE LLC	OAK BROOK, IL	11/19/2014	00719873-07	Filed
SE AUSTIN CAMPUS INVESTORS LLC	CHICAGO, IL	11/19/2014	00719872-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/17/2014 through 11/28/2014**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WELD RACING HOLDINGS LLC	KANSAS CITY, MO	11/19/2014	00719874-07	Filed
COMPAGNIE DE ST GOBAIN	LA DEFENSE, AA	11/18/2014	00718262-07	Filed
REDHAWK RESOURCES FUND 2 LP	DALLAS, TX	11/18/2014	00718261-07	Filed
AAVIN MEZZANINE FUND LP	CEDAR RAPIDS, IA	11/17/2014	00718203-07	Filed
ABS EMERGING MARKETS LP	GREENWICH, CT	11/17/2014	00718206-07	Filed
CRE 1048 PEARL STREET LLC	BOULDER, CO	11/17/2014	00718207-07	Filed
ROCKY CREEK PLANTATION ACQUISITIONS LLC	ATLANTA, GA	11/17/2014	00718202-07	Filed
Number of Regulation D Notice Filings: 11				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Learning Express, Inc. / Learning Express	Devens, MA	11/24/2014	608526	Registered
G.J. Gardner Homes (USA) PTY LTD / G.J. Gardner Homes	Mooloolaba, QLD	11/21/2014	608525	Registered
Profile Franchising, LLC / Profile Sanford	Sioux Falls, SD	11/20/2014	608515	Registered
My House Fitness Franchise, Inc. / My House Fitness	Winter Springs, FL	11/20/2014	608522	Registered
CBD FRANCHISING, INC. / CLOSETS BY DESIGN	CYPRESS, CA	11/18/2014	608512	Registered
Number of Franchise Filings: 5				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	